

**UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION**

COMMODITY FUTURES TRADING
COMMISSION,

Case No. 8:19-CV-886-T-33SPF

Plaintiff,

v.

OASIS INTERNATIONAL GROUP,
LIMITED; OASIS MANAGEMENT, LLC;
SATELLITE HOLDINGS COMPANY;
MICHAEL J. DACORTA; JOSEPH S.
ANILE, II.; RAYMOND P MONTIE III;
FRANCISCO "FRANK" L. DURAN; and
JOHN J. HAAS,

Defendants,

and

FUNDAMINISTRATION, INC.;
BOWLING GREEN CAPITAL
MANAGEMENT LLC; LAGOON
INVESTMENTS, INC.; ROAR OF THE
LION FITNESS, LLC; 444 GULF OF
MEXICO DRIVE, LLC; 4064 FOUNDERS
CLUB DRIVE, LLC; 6922 LACANTERA
CIRCLE, LLC; 13318 LOST KEY PLACE,
LLC; and 4 OAKS LLC,

Relief Defendants.

**RECEIVER'S TWENTY-SECOND INTERIM MOTION FOR
ORDER AWARDING FEES, COSTS, AND REIMBURSEMENT OF
COSTS TO RECEIVER AND HIS PROFESSIONALS**

Burton W. Wiand, the Court-appointed receiver over the assets of the above-captioned defendants and relief defendants (the “**Receiver**” and the “**Receivership**” or “**Receivership Estate**”) pursuant to the Court’s order dated July 11, 2019 (the “**Consolidated Order**”¹), respectfully moves the Court for the entry of an order awarding fees and the reimbursement of costs to the Receiver and his professionals. *See* Doc. 98 (approving retention of professionals). This motion covers all fees and costs incurred from July 1, 2024 through September 30, 2024. The Standardized Accounting Report (the “**Accounting Report**”) for the time covered by this motion is attached as **Exhibit 1**.²

Case Background and Status

As of the date of filing this motion, the Court has appointed Burton W. Wiand as Receiver over the assets of the following entities and individuals:

- a) Defendants Oasis International Group, Limited; Oasis Management, LLC; Satellite Holdings Company; Michael J. DaCorta; Joseph S. Anile, II; Francisco “Frank” L. Duran; John J. Haas; and Raymond P. Montie, III; and
- b) Relief defendants Bowling Green Capital Management, LLC; Lagoon Investments, Inc.; Roar of the Lion Fitness, LLC; 444 Gulf of Mexico Drive, LLC; 4064 Founders Club Drive, LLC; 6922

¹ On July 11, 2019, the Court entered the Consolidated Order (Doc. 177), which combined and superseded two prior orders (Docs. 7 and 44) and is the operative document governing the Receiver’s activities. *See also* Doc. 390 (reappointing Receiver).

² The Commodity Futures Trading Commission (“**CFTC**” or the “**Commission**”) provided the Receiver with detailed Billing Instructions for Receivers in Civil Actions Commenced by the Commission (the “**Billing Instructions**”). The Accounting Report is one of the requirements contained in the Billing Instructions.

Lacantera Circle, LLC; 13318 Lost Key Place, LLC; and 4Oaks LLC.³

See Doc. 177. The foregoing defendants and relief defendants are collectively referred to as the “**Receivership Entities.**”

On November 1, 2024, the Receiver filed his Twenty-second Interim Report (Doc. 845) (the “**Interim Report**”). The Interim Report contains comprehensive and detailed information regarding the case background and status; the recovery of assets; financial information about Receivership Entities; the Receiver’s proposed course of action regarding assets in the Receivership Estate; the claims process; and related (and/or contemplated) litigation involving Receivership Entities. The Receiver incorporates the Interim Report into this motion and has attached a true and correct copy of the Interim Report as **Exhibit 2** for the Court’s convenience. The Interim Report addresses all activity that resulted in the fees and costs sought in this motion.

Professional Services Rendered and Costs Incurred

The Consolidated Order authorizes the Receiver to “solicit persons and entities (‘Retained Personnel’) to assist him in carrying out the duties and

³ Fundadministration, Inc. (“**Fundadministration**”) was a relief defendant, but the Receiver was not acting as Receiver over all that entity’s assets. The company maintained three accounts at Citibank, N.A. One of these accounts contained funds in the amount of approximately \$6,012,397.78 belonging to Oasis International Group, Limited. Fundadministration transferred those funds to the Receiver. The other accounts are not included in this Receivership. See Docs. 13, 14, 366. Fundadministration is no longer a party to this action in any capacity. See Doc. 376.

responsibilities described in this Order” and states that the “Receiver and Retained Personnel are entitled to reasonable compensation and expense reimbursement from the Receivership Estates,” subject to approval by the Court. *See* Doc. 177 ¶¶ 59, 60. The Consolidated Order also requires that the Receiver obtain the Court’s authorization of the retention of any Retained Personnel. *See* Doc. 177 ¶ 59. On May 30, 2019, the Receiver filed a motion to approve the retention of professionals (Doc. 87), which the Court granted on June 6, 2019 (Doc. 98). The Receiver also filed motions to approve the retention of special foreign counsel in Belize and the Cayman Islands (Docs. 133 and 184), which the Court granted on June 21, 2019 and August 27, 2019, respectively (Docs. 138 and 187). The Receiver filed motions to approve the retention of Sallah Astarita & Cox, LLC (the “**Sallah Firm**”) as counsel and Sergio C. Godinho as litigation consultant in connection with the investigation and prosecution of claims against Fundadministration (Docs. 238 and 253), which the Court granted on April 7, 2020 (Doc. 261).⁴ On March 24, 2020, the Receiver filed a motion to retain John Waechter and Englander Fischer (“**Englander Fischer**”) (Doc. 258), which the Court granted on April 13, 2020 (Doc. 264). On March 31, 2021, the Receiver filed a motion to approve the retention of the Sallah Firm on a contingency fee basis

⁴ The Sallah Firm was engaged on a contingency fee basis and thus was not included in prior interim fee motions. This litigation has been resolved.

for the purpose of further investigating and pursuing claims against ATC Brokers Ltd. and related individuals and entities (generally, “**ATC**”) (Doc. 385), which the Court granted on April 23, 2021.⁵ On June 25, 2021, the Receiver filed a motion to approve the engagement of Thomas J. Bakas as a litigation consultant to assist the Sallah Firm with litigation against ATC (Doc. 412). The Court granted this motion on July 13, 2021 (Doc. 415).

On March 1, 2022, the Receiver filed a motion to approve the retention of Wayne Piper and Flores Piper LLP (the “**Piper Firm**”), a law firm in Belize (Doc. 478). The Receiver sought the Piper Firm’s retention as special foreign counsel in Belize to facilitate the return of a \$500,000 license deposit held by a bank in Belize for Oasis Global FX, S.A. (“**Oasis FX**”) as well as the return of Receivership records currently in the possession of Glenn D. Godfrey and the Godfrey Firm of Belize City (the “**Godfrey Firm**”). The Court granted this motion on March 24, 2022 (Doc. 488).

Pursuant to the Consolidated Order and the aforementioned orders, the Receiver retained (1) Wiand Guerra King P.A., now known as Guerra & Partners, P.A. (“**G&P**”), to provide legal services;⁶ (2) KapilaMukamal, LLP

⁵ As with the Receiver’s retention of this firm to pursue claims against Fundadministration, the Sallah Firm was engaged on a contingency fee basis and thus is not regularly included in interim fee motions.

⁶ Mary Gura has since the inception of this Receivership provided substantial support to the Receiver. In March 2021, Ms. Gura left Guerra King, now known as G&P, and joined Johnson Newlon and DeCort, a litigation firm with extensive experience in federal court practice. And, as noted in the Receiver’s Thirteenth Interim Report, lead counsel Jared

(“**KM**”) to provide forensic accounting services; (3) PDR CPAs (“**PDR**”) to provide general accounting and tax services; (4) RWJ Group, LLC (“**RWJ**”) to provide asset management and investigative services; (5) E-Hounds, Inc. (“**E-Hounds**”) to provide computer forensic services; (6) the Godfrey Firm to provide legal services in Belize;⁷ (7) Maples Group to provide legal services in the Cayman Islands; (8) Sergio Godinho with SEDA Experts, LLC to provide litigation consulting in connection with litigation against Fundadministration, Inc.; (9) Englander Fischer to assist the Receiver and his counsel with clawback litigation; and (10) Thomas J. Bakas with RPM Financial Markets Group LLC (“**RPM**”) to provide litigation consulting in connection with litigation against ATC (all of the foregoing and the Piper Firm are collectively, the “**Professionals**”).⁸

As shown in the Interim Report, the Professionals have provided services and incurred expenses to investigate the affairs of the Receivership

Perez also left Guerra King and continues to represent the Receiver through his new legal practice. (Doc. 655 at 24.) Other professionals at G&P who have also been providing legal services to the Receiver for this matter have remained at G&P. Given Ms. Gura’s and Mr. Perez’s knowledge regarding this matter, the Receiver determined that it is in the best interests of the Receivership that they continue to provide legal services to the Receiver along with the professionals at G&P. The Receiver does not anticipate that there will be duplication of services provided by Ms. Gura, Mr. Perez, and G&P.

⁷ The Receiver is no longer working with the Godfrey Firm as the firm was ineffective and unresponsive and anticipates that any future legal services related to Belize will be through the Piper Firm.

⁸ Sergio Godinho, the Piper Firm, RWJ, KM, and RPM did not submit any invoices for the time covered by this motion and therefore are not included in this motion.

Entities, preserve Receivership assets, attempt to locate and recover additional assets, analyze investor information for the claims process and litigation, and administer the claims process. These services are for the benefit of aggrieved investors, creditors, and other interested parties.

I. The Receiver.

The Receiver requests the Court award him fees for the professional services rendered and costs incurred from July 1, 2024 through September 30, 2024, in the amount of \$28,481.73. The standard hourly rate the Receiver charges clients in private litigation is \$500. However, the Receiver agreed, for purposes of his appointment as the Receiver, that his hourly rate would be reduced to \$360, representing a twenty-eight percent discount off the standard hourly rate that he charges clients in comparable matters. This rate was set forth in the Receiver's submission to the CFTC. *See* Doc. 87, Ex. A.

The Receiver commenced services immediately upon his appointment. The Receiver has billed his time for these activities in accordance with the Billing Instructions, which request that this motion contain a narrative of each "business enterprise or litigation matter" for which outside professionals have been employed. The Billing Instructions identify each such business enterprise or litigation matter as a separate "project." Further, the Billing Instructions request that time billed for each project be allocated to one of

several Activity Categories.⁹ In addition to the work of the Receivership, the Receiver created two projects for litigation commenced on April 14, 2020.

A. The Receivership.

For the time covered by this motion, the work of the Receiver and G&P focused on investigating fraud and related activities, locating and taking control of Receivership assets, investigating and pursuing additional assets for the Receivership, analyzing investor information for the claims process and litigation, and administering the claims process. These activities of the Receiver are set forth in detail in the Interim Report. Ex. 2. A copy of the statement summarizing the Receiver's services rendered for the Receivership is attached as **Exhibit 3**. The Receiver's time and fees for services rendered for each Activity Category from July 1, 2024 through September 30, 2024, are as follows:

⁹ The Activity Categories set forth by the Commission in the Billing Instructions are as follows: (1) Asset Analysis and Recovery, which is defined as identification and review of potential assets including causes of action and non-litigation recoveries; (2) Asset Disposition, which is defined as sales, leases, abandonment and related transaction work (where extended series of sales or other disposition of assets is contemplated, the Billing Instructions provide that a separate category should be established for each major transaction); (3) Business Operations, which is defined as issues related to operation of an ongoing business; (4) Case Administration, which is defined as coordination and compliance activities, including preparation of reports to the court, investor inquiries, etc.; and (5) Claims Administration and Objections, which is defined as expenses in formulating, gaining approval of and administering any claims procedure. The Billing Instructions provide that time spent preparing motions for fees may not be charged to the Receivership Estate. In accordance with these instructions, the Receiver created an additional Activity Category for work on fees motions and his professionals at G&P have accounted for time spent on such work but have not charged any amount for that work.

Receivership
Receiver's Time and Fees for Services Rendered

Activity Category	Hours Expended	Fee Amount
Asset Analysis and Recovery	42.00	\$15,120.00
Business Operations	3.70	\$1,332.00
Case Administration	2.30	\$828.00
Claims Administration	11.90	\$4,284.00
TOTAL	59.90	\$21,564.00

The Receiver also utilized paralegal services by his paraprofessional, Edwina Tate. During the time covered by this Application, Ms. Tate spent 32.6 hours assisting the Receiver with Receivership matters. The Receiver requests the Court award him fees for the professional services rendered by Ms. Tate from July 1, 2024 through September 30, 2024, in the amount of \$4,075.00. A copy of the statement summarizing Ms. Tate's services rendered for the Receivership is attached as **Exhibit 4**.

In addition to legal fees, the Receiver has advanced costs of \$1,186.73 as summarized below.

Costs	Total
Web-Related	\$1,139.18
Delivery Services	\$47.55
Total	\$1186.73

B. Discrete Litigation Projects.

In conjunction with the Receivership, the following two discrete litigation projects have been formally commenced by the Receiver.

1. Recovery of False Profits from Investors.

This is a project involving the Receiver's efforts to recover false profits from investors whose purported accounts received monies in an amount that exceeded their investments. (*See also* Ex. 2 § V.2.a.) These purported profits were false because they were not based on any trading or investment gain, but rather were fruits of a Ponzi scheme that consisted of funds from new and existing investors. Pursuant to the Consolidated Order and the Court's express authorization, on April 14, 2020, the Receiver filed a clawback complaint against numerous investors. The liability portion of these actions is complete, but the Receiver continues to register default judgments, seek writs of garnishment, and employ other collection mechanisms, including post-judgment discovery. A copy of the statement summarizing the Receiver's services rendered for this project from July 1, 2024 through September 30, 2024 is attached as **Exhibit 5**. The Receiver's time and fees for services rendered for each Activity Category are as follows:

Recovery from Investors
Receiver's Time and Fees for Services Rendered

Activity Category	Hours Expended	Fee Amount
Asset Analysis and Recovery	4.60	\$1,656.00
TOTAL	4.60	\$1,656.00

2. Litigation Against Raymond P. Montie.

This is a project involving the Receiver's clawback litigation against Raymond P. Montie for fraudulent transfers and abetting or personally committing breaches of fiduciary duty. (*See also* Ex. 2 § V.1.e.) The Receiver has settled this litigation for \$549,410.88 after evaluation of the Receiver's claims and prospects of collection. The Receiver did not charge fees for services rendered or incur any costs for this matter during the time covered by this Motion.

II. Guerra & Partners, P.A.

The Receiver requests that the Court award G&P a total of \$14,137.38, which includes \$13,542.50 in fees for professional services rendered and \$594.88 in costs incurred from July 1, 2024 through September 30, 2024. A categorization and summary of all costs for which G&P seeks reimbursement is attached as **Exhibit 6**.

As an accommodation to the Receiver and to conserve the resources of the Receivership Estate, G&P's attorneys and paralegals have agreed to reduce their standard rates by as much as 30 percent as provided in the fee

schedule attached as **Exhibit 7**. G&P began providing services immediately upon the appointment of the Receiver. The activities of G&P for the time covered by this motion are set forth in the Interim Report. *See* Ex. 2. G&P has billed time for these activities in accordance with the Billing Instructions.

A. The Receivership.

As discussed above, the work of the Receiver and G&P focused on investigating fraud and related activities, locating and taking control of Receivership assets, investigating and pursuing additional assets for the Receivership, analyzing investor information for the claims process and litigation, and administering the claims process. Ex. 2. A copy of the statement summarizing the services rendered and costs incurred by G&P from July 1, 2024 through September 30, 2024, is attached as **Exhibit 8**. G&P's time and fees for services rendered on this matter for each Activity Category are as follows:

Receivership
G&P's Time and Fees for Services Rendered

Activity Category	Hours Expended	Fee Amount
Asset Analysis and Recovery	45.60	\$8,106.50
Business Operations	0.10	\$24.00
Case Administration	2.80	\$661.50
Claims Administration	25.70	\$4,750.50
TOTAL	74.20	\$13,542.50

In addition to legal fees, G&P has advanced costs of \$594.88. The Receiver also requests the Court award Johnson Newlon and DeCort fees for professional services rendered by paralegal Mary Gura for her continuation of work on behalf of the Receivership from July 1, 2024 through September 30, 2024, in the amount of \$3,766.50. A copy of the statement summarizing the services rendered by Ms. Gura from July 1, 2024 through September 30, 2024, is attached as **Exhibit 9**.

B. Discrete Litigation Projects.

In conjunction with the Receivership, two discrete litigation projects have been formally commenced by the Receiver. *See* Sections I.B.1 and I.B.2 above. G&P did not charge fees for services rendered or incur any costs for either discrete litigation matter during the time covered by this Motion.

III. Jared J. Perez P.A.

The Receiver requests the Court award Jared Perez fees for professional services rendered and costs incurred from July 1, 2024 through September 30, 2024, in the amount of \$3,744.00. As an accommodation to the Receiver and to conserve the resources of the Receivership Estate, Mr. Perez has agreed to follow the reduced rates provided in the G&P fee schedule. Ex. 7. Jared J. Perez P.A. began providing services on July 8, 2022. However, as

noted in the Interim Report, Mr. Perez was the lead counsel and senior attorney on this matter from its inception. The activities of Mr. Perez for the time covered by this Motion are set forth in the Interim Report. *See* Ex. 2. He has billed time for these activities in accordance with the Billing Instructions. A copy of the statement summarizing the services rendered and costs incurred for the pertinent period is attached as **Exhibit 10**.

IV. Englander Fischer.

The Receiver requests the Court award Englander Fischer fees for professional services rendered and costs incurred from July 1, 2024 through September 30, 2024 in the amount of \$15,229.26. On March 24, 2020, the Receiver sought the approval of the retention of John Waechter and Englander Fischer to assist the Receiver and his primary counsel, G&P, with the clawback litigation explained in Sections I.B.1 and I.B.2 above. Doc. 258. The Court granted the Receiver's motion on April 13, 2020. Doc. 264. As an accommodation to the Receiver, Mr. Waechter agreed to a reduced rate of \$335 per hour for his work on behalf of the Receivership. Copies of the statements summarizing the services rendered and costs incurred by Englander Fischer from July 1, 2024 through September 30, 2024 are attached as **Exhibit 11**.

Englander Fischer's invoices do not contain a summary of the professionals' hours. Therefore, a summary of the professionals' hours rendered during the time covered by this motion is set forth below.

Professional	Hours	Rate	Total
Beatriz McConnell (BM)	43.10	\$335.00	\$14,438.50
Katharine Haddad	1.00	\$335.00	\$335.00
Iris Munguia-Revollo (IMR)	1.90	\$185.00	\$351.50
Total Fees			\$15,125.00
Disbursements			\$104.26
Total	46.00		\$15,229.26

V. Evans Keane LLP n/k/a Elam & Burke.

The Receiver requests the Court award Elam & Burke (formerly known as Evans Keane LLP)¹⁰ fees for professional services rendered and costs incurred from July 1, 2024 through September 30, 2024 in the amount of \$561.85. Elam & Burke is assisting the Receiver as local counsel in Idaho in connection with a subpoena served on a non-party in Idaho. Copies of the statements summarizing the services rendered and costs incurred by Elam & Burke from July 1, 2024 through September 30, 2024 are attached as **Exhibit 12.**

¹⁰ On August 16, 2024, the Receiver's counsel at Evans Keane provided a letter stating that they had ceased business at Evans Keane and will be joining the Boise, Idaho firm of Elam & Burke. There is no change of representation from Evans Keane to Elam & Burke. The Receiver is continuing to use the same professionals as local counsel in Idaho.

VI. Phillips Lytle LLP.

The Receiver requests the Court award Phillips Lytle LLP fees for professional services rendered and costs incurred from July 1, 2024 through September 30, 2024 in the amount of \$3,159.50. Phillips Lytle is assisting the Receiver as local counsel in New York in connection with enforcement and collection of a clawback judgment in New York. Copies of the statements summarizing the services rendered and costs incurred by Phillips Lytle from July 1, 2024 through September 30, 2024 are attached as composite **Exhibit 13.**

VII. PDR CPAs.

The Receiver requests the Court award PDR fees for professional services rendered and costs incurred from July 1, 2024 through September 30, 2024, in the amount of \$5,593.13. PDR is an accounting firm that specializes in tax matters and has extensive experience with the tax treatment of settlement funds. PDR is assisting the Receiver with internal Receivership accounting, financial reporting, and tax preparation and filing. PDR started providing services for the Receivership on May 17, 2019. Copies of the statements summarizing the services rendered for the pertinent period are attached as composite **Exhibit 14.**

VIII. E-Hounds, Inc.

The Receiver requests the Court award E-Hounds fees for professional services rendered and costs incurred from July 1, 2024 through September 30, 2024, in the amount of \$6,405.00. E-Hounds is a computer forensics firm that assists the Receiver in securing, analyzing, and maintaining electronic data. E-Hounds started providing services for the Receivership on April 22, 2019. Copies of the statements summarizing the services rendered and costs incurred for the pertinent period are attached as composite **Exhibit 15**.

IX. Maples Group.

The Receiver requests the Court award the Maples Group fees for professional services rendered and costs incurred from July 1, 2024 through September 30, 2024 in the amount of \$501.25. The Caymans Islands office of the Maples Group provides local counsel and foreign regulatory compliance services. A copy of the statement summarizing the services rendered and costs incurred for the pertinent period is attached as **Exhibit 16**.

MEMORANDUM OF LAW

It is well settled that this Court has the power to appoint a receiver and to award the receiver and those appointed by him fees and costs for their services. *See, e.g., S.E.C. v. Elliott*, 953 F.2d 1560 (11th Cir. 1992) (receiver is entitled to compensation for faithful performance of his duties); *Donovan v. Robbins*, 588 F. Supp. 1268, 1272 (N.D. Ill. 1984) (“[T]he receiver diligently

and successfully discharged the responsibilities placed upon him by the Court and is entitled to reasonable compensation for his efforts.”); *S.E.C. v. Custable*, 1995 WL 117935 (N.D. Ill. Mar. 15, 1995) (receiver is entitled to fees where work was of high quality and fees were reasonable); *S.E.C. v. Mobley*, 2000 WL 1702024 (S.D.N.Y. Nov. 13, 2000) (court awarded reasonable fees for the receiver and his professionals); *see also* Doc. 7 ¶ 40 & Doc. 44 ¶ 58. The determination of fees to be awarded is largely within the discretion of the trial court. *See Monaghan v. Hill*, 140 F.2d 31, 34 (9th Cir. 1944). In determining reasonable compensation for the services rendered by the Receiver and his Professionals, the Court should consider the circumstances surrounding the Receivership. *See Elliott*, 953 F.2d at 1577.

Here, because of the nature of this case, it is necessary for the Receiver to employ attorneys and accountants experienced and familiar with financial frauds, federal receiverships, banking, and finance. Further, to perform the services required and achieve the results obtained to date, the skills and experience of the Receiver and the Professionals in the areas of fraud, commodities, computer and accounting forensics, and financial transactions are indispensable.

As discussed above, the Receiver, G&P, and Mr. Perez have discounted their normal and customary rates as an accommodation to the Receivership and to conserve Receivership assets. The rates charged by the attorneys and

paralegals are at or below those charged by attorneys and paralegals of comparable skill from other law firms in the Middle District of Florida.

This case has been time-intensive for the Receiver and his Professionals because of the need to resolve many issues rapidly and efficiently. The attached Exhibits detail the time, nature, and extent of the professional services rendered by the Receiver and his Professionals for the benefit of investors, creditors, and other interested parties. The Receiver anticipates that additional funds will be obtained through the Receiver's negotiations or litigation with third parties.

Although the CFTC investigated and filed the initial pleadings in this case, as directed by the Consolidated Order (*see, e.g.*, Doc. 177 ¶ 44), the Receiver is involved with the investigation and forensic analysis of the events leading to the commencement of the pending action, the efforts to locate and gather investors' money, the determination of investor and creditor claims and the payment of these claims through the claims process. The Receiver is sensitive to the need to conserve the Receivership Entities' assets. He has reviewed the invoices of all professionals and vendors and believes that their fees are reasonable and have provided value to the Receivership. The Commission has no objection to the relief sought in this motion. *Cf. Custable*, 1995 WL 117935 at *7 ("In securities law receiverships, the position of the SEC in regard to the awarding of fees will be given great weight.").

CONCLUSION

Under the Consolidated Order, the Receiver, among other things, is authorized and empowered to engage professionals to assist him in carrying out his duties and obligations. The Consolidated Order further provides that he apply to the Court for authority to pay himself and his Professionals for services rendered and costs incurred. In exercising his duties, the Receiver has determined that the services rendered and their attendant fees and costs were reasonable, necessary, advisable, and in the best interests of the Receivership.

WHEREFORE, Burton W. Wiand, the Court-appointed Receiver, respectfully requests that this Court award the following sums and direct that payment be made from the Receivership assets:¹¹

Burton W. Wiand, Receiver	\$28,481.73
Guerra & Partners, P.A.	\$14,137.38
Johnson Newlon & DeCort	\$3,766.50
Jared J. Perez P.A.	\$3,744.00
Englander Fischer	\$15,229.26
Elam & Burke	\$561.85
Phillips Lyte LLP	\$3,159.50
PDR CPAs	\$5,593.13
E-Hounds, Inc.	\$6,405.00
Maples Group	\$501.25

¹¹ A proposed order is attached as **Exhibit 17**.

LOCAL RULE 3.01(g) CERTIFICATION

Undersigned counsel for the Receiver has conferred with counsel for the CFTC and is authorized to represent to the Court that the CFTC does not oppose the relief requested in this motion. The Receiver has made reasonable efforts to confer with Defendant DaCorta (who is in prison and appealing the Court's entry of summary judgment against him) by contacting Ronald Kurpiers, counsel of record for DaCorta, who advised he no longer represents DaCorta in this action. No other counsel has appeared on DaCorta's behalf and the Receiver does not have contact information for DaCorta in prison. The Receiver has not consulted with defendants Anile, Duran, Haas, and Montie because they have either defaulted or settled the CFTC's claims against them through the entry of consent orders and judgments and are thus no longer active participants in this litigation. The Receiver has not consulted with the intervening party United States because the government has not previously taken a position on the Receiver's fee applications and the stay it earlier obtained expired on July 24, 2022.

Respectfully submitted,

/s/ Maya Lockwood
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*Attorneys for Burton W. Wiand,
Receiver*

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on December 2, 2024, I electronically filed the foregoing with the Clerk of the Court by using the CM/ECF system. I have also provided the following non-CM/ECF participants with a true and correct copy of the foregoing by electronic mail to:

John J. Haas
xlr8nford@yahoo.com

Raymond P. Montie, III
RayMontie7@yahoo.com

/s/ Maya M. Lockwood
Maya M. Lockwood

RECEIVER'S CERTIFICATION

The Receiver has reviewed this Twenty-second Interim Motion for Order Awarding Fees, Costs, and Reimbursement of Costs to Receiver and His Professionals (the “**Motion**”).

To the best of the Receiver’s knowledge, information, and belief formed after reasonable inquiry, the Motion and all fees and expenses herein are true and accurate and comply with the Billing Instructions provided to the Receiver by the Commodity Futures Trading Commission.

All fees contained in the Motion are based on the rates listed in the fee schedule, attached as Exhibit 7. Such fees are reasonable, necessary, and commensurate with (if not below the hourly rate that is commensurate with) the skill and experience required for the activity performed.

The Receiver has not included in the amount for which reimbursement is sought the amortization of the cost of any investment, equipment, or capital outlay (except to the extent that any such amortization is included within the permitted allowable amounts set forth in the Billing Instructions for photocopies and facsimile transmission).

To the extent the Receiver seeks reimbursement for any service which the Receiver justifiably purchased or contracted for from a third party (such as copying, imaging, bulk mail, messenger service, overnight courier, computerized research, or title and lien searches), the Receiver has requested

reimbursement only for the amount billed to the Receiver by the third-party vendor and/or paid by the Receiver to such vendor. The Receiver is not making a profit on such reimbursable services.

The Receiver believes that the fees and expenses included in this Motion were incurred in the best interests of the Receivership Estate. With the exception of the Billing Instructions and the Court-approved engagements described above, the Receiver has not entered into any agreement, written or oral, express or implied, with any person or entity concerning the amount of compensation paid or to be paid from the Receivership Estate, or any sharing thereof.

/s/ Burton W. Wiand

Burton W. Wiand, as Receiver

EXHIBIT 1

Standardized Accounting Report Form

Standardized Accounting Report for Oasis Management LLC Receivership
 Civil Court Docket No. 8:19-cv-00886-VMC-SPF
 Reporting Period 07/01/2024 to 09/30/2024

		Details	Subtotal	Grand Total	Notes
Line 1	Beginning Balance (As of 07/01/2024)			\$ 1,744,618.94	
Increases in Fund Balance					
Line 2	Business Income				
Line 3	Cash and Securities				
Line 4	Interest/Dividend Income	\$ 13,261.20			Interest Income
Line 5	Asset Liquidation	\$ -			Items Auctioned
Line 6	Third-Party Litigation Income	\$ 189,718.76			Settlements
Line 7	Other Miscellaneous	\$ 400.00			Reissue Fees
Total Funds Available - Totals Line 1 - 7			\$ 203,379.96	\$ 1,947,998.90	
Decreases in Fund Balance					
Line 9	Disbursements to Investors		-		
Line 10	Disbursements for Receivership Operations				
10.a.1	Receiver				
10.a.2	Guerra King				Professional Fees
10.a.3	KapilaMukamal LLP				Professional Fees
10.a.4	PDR Certified Public Accts				Professional Fees
10.a.5	RPM Financial				Professional Fees
10.a.6	Englander Fisher				Professional Fees
10.a.7	The RWJ Group	\$ 52.50			Professional Fees
10.a.8	E Hounds				Professional Fees
10.a.9	Maples Group	\$ -			Professional Fees
10.a.10	Jared J Perez PA				Professional Fees
10.a.11	Other Professional Fees				Professional Fees
Line 10	Total Disbursements to Receiver/Professionals		\$ 52.50		
10b	Third-Party Litigation Expenses				
10c	Asset Expenses			1,669.17	Copies
10d	Tax Payments				
Total Disbursements for Receivership Ops.			\$ 1,721.67		
Line 11	Disbursements Related to Distribution Expenses				
Line 12	Disbursement to Court/Other				
Line 13	Other				
Total Funds Disbursed - Total Lines 9 - 13				\$ 1,721.67	
Line 14	Ending Balance (as of 09/30/2024)			\$ 1,946,277.23	

Standardized Accounting Report Form

Standardized Accounting Report for Oasis Management LLC Receivership
Civil Court Docket No. 8:19-cv-00886-VMC-SPF
From Inception to 09/30/2024

	Details	Subtotal	Grand Total	Notes
Line 1	Beginning Balance		-	
	Increases in Fund Balance			
Line 2	Business Income	\$ 53,335.13		Rental/Mortgage Income
Line 3	Cash and Securities	\$ 9,158,582.33		Cash from Frozen Accts.
Line 4	Interest/Dividend Income	\$ 773,426.99		Interest Income
Line 5	Asset Liquidation	\$ 7,900,650.41		Sale of Real Estate/Misc.
Line 6	Third-Party Litigation Income	\$ 5,533,751.59		Settlements, etc
Line 7	Other Miscellaneous	\$ 7,788,374.26		Remitted Funds & Misc.
	Total Funds Available - Totals Line 1 - 7	\$ 31,208,120.71	\$ 31,208,120.71	
	Decreases in Fund Balance			
Line 9	Disbursements to Investors		\$ 18,842,493.32	
Line 10	Disbursements for Receivership Operations			
10.a.1	Receiver	\$ 515,861.22		Professional Fees
10.a.2	Guerra King	\$ 2,158,639.32		Professional Fees
10.a.3	KapilaMukamal LLP	\$ 320,452.44		Professional Fees
10.a.4	PDR Certified Public Accts	\$ 102,746.85		Professional Fees
10.a.5	RPM Financial	\$ 84,036.92		Professional Fees
10.a.6	Englander Fisher	\$ 534,401.18		Professional Fees
10.a.7	The RWJ Group	\$ 100,688.80		Professional Fees
10.a.8	E Hounds	\$ 163,817.97		Professional Fees
10.a.9	Maples Group-	\$ 54,811.60		Professional Fees
10.a.10	Jared J. Perez	\$ 135,442.06		Professional Fees
10.a.11	Other Professional Fees	\$ 98,244.56		Professional Fees
Line 10 a	Total Disbursements to Receiver/Professionals	\$ 4,269,142.92		
10b	Third-Party Litigation Expenses	\$ 42,160.00		
10c	Asset Expenses	\$ 358,851.10		Condo Fees, Insurance Repairs, Maint & Utilities
10d	Tax Payments	\$ 109,117.36		County Sales & Property Tax
	Total Disbursements for Receivership Ops.	\$ 4,779,271.38		
Line 11	Disbursements Related to Distribution Expenses			
Line 12	Disbursement to Court/Other	\$ 5,637,625.12		Remission to USMS
Line 13	Other	\$ 2,453.66		Cayman Registration Fee
	Total Funds Disbursed - Total Lines 9 - 13		\$ 29,261,843.48	
Line 14	Ending Balance (as of 09/30/2024)		\$ 1,946,277.23	

Line 15	Number of Claims	834
15a	No. of Claims Received This Reporting Period	0
15b	No. of Claims Received Since Inception of Estate	834
Line 16	Number of Claimants/Investors	827
16a	No. of Claimants/Investors Paid This Reporting period	0
16b	No. of Claimants/Investors Paid Since Inception of Estate	732 First Interim Distribution Checks Issued; 733 Second Interim Distribution Checks Issued

Receiver:

By: 
Signature

Burton W. Wiand, Receiver
Printed Name

Date: 11-1-2024

UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION

COMMODITY FUTURES TRADING
COMMISSION,

Case No. 8:19-CV-886-T-33SPF

Plaintiff,

v.

OASIS INTERNATIONAL GROUP,
LIMITED; OASIS MANAGEMENT, LLC;
SATELLITE HOLDINGS COMPANY;
MICHAEL J. DACORTA; JOSEPH S.
ANILE, II.; RAYMOND P. MONTIE III;
FRANCISCO "FRANK" L. DURAN; and
JOHN J. HAAS,

Defendants;

and

FUNDADMINISTRATION, INC.;
BOWLING GREEN CAPITAL
MANAGEMENT LLC; LAGOON
INVESTMENTS, INC.; ROAR OF THE
LION FITNESS, LLC; 444 GULF OF
MEXICO DRIVE, LLC; 4064 FOUNDERS
CLUB DRIVE, LLC; 6922 LACANTERA
CIRCLE, LLC; 13318 LOST KEY PLACE,
LLC; and 4 OAKS LLC,

Relief Defendants.

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THE RECEIVER'S TWENTY-SECOND INTERIM REPORT

Information and Activity from July 1, 2024 through September 30, 2024.

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INTRODUCTION

Burton W. Wiand, the Court-appointed receiver over the assets of the above-captioned defendants and relief defendants (the “**Receiver**” and the “**Receivership**” or “**Receivership Estate**”), files this Twenty-Second Interim Report to inform the Court, investors, creditors, and others interested in this Receivership of activities to date as well as the Receiver’s proposed course of action. The Receiver has established a website, www.oasisreceivership.com, which he updates periodically. The Receiver will continue to update the website regarding the Receiver’s most significant actions, important Court filings, and other items that might be of interest to the public. This Interim Report, as well as all other reports, will be posted on the website.¹

Overview of Significant Activities During this Reporting Period

During the time covered by this Interim Report, the Receiver and his professionals engaged in the following significant activities:

- Continued to conduct the second interim distribution of approximately **\$9 million** to claimants with approved claims (*see infra* § VI);
- Obtained Court approval of the settlement of a clawback and bankruptcy action with Rocco Garbellano for **\$165,000** or proceeds from the sale of certain real estate, whichever is greater (*see infra* § V.1.g.);

¹ As directed by the Court, the Receiver will submit his next interim report and subsequent reports within thirty days after the end of each calendar quarter. Where possible, the Receiver has also included information about events occurring between September 30, 2024 (the end of the reporting period) and the date of this filing.

- Continued pre-litigation settlement efforts with other insiders and potential defendants (*see infra* § V.3);
- Engaged in discovery and other collection efforts with respect to various judgments (*see infra* § V.2.c.);
- Continued to litigate the enforceability of a subpoena and contempt sanctions against Intermountain Precious Metals in federal court in Idaho regarding a potential recovery scam targeting defrauded investors (*see infra* § II.A.);
- Filed a motion for contempt against Stephen Preziosi, defendant Michael DaCorta's civil appellate attorney, for failure to comply with a subpoena served upon him (*see infra* § II.A.);
- Continued to litigate the Receiver's lawsuit against ATC Brokers Ltd., David Manoukian, and Spotex, LLC (*see infra* § V.2.b.);
- Collected litigation income of **\$189,718.76** through settlements and/or the enforcement of default judgments (*see* Ex. A); and
- Collected **\$13,261.20** in interest income on seized funds (*see id.*).

Overview of Activities Since the Beginning of this Receivership

Since the beginning of this Receivership, the Receiver and his professionals have engaged in the following significant activities:

- Seized approximately **\$9,158,582.33** from frozen bank accounts at numerous financial institutions, including two Belizean banks;
- Generated **\$53,335.13** in business income, primarily from mortgages and rentals;
- Liquidated an additional approximately **\$7,900,650.41** in assets (net, excluding remitted funds), mostly subject to agreements with the Department of Justice and the United States Marshals Service;
- Collected **\$773,426.99** in interest and/or dividend income;
- Collected total litigation income of **\$5,533,751.59** through clawback and other third-party settlements; and

- Collected other miscellaneous income of **\$7,788,374.26**, including funds remitted by the Department of Justice.

The above activities are discussed in more detail in the pertinent sections of this Interim Report and in the Receiver’s previous interim reports.

BACKGROUND

I. Procedure and Chronology

On April 15, 2019, the Commodity Futures Trading Commission (“**CFTC**”) filed a complaint (Doc. 1) against (1) defendants Oasis International Group, Limited (“**OIG**”); Oasis Management, LLC (“**Oasis Management**”); Michael J. DaCorta (“**DaCorta**”); Joseph S. Anile, II (“**Anile**”); Francisco “Frank” L. Duran (“**Duran**”); Satellite Holdings Company (“**Satellite Holdings**”); John J. Haas (“**Haas**”); and Raymond P. Montie, III (“**Montie**”) (collectively, the “**defendants**”) and (2) relief defendants Fundadministration, Inc. (“**FAI**”); Bowling Green Capital Management, LLC (“**Bowling Green**”); Lagoon Investments, Inc. (“**Lagoon**”); Roar of the Lion Fitness, LLC (“**Roar of the Lion**”); 444 Gulf of Mexico Drive, LLC (“**444 Gulf of Mexico**”); 4064 Founders Club Drive, LLC (“**4064 Founders Club**”); 6922 Lacantera Circle, LLC (“**6922 Lacantera**”); 13318 Lost Key Place, LLC (“**13318 Lost Key**”); and 4Oaks LLC (“**4Oaks**”) (collectively, the “**relief defendants**”). The defendants and relief defendants are referred to as the “**Receivership Entities.**”

The complaint charged the defendants with violations of the Commodity Exchange Act and CFTC regulations and sought to enjoin their violations of

these laws regarding a fraudulent foreign currency (“**forex**”) trading scheme. The CFTC alleged that between mid-April 2014 and April 2019, the defendants fraudulently solicited over 700 U.S. residents to invest in two forex commodity pools – Oasis Global FX, Limited and Oasis Global FX, S.A. (collectively, the “**Oasis Pools**”). The CFTC also asserted that the defendants raised approximately \$75 million from these investors and misappropriated over \$28 million of the pool funds to make payments to other pool participants and over \$18 million for unauthorized personal and business expenses, including the transfer of at least \$7 million to the relief defendants.²

On the same day the CFTC filed its complaint, April 15, 2019, the Court entered an order appointing Burton W. Wiand as temporary Receiver for the Receivership Entities (Doc. 7) (the “**SRO**”). The Court directed him, in relevant part, to “[t]ake exclusive custody, control, and possession of the Receivership Estate,” which includes “all the funds, properties, premises, accounts, income, now or hereafter due or owing to the Receivership Defendants, and other assets directly or indirectly owned, beneficially or otherwise, by the Receivership Defendants.” *See id.* at p. 14, ¶ 32 & p. 15, ¶ 30.b. The SRO also imposed a temporary injunction against the defendants and relief defendants and froze their assets. *Id.* at 19.

² On June 12, 2019, the CFTC filed an amended complaint (Doc. 110), which contained additional allegations about certain defendants and relief defendants.

Subsequently, all defendants and relief defendants either defaulted or consented to the entry of a preliminary injunction against them (with some differences unique to the circumstances of each party). *See* Docs. 35, 43, 44, 82, 85, 172, 174-77. On July 11, 2019, the Court entered a Consolidated Receivership Order, which is now the operative document governing the Receiver’s activities. Doc. 177 (the “**Consolidated Order**”).³ Pursuant to the Consolidated Order and its predecessors (*see* Docs. 7, 44), the Receiver has the duty and authority to (1) administer and manage the business affairs, funds, assets, and any other property of the Receivership Entities; (2) marshal and safeguard the assets of the Receivership Entities; and (3) investigate and institute legal proceedings for the benefit of the Receivership Entities and their investors and other creditors as the Receiver deems necessary.

On June 26, 2019, the Department of Justice, through the United States Attorney’s Office for the Middle District of Florida (the “**DOJ**”), moved to stay this litigation to protect an ongoing criminal investigation. Doc. 149. The Court granted the DOJ’s motion on July 12, 2019, but exempted the Receiver’s activities from the stay. Doc. 179. The Court also required the DOJ to provide periodic status reports during the stay. *Id.*

³ On April 23, 2021, the Court reappointed the Receiver for purposes of 28 U.S.C. § 754, but the order of reappointment attaches and incorporates the Consolidated Order by reference. *See* Doc. 390. As such, the provisions of the Consolidated Order continue to govern the Receiver’s mandate upon reappointment. *Id.*

On August 8, 2019, defendant Anile pled guilty to three counts involving the scheme – (1) conspiracy to commit wire and mail fraud; (2) engaging in an illegal monetary transaction; and (3) filing a false income tax return. *See United States of America v. Joseph S. Anile, II*, Case No. 8:19-cr-334-T-35CPT (M.D. Fla.) (the “**Anile Criminal Action**” or “**ACA**”). A copy of Anile’s plea agreement was attached as Exhibit A to the Receiver’s Second Interim Report. Doc. 195. On November 18, 2020, Anile was sentenced to imprisonment of 120 months and supervised release of three years. ACA Doc. 56. He was also ordered to pay restitution of \$53,270,336.08. *Id.*

Anile subsequently filed a motion seeking a downward departure (*i.e.*, sentence reduction) due to his cooperation with the government and other relevant factors. On January 24, 2023, the judge presiding over the Anile Criminal Action granted his motion and, in relevant part, reduced his term of imprisonment to “time served” plus 12 months of home confinement and an additional two years of supervised release. *See* ACA Docs. 76, 77. In the Receiver’s opinion, this reduction was due to Anile’s cooperation with the Department of Justice, his assistance to the Receiver in collecting assets, and in large part, his significant health issues.

Similarly, on December 17, 2019, a federal grand jury returned a two-count indictment against defendant DaCorta, alleging conspiracy to commit wire and mail fraud as well as engaging in an illegal monetary transaction. *See*

United States of America v. Michael J. DaCorta, Case No. 8:19-cr-605-T-02CPT (M.D. Fla.) (the “**DaCorta Criminal Action**” or “**DCA**”). A copy of the original indictment was attached as Exhibit A to the Receiver’s Third Interim Report, and a copy of a superseding indictment, which added an additional count related to tax evasion, was attached as Exhibit D to the Receiver’s Eighth Interim Report. *See* Docs. 229, 393.

On May 4, 2022, after two weeks of testimony and argument before the Honorable William F. Jung and less than four hours of deliberation, a jury found DaCorta guilty on all three counts. DCA Doc. 192. On October 20, 2022, Judge Jung sentenced DaCorta to imprisonment of 276 months (*i.e.*, 23 years) for his role in the Ponzi scheme underlying this enforcement action. DCA Doc. 234. Judge Jung also ordered DaCorta to pay restitution in the amount of \$53,270,336.08, jointly and severally with defendant Anile (although the Receiver has already recovered and sold both individuals’ material assets).

On January 14, 2022, the DOJ moved the Court to extend the stay in this enforcement action for an additional six months to protect its ongoing investigation. Doc. 467. The Court granted the motion and extended the stay until July 24, 2022. Doc. 470. After the DOJ declined to further extend the stay, the Court noted its expiration on July 24, 2022, and ordered the parties to confer and file a case management report by August 8, 2022. Doc. 652. Pursuant to that report and the Court’s related order, the CFTC’s enforcement

action against all defendants was scheduled for trial in December 2023. On August 19, 2022, DaCorta filed a motion to dismiss the CFTC's complaint (Doc. 663), which the court denied during a hearing on December 22, 2022 (Doc. 701). DaCorta filed an answer to the complaint on December 28, 2022 (Doc. 704).

On June 13, 2023, the CFTC entered into a consent order with defendant Montie, and on June 28, 2023, the agency entered into a consent order with defendant Haas. The CFTC also entered into consent orders with defendants Anile, Duran, OIG, Oasis Management, and Satellite Holdings. On December 15, 2023, the Court granted the CFTC's motion for entry of the consent orders. *See* Docs. 783, 786-90. The orders require the defendants to disgorge their ill-gotten gains and to pay a civil penalty. The Receiver is collecting the disgorgement amounts and distributing the money through the claims process. As further explained in Section V.1., the Receiver also entered into parallel settlement agreements with defendants Montie and Haas.

On July 17, 2023, the CFTC filed a motion for summary judgment against defendant DaCorta (Doc. 749), and on the same day, DaCorta filed a motion for summary judgment against the CFTC (Doc. 750).⁴ Mediation

⁴ Because DaCorta's assets are frozen, the Receiver served a subpoena on the attorney representing DaCorta in this enforcement action to determine the source of the funds used to pay his legal expenses. DaCorta appealed the Court's order granting summary judgment against him, and a New York attorney, Stephen Preziosi, was hired to represent DaCorta before the Eleventh Circuit Court of Appeals. For the same reason, the Receiver also served a subpoena on Mr. Preziosi. *See infra* § II.A.

between the CFTC and DaCorta was unsuccessful. On December 6, 2023, the Court granted the CFTC's motion for summary judgment and denied DaCorta's motion. Doc. 780. The Court found that DaCorta had no evidence to contest any material claim of the CFTC. *Id.* The Court entered judgment against DaCorta in the amount of \$53,270,336.08 plus post-judgment interest and a civil penalty of \$8,453,628.48. DaCorta is appealing the Court's order. He filed an appellate brief and voluminous index on June 25, 2024. The CFTC submitted its response on August 28, 2024, and DaCorta filed his reply on October 8, 2024. The appellate court has not issued an opinion as of the date of this Interim Report. *See generally C.F.T.C. v. DaCorta*, Case No. 24-10132-AA (11th Cir.).

II. Overview of the Receiver's Findings

The Consolidated Order authorizes, empowers, and directs the Receiver to "investigate the manner in which the financial and business affairs of the Receivership Defendants were conducted...." Doc. 177 ¶ 44. Pursuant to that mandate, the Receiver obtained and reviewed records from Receivership Entities and third parties. The Receiver has formed certain conclusions based on his review of a portion of the records received and interviews with employees, lawyers, accountants, and others.

As demonstrated by Anile's 2019 guilty plea, DaCorta's 2022 criminal conviction following a two-week jury trial, and the Court's order granting the

CFTC’s motion for summary judgment, there is abundant evidence that the defendants were operating a fraudulent investment scheme. The scheme began with the sale of preferred shares in OIG, which is registered in the Cayman Islands. The shares promised a 12% dividend that was to be derived from trading by a related company: first, Oasis Global FX, Limited and then Oasis Global FX, S.A. – *i.e.*, the Oasis Pools. These companies were registered in New Zealand and Belize, respectively, and were purportedly introducing brokers that would trade currencies or currency-related contracts. The 12% return was to be derived from trading profits and transaction income earned by the brokers. The preferred shares were sold to investors through a private placement memorandum that contained significant false representations and omitted numerous material facts, including that DaCorta, the “Chief Investment Officer,” was prohibited from currency trading through a prior regulatory action in the United States. As the scheme grew, other companies – Oasis Management and Satellite Holdings – were used to gather investments and funnel them into the scheme. Preferred shareholders became purported “lenders” (although the entities continue to have shareholders to this day) who were told they were lending money to certain defendants. Investors were regularly sent statements showing an account with a principal amount and accrued and accruing earnings. All of this was false, as confirmed by defendant Anile’s guilty plea and DaCorta’s conviction.

As the scheme matured, the perpetrators created a website that investors could access to view their purported accounts. Investors' account pages showed that they were credited with a 1% "interest" payment each month plus, on a daily basis, a portion of purported trading income earned by the scheme's forex trading entity.⁵ The scheme was successful and proliferated because of the continued deception of the investors with respect to their purported accounts. They were led to believe that they held valuable loan accounts that continually earned money when, in fact, the scheme appears to have been insolvent since its inception. As an example, when the CFTC stopped the scheme in April 2019, the fraudulent website showed investors that they were owed an aggregate of over \$120 million. In truth, OIG only had liquid assets of less than \$10 million and was continually losing money. At the time the CFTC asked the Court to freeze the Receivership Entities' accounts, OIG was accruing debt obligations to its investors in excess of \$1 million per month. OIG was losing money and had no ability to satisfy its obligations to

⁵ Specifically, many investors were told by those perpetrating the scheme that the investors would receive a portion of the "spread pay" that Oasis Global FX, S.A. earned from its purported role as a broker of forex transactions for OIG. The spread pay, however, was nothing more than a markup on all transactions and served to increase the losses in the OIG account. No spread pay (or any portion thereof) was ever distributed to an investor. Rather, it was a ruse used to deceive investors into believing that they were receiving enhanced returns when, in fact, fictitious amounts were being credited to their fraudulent accounts. In truth, Oasis Global FX, S.A. and its traders conducted continually and routinely unprofitable trades and lost almost all the investors' money. The fabrication of returns based on purported spread pay was an integral part of the system through which the perpetrators lured investors into the scheme.

its investors, but insiders were regularly representing to investors that its operations were profitable. Over time, some investors withdrew a portion or even all of their investment amounts. No payment was ever made from successful operations or trading and all distributions were made from the deposits of other investors.

The Receiver's analysis indicates that a total of approximately \$80 million was raised from investors.⁶ An analysis from the beginning of 2017 indicates that approximately \$20 million was deposited for trading, which resulted in substantial losses. The remainder of the money raised from investors was used to make Ponzi payments to other investors, to pay expenses to perpetuate the scheme, and to enrich the defendants. Through the claims process discussed below in Section VI, investors and other creditors have submitted hundreds of claims totaling approximately \$70 million.

A. The Receiver's Investigation into Defendant DaCorta's Assets, the Ongoing Obstruction of the Receivership, and a Potential Recovery Scam Targeting Defrauded Investors

Through the Consolidated Order and its predecessors, the Court directed the Receiver to implement the asset freeze and to marshal and safeguard all property belonging to the defendants and relief defendants. Pursuant to this

⁶ To the extent these numbers differ from those alleged by the CFTC, the Receiver understands that the CFTC only considered transactions within the pertinent statute of limitations while the Receiver is reviewing all available transactions.

mandate and as explained in prior interim reports, the Receiver seized and liquidated luxury real estate, sports cars, and precious metals, among other things. The Court has never exempted any cash or other property from the asset freeze for the payment of defendant DaCorta's legal expenses. Indeed, the Office of the Federal Public Defender represented DaCorta during his criminal trial and subsequent conviction and sentencing.

On July 29, 2022, Ronald J. Kurpiers, II, a private attorney, entered a notice of appearance in this action on DaCorta's behalf.⁷ Doc. 654. Kurpiers has since filed a motion for summary judgment, opposed the CFTC's motion for summary judgment, taken the Receiver's deposition, and otherwise prepared this case for trial. These activities raise a question central to the

⁷ On February 10, 2023, Kurpiers also filed notices of appearance and substantively identical objections to the report and recommendation issued by the presiding Magistrate Judge approving the first interim distribution on behalf of six claimants: Casey Utter (Docs. 709, 723); Michelle Utter (Docs. 710, 718); Robert Parker Utter (Docs. 711, 722); Henry Fuksman (Docs. 712, 717, 721); John Paniagua (Docs. 713, 716, 720); and Lance Wren (Docs. 714, 715, 719). According to an engagement agreement the Receiver has obtained, Winters retained Kurpiers and paid him \$10,000 to file those frivolous documents. The agreement described Winters and Kurpiers as co-counsel. DaCorta, however, was convicted of defrauding Oasis investors, including the aforementioned objectors, and sentenced to 23 years in prison. As such, Kurpiers simultaneously represents both the convicted mastermind of the Oasis scheme and certain of his adjudicated victims. The Receiver has not attempted to determine whether that conflict is waivable, and if so, whether Kurpiers has obtained the requisite waivers, but pertinent rules of professional conduct are certainly implicated. A clear purpose of DaCorta's litigation efforts is to remove the Receiver for the purported benefit of his victims, who Winters and Kurpiers also claim to represent.

In that regard, Winters is acting as co-counsel for DaCorta while also purporting to represent more than 400 claimants. As explained in this section, he has ghostwritten or otherwise taken numerous positions adverse to the claimants' interests, including seeking the dismissal of the Receivership and baselessly asserting that Anile and DaCorta committed no wrongdoing. The Receiver has found no evidence indicating that this glaring conflict of interest has been disclosed to or waived by the claimants.

Receiver's mandate: With his assets frozen, who is paying DaCorta's legal expenses? The answer is troubling.

On July 25, 2023, the Receiver served a subpoena on Kurpiers, and in response, he produced an Attorney Retainer Agreement (the "**Retainer Agreement**"), effective July 29, 2022. According to that Retainer Agreement, Brent Winters is an "Attorney" for his "Client/Defendant[,] Michael J. DaCorta." (Emphasis added.) As explained on prior occasions, however, Winters has represented himself to be an attorney-in-fact pursuant to certain power of attorney agreements (and sometimes an attorney-at-law) for more than 400 victim-investors. Determining the nature and scope of Winters' representation has been difficult because of gamesmanship and inconsistent positions. The Receiver has reviewed or engaged in numerous conversations with investors associated with Winters, and they do not draw or understand any distinction between an "attorney-at-law" and an "attorney-in-fact."

- As early as April 16, 2020, investors began to raise money for their "attorney," Brent Winters. *See, e.g.*, email from Michele Utter to investors, dated 4/16/23 ("Greg will be preparing a list of all of the donors for Brent. Brent will send a letter to the Receiver notifying him that he is now representing these people, and all future correspondence regarding them should be sent to him.").
- Winters appears to have been recruited by a small number of Oasis investors who identify themselves as the "Oasis Helpers." The group has its own website (oasisreplevin.net), which is rife with false and misleading information as well as personal attacks against the Receiver, his professionals, the CFTC, and prosecutors. The Receiver believes this website is intended to deceive claimants while seeking contributions to fund frivolous legal efforts attacking the Receiver and the Receivership

while defending DaCorta. As explained in more detail below, this website and group bear all the hallmarks of “recovery fraud.”

- Winters required investors to sign a “Power of Attorney” form, which stated that Winters would act as each investor’s “**Counsel** and Agent” (emphasis added) and listed numerous types of legal services he would provide, including (1) “[t]o commence, prosecute, discontinue, or defend all actions or other legal proceedings touching upon my property;” (2) “[t]o defend, settle, adjust, make allowances, compound, submit to arbitration, and compromise all accounts, reckonings, claims, and demands whatsoever;” and (3) “[t]o appear, cross-examine witnesses, take deposition(s), offer evidence in my defense, submit [a]ffidavits and other pertinent paperwork, plead or defend on my behalf before any competent court of [j]urisdiction respecting the aforesaid case and any derivative thereof.”
- Winters moved the Court in the Clawback Action for admission *pro hac vice* (CA Doc. 585) to represent investors, but on November 9, 2020, Magistrate Judge Thomas G. Wilson denied the motion for failure to comply with pertinent requirements (CA Doc. 648).
- Winters again moved the Court in the Clawback Action for admission *pro hac vice* (CA Doc. 652), but on November 19, 2020, Magistrate Judge Wilson again denied the motion for failure to comply with pertinent requirements (CA Doc. 659).
- Despite the foregoing, in an undated letter to the Receiver’s counsel in the Clawback Action, Winters wrote, “I do not represent, as attorney at law, any clients in the United States District Court for the Middle District of Florida, Case No: 8:20-cv-00862: *Burton Wiand, as Receiver for Oasis International Group, Ltd.; Oasis Management, LLC; and Satellite Holdings Company, Plaintiff v. Chris and Shelley Arduini, et al., Defendants*. Therefore, please direct no communication to me as though I represent, as an attorney-at-law, or have otherwise entered an appearance for any client in the above-referenced case; I have not.” The “Power of Attorney” forms, however, make clear that the services he promised to provide claimants included legal representation, and the Receiver believes that his machinations regarding the nature of his representation reflect the dishonesty of his activities.
- In April 2022, approximately 342 of the claimants associated with Proof of Claim Forms submitted by Winters indicated on their Personal Verification Forms that Winters does **not** represent them in connection with the claims process.

- Since then, Winters has continued to claim in communications with the Receiver that he “represents” more than 400 claimants.

In any event, as an attorney-at-law, an attorney-in-fact, or both depending on whether it suits him, Winters has adopted a fiduciary position with respect to hundreds of investors and is providing them with legal representation, but he also represents defendant DaCorta – the criminally convicted (and now also civilly liable) architect of the Oasis fraud. In the Receiver’s opinion, this obfuscation is a ruse intended to induce victims to entrust their claims to Winters while avoiding the jurisdiction of this Court and its ability to impose sanctions and other discipline.

According to the Retainer Agreement, Winters paid Kurpiers **\$100,000** to act as “Co-Counsel” with Winters on DaCorta’s behalf in this CFTC enforcement action and in the Receiver’s Clawback Action (*see infra* § V.2.b.). Kurpiers was also supposed to sponsor Winters for admission *pro hac vice* in both cases, but they have never filed the requisite motions.

Who is Paying Winters and Kurpiers to Simultaneously Represent Both DaCorta and His Adjudicated Victims? The Receiver is aware of attempts to extract additional money from victim-investors beginning as early as 2019. These attempts are usually premised on the false assertion that an individual can help the investors recover all of their money if the investor only pays the self-proclaimed white knight a few thousand dollars to

procure his or her services. This is known as recovery fraud.⁸ For example, in August 2019, a GoFundMe campaign was created to retain an individual named Abe Cofnas, who the “Oasis Helpers” and presumably Winters used to discredit the Receivership process by falsely claiming to know the location of recoverable money. A second round of funding was solicited in November 2019.

Similarly, the “Oasis Helpers” began soliciting “donations” from investors for Winters as early as April 2020. The amount of money that Winters has charged investors for his purported services is unknown at this time, but the Receiver has prepared a subpoena, which he is attempting to serve. Winters has not responded to communications asking if he will accept service of the subpoena. As such, the Receiver has attempted to serve Winters at least four times at four separate addresses across three states. To date, efforts at service have been unsuccessful because Winters apparently has no discernable residence, and his published office address is a UPS store.

Additionally, the Receiver subpoenaed and received documents from the bank that transferred \$100,000 to Kurpiers and uncovered that those funds were derived from deposits made by certain Oasis investors who have claimed to be represented by Winters. The bank account is controlled by Winters, his wife, and certain Oasis investors. The Receiver also learned that more than

⁸ See www.cftc.gov/LearnAndProtect/AdvisoriesAndArticles/RecoveryFrauds.html.

\$190,000 in funds from the bank account were transferred to Intermountain Precious Metals (“IPM”).

The Receiver served a subpoena on IPM, but it refused to comply with the subpoena. The Receiver retained local counsel in Idaho and filed a motion to compel the company’s compliance and for sanctions. *See Wiand, as Receiver v. Intermountain Precious Metals LLC*, Case No. 1:24-mc-00086-AKB (D. Idaho). Through one of its owners, the company filed a document opposing the motion to compel, and the Receiver submitted a reply to that document on May 3, 2024. The Idaho court struck the company’s filing on June 18, 2024, and ordered it to retain counsel or otherwise advise the court as to “how it will be represented in this matter” by July 19, 2024. On July 18, 2024, an owner of the company filed a notice that IPM is seeking counsel and a separate notice attempting to invoke the Fifth Amendment privilege against self-incrimination. On August 5, 2024, the court entered an order granting the Receiver’s motion to compel and finding that IPM is subject to sanctions, including the Receiver’s reasonable attorneys’ fees and costs if it does not comply with the subpoena within 30 days. On September 4, 2024, IPM filed a motion for reconsideration and on September 6, 2024, it filed a notice of appeal with the Ninth Circuit Court of Appeals. The appellant’s brief was due on October 21, 2024, but it requested a 30-day extension of this date, which the Receiver’s counsel anticipates will be granted. The court has not issued a ruling

on the motion for reconsideration. To date, no attorney has appeared on behalf of IPM.

Winters and the “Oasis Helpers” Have Disrupted this Receivership. Aside from the conflicts of interest and unaccounted funds discussed above, Winters and his affiliates have repeatedly disrupted this Receivership and imposed unnecessary fees and costs on the Receivership Estate. For example, in mid-April 2022, approximately 150 individuals filed a substantively identical document entitled “Beneficiary’s Notice And Objection To Receiver’s Continued Operations In The Absence Of Discovery, Hearing, And Final Judgment” (the “**Notices**”). *See* Docs. 489-586, 588-636 (stricken filings). The Notices asked the Court to prohibit the Receiver from making any distributions to any claimants until a final judgment has been entered in this action.⁹ On April 18, 2022, the Court *sua sponte* struck the documents from the docket as a “scheme” to undermine the Receivership. *See* Doc. 638 at 7 (“[T]he deluge of identical filings seems to the Court merely to be a scheme — clearly led and directed by one person or a group of people — to disrupt the orderly administration of this Receivership case.”). The Court was correct. It now

⁹ Claimants had no legitimate reason to obstruct the first interim distribution. The Notices continued a pattern of behavior by Winters and others, which seeks to advance defendant DaCorta’s interests at the expense of his victims.

appears that Winters and the “Oasis Helpers” created a PDF template that automatically generated the frivolous Notices.

As another example, Kurpiers filed a second motion to dismiss the CFTC’s complaint in this action on August 19, 2022, seeking, among other things, to dissolve the Receivership. Doc. 663. The Court held a hearing on December 22, 2022, which the Receiver’s counsel attended along with the CFTC’s attorneys from Kansas City, but during the hearing, Kurpiers immediately abandoned all his arguments and conceded that his motion should be denied. Winters did not appear or present any arguments. *See* Doc. 701. Kurpiers nevertheless complained about the fees charged by the Receiver and his professionals, but bad-faith filings like DaCorta’s second motion to dismiss, the Notices, and the untimely, irrelevant objections to the Magistrate Judge’s report and recommendation approving the first interim distribution only increase costs to the Receivership, lessen recoveries for all claimants, and waste judicial and governmental resources.¹⁰

Winters and the “Oasis Helpers” Have Disrupted the Claims Process and the First Interim Distribution. Winters and his affiliates

¹⁰ Defendants in the Clawback Action have filed similarly obstructive documents, including baseless motions to quash service and an appeal of the Court’s order denying those motions that the Eleventh Circuit dismissed *sua sponte* for lack of jurisdiction. They then refused to participate in the case, and default judgments were entered against them. They asserted their Fifth Amendment privilege against self-incrimination in response to post-judgment discovery and have generally refused to pay the amounts owed. The Receiver believes these actions and filings were coordinated by Winters and the “Oasis Helpers.”

have also repeatedly disrupted the claims process and imposed unnecessary costs on the Receivership Estate.

- Winters submitted more than 400 Proof of Claim Forms on behalf of investors, all of which failed to comply with the Court-approved rules governing the claims process. For example, Winters signed the Proof of Claim Forms even though the rules required personal verification under penalty of perjury by each claimant. Winters also materially altered the Proof of Claim Forms by striking important language, and he often sought payment for unrecoverable items like false profits and interest. The Receiver could have denied all these claims, but instead, he afforded Winters and the claimants several opportunities to cure the deficiencies. This delayed the claims process and caused the Receivership Estate to incur unnecessary fees and costs.
- To cure the claimants' failure to execute their Proof of Claim Forms, the Receiver developed, and the Court approved, a [Personal Verification Form](#). In April 2022, approximately 342 of the claimants associated with Proof of Claim Forms submitted by Winters indicated on their Personal Verification Forms that Winters does **not** represent them in connection with the claims process. Such reoccurring gamesmanship also delayed the first interim distribution and increased Receivership costs.
- Contrary to the Court's instructions, Winters materially altered and submitted 29 Personal Verification Forms (along with frivolous declarations) to the Receiver that were, once again, not executed by the associated claimants. This resulted in the otherwise avoidable denial of approximately 14 claims. These claimants are now confused about why they did not receive a first interim distribution check, but at this point, the Receiver can only ask them to direct their questions to Winters or seek relief from the Court.
- Winters listed his contact information on Address Confirmation Forms, but those forms often conflicted with other instructions from claimants. When the Receiver's professionals contacted certain claimants to clarify the situation, the claimants directed the Receiver **not** to send their distribution checks to Winters, as indicated on their Address Confirmation Forms. This raised concerns about whether checks were being diverted.
- Most recently, Winters claimed the Receiver failed to send first interim distribution checks to certain claimants and also sent checks to others that purportedly bounced or were invalid. The Receiver's professionals

investigated the allegation and determined that all the “bad” checks were deposited by claimants and cleared the pertinent account(s).

Winters and the “Oasis Helpers” Have Also Disrupted The Second Interim Distribution. Earlier this year, the Receiver obtained the Court’s approval of a second interim distribution of \$9 million. On April 10, 2024, the Receiver and several of his professionals began receiving by email so-called “Final Address Confirmation Forms” from claimants represented by Winters. *See* Doc. 811-4. The forms claim to prevent the Receiver from wasting resources by purportedly requiring the Receiver to send distribution checks and related communications only to Winters. To the contrary, the Final Address Confirmation Forms unnecessarily caused significant expense to the Receivership and delayed the second interim distribution. The forms were sent to four emails associated with the Receivership and generally also copied winterslaw@nym.hush.com and info@oasisreplevin.net. This means each form was received in quadruplicate. The Receiver’s professionals were forced to review these unnecessary forms to confirm that there was no change to the mailing information for a distribution check. Despite these unnecessary obstacles, the Receiver mailed checks to claimants with approved claims on April 30, 2024. These and related matters are further explained in the Receiver’s Supplemental Interim Report Regarding the Continuing Obstruction of the Receivership and Possible Recovery Scam Targeting

Investor Victims (*see* Doc. 811), which was submitted to law enforcement and is also available on the [Receivership website](#).

The Receiver continues to bring these matters to the Court's attention because he believes they present serious conflicts of interest and will only result in additional damages to victim-investors. Most recently, DaCorta retained Stephen Preziosi, Esq. of New York. Mr. Preziosi was served with a subpoena and produced a limited number of documents which indicate that he has been paid over \$155,000 to represent DaCorta in his appeal of the Court's order granting summary judgment against him. That appeal is intended, in part, to undermine the Receivership and the rights of the claimants. While Mr. Preziosi has not clarified the source of his funding, he was clearly recruited by Winters and the "Oasis Helpers," including an individual named Greg Mellick, who is a leader of that group. Records produced to date indicate that the funding came from a check (\$80,000) from one of the scheme's victims (a 78- year-old investor from New Hampshire) and a wire transfer from an undisclosed source. Mr. Preziosi has refused to produce communications with the "Oasis Helpers" or other documents relating to the funding of the appeal and his engagement. On August 16, 2024, the Receiver filed a motion for contempt against Mr. Preziosi for his failure to comply with the subpoena. Doc. 834. Mr. Preziosi filed an opposition to this motion (Doc. 835) and the Receiver

filed a reply in support. Doc. 840. On September 4, 2024, Mr. Preziosi filed a sur-reply. Doc. 843. The Court has not yet ruled on this motion.

The Receiver believes that during the quarter the “Oasis Helpers” and Mr. Melick continued to fraudulently raise money from the victims of DaCorta’s fraud. This was done in part through the preposterous representation that by appealing DaCorta’s civil case and pursuing his defense DaCorta would be able to recover over \$700 million dollars from the government, the Receiver, and recovered assets to repay all of the victims all their losses. This flagrant misrepresentation was used to dupe unsophisticated victims despite that in addition to any civil penalties pending against DaCorta, he stands convicted of egregious fraud and was ordered to pay over \$50 million in restitution for his crimes. Those attempts to further defraud the victims are despicable and any lawyers who receive money derived from these victims based on this conduct have abandoned the honorable and equitable standards of conduct required of those privileged to practice law.

The Receiver also continues to investigate possible violations of the asset freeze and will likely request a status conference to further discuss these issues with the Court. Finally, as mentioned above, the Receiver is pursuing contempt sanctions against IPM and Mr. Preziosi and will continue to pursue Winters and his purported “helpers” regarding their most questionable conduct.

ACTIONS TAKEN BY THE RECEIVER

During this reporting period, the Receiver has taken steps to fulfill his mandates under the Consolidated Order and its predecessors. Doc. 177 ¶ 56.A.

III. Securing The Receivership Estate

Attached as **Exhibit A** to this Interim Report is a cash accounting report showing (1) the amount of money on hand from July 1, 2024, less operating expenses plus revenue, through September 30, 2024, and (2) the same information from the beginning of the Receivership (as opposed to the current reporting period). *See* Doc. 177 ¶ 56.B. & C. This cash accounting report does not reflect non-cash or cash-equivalent assets. Thus, the value of any uncollected or unsold property discussed below is not included in the accounting report. From July 1, 2024, through September 30, 2024, the Receiver collected \$203,379.96.¹¹ *See* Ex. A.

A. Cooperation with the Department of Justice, Federal Bureau of Investigation, and U.S. Marshals Service

As discussed more fully in the Receiver's First Interim Report (Doc. 113), on April 17, 2019, the DOJ, through the United States Attorney's Office for the Middle District of Florida, filed a civil forfeiture action against almost all the

¹¹ As explained in footnote 1, to the extent possible, the Receiver has included in this Interim Report transactions and events occurring after September 30, 2024, to give the Court and others the most current overview of the Receiver's activities. Money collected after that date, however, is not reflected in Exhibit A. Those collections will be included in the Receiver's next interim report.

properties identified in § III.C below (which were already under the Receiver’s control pursuant to the Consolidated Order and/or its predecessors). *See United States of America v. 13318 Lost Key Place, Lakewood Ranch, Florida et al.*, Case No. 8:19-cv-00908 (M.D. Fla.) (the “**Forfeiture Action**” or “**FA**”) (FA Doc. 1 ¶ 1). In addition, the Federal Bureau of Investigation (“**FBI**”) instituted administrative forfeiture proceedings against, at minimum, the vehicles described in § III.D.1 and the cash, gold, and silver described in § III.D.2. The Receiver, the DOJ, and the United States Marshals Service (“**USMS**”) reached agreements governing the forfeiture and sale of this property as well as the transfer and remission of the sale proceeds. *See* Doc. 105, Ex. A (Consent Forfeiture Agreement); Ex. B (Memorandum of Understanding or “**MOU**”); Ex. C (Liquidation Plan). On June 7, 2019, the Receiver moved the Court to approve these agreements (Doc. 105), and the Court granted the Receiver’s motion on June 13, 2019 (Doc. 112).

The Forfeiture Action and the FBI’s administrative forfeiture proceedings are complete, and the Receiver has sold all material assets. On October 9, 2020, the Receiver transferred \$3,295,119.94 to the USMS pursuant to the MOU. On May 25, 2021, the Receiver transferred an additional \$2,341,505.18 to the USMS pursuant to the MOU. These amounts are listed on Line 12 of Exhibit A (from inception).

In December 2022 and February 2023, these funds were remitted (*i.e.*, returned) to the Receiver along with additional money that the DOJ repatriated from the United Kingdom. To date, the Receiver has obtained a total of \$7,643,420.25 from the Department of Justice in connection with civil and criminal asset forfeitures for distribution through the claims process.

B. Freezing Bank Accounts and Liquid Assets

As explained in the First Interim Report, the Receiver identified and/or froze approximately \$11 million at various financial institutions in the United States, the United Kingdom, and Belize. The Receiver opened a money market account for the Receivership at ServisFirst Bank (the “**Receivership Account**”).¹² The Receiver has now deposited all the frozen funds into this account. A list of bank or other financial accounts organized by defendant, relief defendant, and/or affiliated entity is attached as **Exhibit B**.¹³

1. The ATC Account in the United Kingdom

On April 18, 2019, the Receiver served London-based ATC Brokers LTD (“**ATC**”) with a copy of the SRO and requested that ATC freeze all accounts associated with the defendants and relief defendants. In cooperation with

¹² The Receiver also opened a checking/operating account for making disbursements.

¹³ During earlier reporting periods, defendants Montie and Haas were required to provide the CFTC and the Receiver with monthly financial statements for certain accounts. The Receiver would then update Exhibit B every quarter to reflect the most recent monthly balances. Due to their settlements with the CFTC and the Receiver, Montie and Haas are no longer required to provide the monthly statements. Exhibit B now labels the accounts “Settlement” with a frozen balance of \$0.00 and a liquidated balance of \$0.00.

domestic law enforcement and the United Kingdom's National Crime Agency, ATC identified and froze one account in the name of Oasis Global FX, S.A., which contained \$2,005,368.28. During October 2021, the DOJ recovered those funds pursuant to certain international agreements. As noted above, the Receiver petitioned the government for remission of those and other funds. In December 2022 and February 2023, portions of the funds were transferred to the Receiver. The funds have been distributed to victim-investors through the claims process.

2. Financial Assets in Belize

Shortly after his appointment, the Receiver learned that Oasis Global FX Limited owned an account (x4622) at Choice Bank Limited ("**Choice Bank**") in Belize. On June 29, 2018, however, regulators in Belize revoked Choice Bank's license and appointed a liquidator. During October 2021, the Receiver recovered a total of \$55,960.78 from the liquidator.

The Receiver also learned that Oasis Global FX, S.A. had an account at Heritage Bank Limited ("**Heritage Bank**") in Belize containing \$500,000. The money served as a bond that allowed Oasis Global FX, S.A. to operate as a broker-dealer in Belize. On May 7, 2019, the Belize International Financial Services Commission suspended the entity's trading licenses. On October 22, 2019, the Receiver and defendant Anile executed corporate documents to take legal control of Oasis Global FX, S.A. (in addition to the powers conferred by

the Consolidated Order). To bring finality to this matter, the Receiver retained new local counsel in Belize with the Court's approval. *See* Docs. 478, 488. Although the Financial Services Commission sent Heritage Bank a letter on September 1, 2022, authorizing release of the funds, Heritage Bank continued to raise procedural hurdles to such an extent that the Receiver began to question the bank's good faith and solvency. Finally, on June 16, 2023, the bank wired \$497,148.87 to the Receiver. That money has since been distributed through the claims process. While the bank's actions were irregular, if not dishonest, the Receiver has determined not to pursue this matter further due to the expense and complications inherent in litigating in Belize.

C. Securing Real Property

The Receivership Estate contained numerous parcels of real property, including single-family homes, condominiums, and a waterfront office building.¹⁴ In the Consolidated Order and its predecessors, the Court directed the Receiver to “[t]ake all steps necessary to secure the business and other premises under the control of the Receivership Defendants” (Doc. 7 at 15-16) and to “take immediate possession of all real property of the Receivership

¹⁴ In addition to the properties discussed below, relief defendant 444 Gulf of Mexico Drive, LLC held an \$80,000 mortgage on the property located at 1605 55th Avenue West, Bradenton, Florida 34207. The mortgage matured on December 1, 2021. On January 19, 2022, the mortgage was satisfied in the amount of \$82,324.03, which is within the Receivership Estate and included in Exhibit A.

Defendants, wherever located, including but not limited to all ownership and leasehold interests and fixtures” (Doc. 44 ¶ 19; Doc. 177 ¶ 19).

1. All Receivership Real Estate Has Been Sold

The Receiver has sold all real property in the Receivership Estate. These properties included the Oasis office, DaCorta’s and Anile’s personal residences, and other properties used by DaCorta’s relatives or others assisting him in the operation of Oasis scheme. The transactions are explained in prior interim reports and summarized in the following chart. The “Net Recovery” column represents the amounts transferred to the Receivership Estate at closing after satisfying any claims against the properties (like mortgages and taxes) and paying closing costs and commissions.

PROPERTY	SALE PRICE	NET RECOVERY
444 Gulf of Mexico Drive Longboat Key, Florida	\$2,100,000	\$1,994,155.06
13318 Lost Key Place Lakewood Ranch, Florida	\$1,100,000	\$1,038,704.75
6922 Lacantera Circle Lakewood Ranch, Florida	\$2,050,000	\$372,823.83
4064 Founders Club Drive Sarasota, Florida	\$1,875,000	\$581,712.41
4058 Founders Club Drive Sarasota, Florida	\$195,000	\$186,252.37
7312 Desert Ridge Glen Lakewood Ranch, Florida	\$846,000	\$774,740.08

16804 Vardon Terrace #307 Lakewood Ranch, Florida	\$198,000	\$187,542.50
16804 Vardon Terrace #108 Lakewood Ranch, Florida	\$212,000.	\$204,312.38
16904 Vardon Terrace #106 Lakewood Ranch, Florida	\$184,000	\$177,104.89
17006 Vardon Terrace #105 Lakewood Ranch, Florida	\$198,000	\$187,813.91
6300 Midnight Pass Rd., No. 1002, Sarasota, Florida	\$913,000	\$863,654.69

2. Defendant Montie's Real Property

Defendant Montie owned real estate in Hauppauge, New York. He expressed a desire to sell the property and identified a potential purchaser. The Receiver commissioned an independent appraisal and confirmed that the proposed sale price of \$505,000 reflected market value. Montie conferred with the CFTC and the Receiver, and the parties agreed to the sale. On December 22, 2020, the Court granted Montie's unopposed motion to permit the sale. Doc. 342. The transaction closed on April 23, 2021. After payment of a mortgage and closing costs, the net proceeds of the sale were \$278,274.46. Those funds were being held in escrow, but pursuant to the Court-approved settlement agreement between Montie and the Receiver (*see infra* § V.1.e.), the money was

released from escrow and has been applied to the settlement amount. The funds have been or will be distributed through the claims process. In total, Montie has paid or will pay \$549,410.88 into the Receivership.

3. Defendant Haas's Real Property

Defendant Haas owns (jointly with his wife) a property in New York, which he estimated to be worth approximately \$502,000. An evaluation by the Receiver indicates he has few assets that could be subject to collection efforts. As mentioned in Section V.1.d., the Receiver settled claims against Haas for \$50,000, to be paid in monthly installments beginning on January 11, 2024, with the final installment due in October 2025. This was done because it was unlikely that any other collection efforts would produce more than the cost of collection.

D. Securing Personal Property

1. Vehicles

On April 18, 2019, FBI agents executed search warrants and seized, among other things, luxury automobiles purchased by certain defendants and relief defendants. The FBI then instituted administrative forfeiture proceedings against the vehicles. On October 11, 2019, the Receiver filed a motion seeking the Court's approval of his plan to auction the vehicles pursuant to the MOU. Doc. 192. The Court granted the motion on October 29, 2019. Doc 194. Orlando Auto Auction sold vehicles that were not underwater,

which resulted in a recovery of approximately \$307,714. The Receiver obtained the sale proceeds in January 2020. The Receiver has sold all forfeited vehicles and collected all related funds.¹⁵ For more information, please see the Receiver's prior interim reports.

2. Cash and Precious Metals

Law enforcement agents also seized cash, gold, and silver from DaCorta and Anile that was hidden in their residences. On November 4, 2019, the Receiver moved the Court to approve a procedure for the sale of the metals, and the Court granted the motion on November 7, 2019. *See* Docs. 197, 200. After obtaining several bids from companies that deal in precious metals, the Receiver sold the gold and silver to International Diamond Center for \$657,382.25. *See* Doc. 205. The Receiver has sold all forfeited metals and collected all related funds. For more information, please see the Receiver's prior interim reports.

3. Other Personal Property

When the Receiver and his representatives visited certain defendants' residences on April 18, 2019, they observed and photographed potentially valuable items, including art, antiques, collectibles, sports memorabilia, and

¹⁵ During a previous reporting period, the Receiver and defendant Montie coordinated to sell his 1996 Mercedes 500SL for \$10,500. Those funds were being held in escrow along with the proceeds from the sale of his New York property. The escrow agent was authorized to release those funds so they could be applied toward the satisfaction of the settlement between Montie and the Receiver.

jewelry. The defendants were instructed that all such personal property is subject to the asset freeze, and they were not to sell, transfer, or otherwise dispose of anything without the Receiver's authorization. These obligations have since been modified by the pertinent settlement agreements between certain defendants, the CFTC, and the Receiver. To date, the Receiver has identified and/or seized the property listed in **Exhibit C**.¹⁶ He has sold most items as set forth in the exhibit.

E. Securing the Receivership Entities' Books and Records

As explained in prior interim reports, the Receiver and his professionals have taken significant steps to secure the Receivership Entities' books and records, including computer systems, emails, and other documents. The Receiver has also obtained documents from numerous nonparties under the Consolidated Order or through subpoenas. At this point, document collection and preservation are substantially complete.

F. Operating or Related Businesses

In prior interim reports, the Receiver has provided information about three businesses: (1) relief defendant Roar of the Lion; (2) Mirror Innovations, LLC; and (3) Diamond Boa LLC d/b/a Kevin Johnson Reptiles. None of these

¹⁶ Importantly, the values identified in Exhibit C were and are only estimates. Actual recoveries have been and will be subject to market conditions and other factors.

businesses have material value to the Receivership Estate. In fact, Roar of the Lion was a failed venture DaCorta created for the benefit of his son.

IV. Retention of Professionals

The Consolidated Order authorizes the Receiver “[t]o engage and employ persons in his discretion to assist him in carrying out his duties and responsibilities hereunder, including, but not limited to, accountants, attorneys, securities traders, registered representatives, financial or business advisors, liquidating agents, real estate agents, forensic experts, brokers, traders or auctioneers.” Doc. 177 at ¶ 8.F.

On May 30, 2019, the Receiver moved the Court to approve his engagement of the following legal, accounting, and other professionals: (1) f/k/a Wiand Guerra King P.A. f/k/a Guerra King P.A. n/k/a Guerra & Partners, P.A. (“**WGK**” or “**GK**” or “**G&P**”), a law firm; (2) KapilaMukamal, LLP (“**KM**”), a forensic accounting firm; (3) PDR CPAs (“**PDR**”), a tax accounting firm; (4) RWJ Group, LLC (“**RWJ**”), an asset management and investigations firm; and (5) E-Hounds, Inc. (“**E-Hounds**”), a technology and computer forensics firm. *See* Doc. 87. On June 6, 2019, the Court granted the Receiver’s motion for approval to retain these professionals. Doc. 98. The Receiver has also retained special counsel to assist with the repatriation of foreign assets: Wayne A. Piper and Flores Piper LLP in Belize (Doc. 488) and Maples Group in the Cayman Islands (Doc. 187).

On March 5, 2020, the Receiver filed a motion seeking to retain Sallah Astarita & Cox, LLC (the “**Sallah Firm**”) on a contingency fee basis to investigate and pursue claims against FAI. Doc. 238. Similarly, on March 20, 2020, the Receiver moved the Court to approve his retention of Sergio C. Godinho as a litigation consultant to assist the Receiver’s and the Sallah Firm’s investigation and prosecution of those claims. Doc. 253. FAI opposed both motions, and after related briefing, on April 7, 2020, the Court granted the Receiver’s motions, thereby approving his engagement of the Sallah Firm and Mr. Godinho. Doc. 261. As explained in Section V.1.a., the Receiver has since resolved his claims against FAI.

On March 24, 2020, the Receiver moved the Court to approve the engagement of John Waechter and Englander Fischer to assist the Receiver and his primary counsel with clawback litigation. Doc. 285. The Court granted the Receiver’s motion on April 13, 2010. Doc. 264. As explained in Section V.2.b. below, the Receiver was pursuing litigation against numerous defendants, but that litigation is now substantially complete, and the Receiver has begun collecting the judgments obtained.

On March 31, 2021, the Receiver filed a second motion seeking to retain the Sallah Firm on a contingency fee basis to investigate and pursue claims against ATC Brokers Ltd. and its affiliates and principals. Doc. 385. On April 23, 2021, the Court granted the Receiver’s motion, thereby approving his

second engagement of the Sallah Firm. Doc. 390. On July 13, 2021, the Court also granted the Receiver's motion to approve the engagement of Thomas Bakas as a litigation consultant. *See* Docs. 412, 415.

In 2022, Jared Perez left G&P and is now practicing through his own firm, Jared J. Perez P.A. Because Mr. Perez was the lead counsel and senior attorney on this matter, the Receiver has continued to use his services.

As referenced above, the Receiver has retained Jed W. Manwaring of Evans Keane LLP n/k/a Elam & Burke as local counsel in Idaho to enforce the subpoena served on IPM as part of the Receiver's continuing investigation into the activities of Winters and his associates.

Finally, Phillips Lytle LLP is assisting the Receiver as local counsel in New York in connection with the collection of a clawback judgment.

V. Pending and Contemplated Litigation

The Consolidated Order requires this Interim Report to contain “a description of liquidated and unliquidated claims held by the Receivership Estate, including the need for forensic and/or investigatory resources; approximate valuations of claims; and anticipated or proposed methods of enforcing such claims (including likelihood of success in (i) reducing the claims to judgment and (ii) collecting such judgments).” Doc. 177 ¶ 56.E. The

following subsections address both asserted and unasserted claims held by the Receivership Estate and certain related litigation.

1. Completed and Related Litigation

a. Fundadministration, Inc.

As explained above in Section IV, the Court authorized the Receiver to retain the Sallah Firm to investigate and pursue claims against FAI on a contingency fee basis. The Receiver and FAI mediated their dispute on October 13, 2020, and subsequently reached an agreement regarding the Receiver's claims. On February 8, 2021, the Receiver moved the Court to approve the parties' agreement (Doc. 368), and on February 25, 2021, the Court granted the Receiver's motion (Doc. 376). On or about March 1, 2021, FAI transferred net settlement proceeds of \$3,555,000.00 to the Receiver. FAI also reached an agreement with the CFTC, which provided for its dismissal as a relief defendant from the agency's enforcement action. *See* Docs. 364, 366. As such, FAI is no longer a party to any litigation involving the Receiver or the CFTC.

b. The Government's Civil Forfeiture Action

The Department of Justice instituted administrative and civil forfeiture proceedings against certain assets of defendants in the CFTC Action. These actions are essentially complete. Judgments of forfeiture have been entered against all defendant properties in the civil forfeiture action. *See* FA Docs. 60, 63, 65, 67. The FBI's administrative forfeiture action against certain personal

property is also complete. As of the Ninth Interim Report, the Receiver had sold all material, forfeited real and personal property in the Receivership Estate. As a result of the criminal convictions of defendants Anile and DaCorta, the government obtained more than \$53 million in additional forfeiture orders, but the debts are unlikely to be satisfied because those individuals have few, if any, remaining assets.

c. The Anile Criminal Action

Defendant Anile pled guilty to several felony charges regarding the scheme, and the court in the Anile Criminal Action accepted his guilty plea on October 15, 2019. ACA Docs. 19, 27. He was sentenced to imprisonment of 120 months (*i.e.*, 10 years) and supervised release of three years. He was also ordered to pay restitution of \$53,270,336.08. Anile reported to prison on June 1, 2022, in Rochester, Minnesota. Anile subsequently filed a motion seeking a downward departure (*i.e.*, sentence reduction) due to his cooperation with the government and other relevant factors. On January 24, 2023, the judge presiding over the Anile Criminal Action granted his motion and, in relevant part, reduced his term of imprisonment to “time served” plus 12 months of home confinement and an additional two years of supervised release. *See* ACA Docs. 76, 77. Anile’s significant health problems were important considerations in the reduction of his sentence.

d. Settled Pre-Litigation Claims Against Haas

On June 28, 2023, the Receiver entered into a mediated settlement agreement with defendant Haas, pursuant to which Haas will pay \$50,000 to the Receivership Estate. The Receiver based the settlement amount largely on Haas's limited income and assets. Haas anticipates paying the settlement amount by selling certain auto parts, which became exempt from the asset freeze upon execution of the settlement agreement. The Court has approved the Receiver's settlement with Haas. *See* Doc. 793. Upon satisfaction of the settlement agreement and the CFTC's consent order, the asset freeze will be lifted with respect to Haas's remaining property.

e. Settled Litigation Against Montie

The Receiver sued Raymond P. Montie, III for the recovery of fraudulent transfers and unjust enrichment but also for breaching his fiduciary duties to Oasis International Group, Ltd. and related entities and for aiding and abetting the criminal breaches of fiduciary duties owed to those entities by Anile and DaCorta. *See Wiand v. Montie*, Case No. 8:20-cv-863-TPM-SPF (M.D. Fla.) (the "**Montie Litigation**"). The Receiver has settled this litigation for \$549,410.88. The settlement was reached after the evaluation of the Receiver's claims and the prospects of collection. Certain escrowed funds as well as monies already seized by the Receiver have been credited to the settlement amount. Montie must pay the remainder pursuant to a negotiated schedule.

The Court has approved the Receiver's settlement with Montie. *See* Doc. 793. Upon satisfaction of the settlement agreement and the CFTC's consent order, the asset freeze will be lifted with respect to Montie's remaining property.

f. Settled Pre-Litigation Claims Against Portela, Marchiony, and Dribusch

During a prior reporting period, the Receiver reached pre-litigation settlement agreements with Leo Portela, Rob Marchiony, and Stephen Dribusch. Pursuant to the agreements, Portela will pay the Receiver \$5,000, Marchiony will pay \$139,657, and Dribusch will pay \$30,000. The Receiver reached these settlement amounts through careful consideration of the individuals' roles in the scheme and their respective financial resources, including the need for expensive collection efforts. The Court has approved the Receiver's settlement with Portela, Marchiony, and Dribusch. *See* Doc. 793.

g. Settled Claims Against Gil and Charis Wilson, Mario Nicolaou, MCN Management Advisors, Inc., and Rocco Garbellano

The Receiver reached pre-litigation settlement agreements with insiders Gil Wilson and Charis Wilson as well as Mario C. Nicolaou and MCN Management Advisors, Inc. Pursuant to the agreements, the Wilsons will pay the Receiver \$107,500 according to an installment schedule. Nicolaou and his entity, MCN Management Advisors, will pay the Receiver \$140,000. Again, the Receiver reached these settlement amounts through careful consideration of

the individuals' roles in the scheme and their respective financial resources, including the need for expensive collection efforts. On July 31, 2024, the Court granted the Receiver's motion to approve the settlements. *See* Doc. 827.

The Receiver also settled claims against Rocco Garbellano, but that settlement required filing suit and material litigation. Specifically, the Receiver obtained a judgment of \$327,928.51 against Garbellano in the Clawback Action (as defined below). Garbellano then filed bankruptcy in the United States District Court for the Southern District of New York. To resolve those matters, Garbellano will cede his interest in certain real estate to the Receiver. That property will then be sold, and the Receiver will be entitled to retain \$165,000 or the net sale proceeds, whichever is greater. On July 29, 2024, the Receiver filed to a motion to approve this settlement (Doc. 826), which the Court granted on August 13, 2024 (Doc. 830).

h. The DaCorta Criminal Action

As noted above, defendant DaCorta was indicted in a separate but related criminal action. DCA Doc. 1. A copy of the initial indictment was attached as Exhibit A to the Receiver's Third Interim Report, and a copy of the superseding indictment was attached as Exhibit D to the Receiver's Eighth Interim Report. DaCorta stood trial in April 2022, and after two weeks of testimony and argument, a jury found him guilty on all counts, including mail and wire fraud and money laundering. On October 20, 2022, the Honorable

William F. Jung sentenced DaCorta to imprisonment of 276 months (*i.e.*, 23 years) for his role in the Ponzi scheme underlying this enforcement action. Judge Jung also ordered DaCorta to pay restitution in the amount of \$53,270,336.08, jointly and severally with defendant Anile (although the Receiver has already recovered and sold both individuals' material assets). DaCorta was taken into custody and is in prison. He appealed his conviction, but on May 1, 2024, the Eleventh Circuit affirmed the trial court. *See United States of America v. DaCorta*, Case No. 22-13564 (11th Cir.). As such, this matter is concluded.

2. Pending and Related Litigation

The Receiver is not aware of any litigation against Receivership Entities that was pending at his appointment, and the Consolidated Order enjoins the filing of any litigation against Receivership Entities without leave of Court.

a. The Receiver's General Clawback Litigation

The Court found that entry of the Consolidated Order was necessary and appropriate for the purposes of marshaling and preserving all assets, including in relevant part, assets that “were fraudulently transferred by the Defendants and/or Relief Defendants.” Doc. 177 at 2. The Court also authorized the Receiver “to sue for and collect, recover, receive and take into possession all Receivership Property” (*id.* ¶ 8.B.) and “[t]o bring such legal actions based on law or equity in any state, federal, or foreign court as the Receiver deems

necessary or appropriate in discharging his duties as Receiver” (*id.* ¶ 8.I). Similarly, the Court authorized, empowered, and directed the Receiver to “prosecute” actions “of any kind as may in his discretion, and in consultation with the CFTC’s counsel, be advisable or proper to recover and/or conserve Receivership Property.” *Id.* ¶ 43.

Pursuant to that mandate, the Receiver obtained pre-suit clawback settlements collectively worth \$246,497.09. On April 14, 2020, the Receiver filed a complaint against almost 100 non-settling investors, seeking to recover approximately \$4.4 million plus costs and prejudgment interest. A copy of the complaint can be found on the [Receiver’s website](#) (the “**Clawback Action**”). Through the Clawback Action, the Receiver obtained post-suit or post-judgment settlements worth approximately \$1,214,917.09, and default judgments worth approximately \$2,145,880.47. The liability portion of the Clawback Action is complete, but the Receiver continues to register default judgments, seek writs of garnishment, and employ other collection mechanisms, including post-judgment discovery.

b. The Receiver’s Litigation Against ATC Brokers Ltd., Spotex LLC, and Affiliates

As explained in Section IV above, the Court approved the engagement of the Sallah Firm to further investigate and prosecute claims against ATC and its affiliates. The Court also approved the engagement of Thomas Bakas as a

litigation consultant. On May 28, 2021, the Receiver filed suit against ATC Brokers Ltd., David Manoukian, and Spotex LLC. The complaint asserts claims for aiding and abetting fraud, aiding and abetting breaches of fiduciary duties, recovery of fraudulent transfers from ATC, gross negligence, and simple negligence. The Receiver is seeking both compensatory and punitive damages. A copy of the complaint was attached as Exhibit D to the Receiver's Ninth Interim Report and is also available on the [Receiver's website](#).

Mediation occurred in May 2022, but the parties did not resolve their dispute. The district court supervising this action granted motions to dismiss with prejudice filed by the defendants based on standing issues, but the Receiver believed the judge misapplied relevant Eleventh Circuit precedent. As such, the Receiver filed a notice of appeal. An appellate mediation was unsuccessful. The Receiver filed his opening brief on March 3, 2023. The Eleventh Circuit also authorized the filing of an *amicus curiae* brief in support of the Receiver's position by the National Association of Federal Equity Receivers. The appellees filed their responses, and the Receiver submitted a reply. Oral argument occurred on March 5, 2024. On March 19, 2024, a three-judge panel of the Eleventh Circuit issued an opinion written by Chief Judge William Pryor Jr. vacating, reversing, and remanding the district court's order dismissing the Receiver's claims with prejudice. *See Wiand v. ATC Brokers Ltd., et al.*, Case No. 22-13658 (11th Cir.). The appellate court found that the

Receiver has standing to pursue his fraudulent transfer claims worth more than \$20 million against ATC Brokers Ltd. The appellate court also ruled that the trial court's dismissal of the Receiver's tort claims should have been without prejudice. As such, the Receiver intends to replead those claims. The Receiver and his counsel are considering the appropriate next steps and balancing any possible recovery against the uncertainty of further litigation. Currently, the Receiver intends to aggressively pursue this case on remand before the district court.

c. The Receiver's Litigation Against Doug Clark

On July 1, 2022, the Receiver filed a complaint against former Oasis sales agent Doug Clark and his entity, Clark Asset Management Co., alleging fraudulent transfers, unjust enrichment, and aiding and abetting breaches of fiduciary duty and seeking the recovery of \$120,000. *See Burton W. Wiand, as Receiver for Oasis International Group, Ltd, et al. v. Clark Asset Management Co. & Douglas Clark*, Case No. 8:22-cv-01512 (M.D. Fla.). A copy of the complaint is available on the [Receiver's website](#). The complaint alleges that Clark, a former registered investment advisor who had worked with DaCorta on a previous fraudulent scheme, helped onboard Oasis investors. The defendants failed to respond, and on September 26, 2022, the Clerk of the Court entered defaults against Clark and Clark Asset Management Co. On October 31, 2022, the Receiver filed motions for default judgments against both

defendants. On April 18, 2023, the Receiver obtained a default judgment against the defendants in the amount of \$146,092.90 plus prejudgment interest. C. Doc. 20. Collection efforts are underway.

3. Contemplated Litigation

The Receiver believes he has already initiated and, in most instances, resolved the vast majority of actions which he believed would be of economic benefit to the Receivership. As mentioned above and herein, however, the Receiver may assert a small number of claims against sales agents and others where the Receiver believes individuals have liability and an action appears to be of economic benefit to the Receivership.

a. Contemplated Litigation Against Insiders

The Receiver is considering litigation against certain OIG insiders, including principals, sales agents, employees, “traders,” and others. On the one hand, the Receiver can assert legal and equitable claims that are independent of and distinct from any claims the government can assert, either through the CFTC, the DOJ, or otherwise. On the other hand, the Receiver seeks to avoid duplicating efforts made (or to be made) by the government to conserve resources and avoid unnecessary litigation. For example, the Receiver likely will not pursue independent litigation against defendant Anile because the DOJ has already obtained a multi-million-dollar criminal forfeiture judgment against him. The Receiver and the government have seized “his” assets,

including the house in which he was living (Founders Club), the cars he and his wife were driving, and other personal property. Most of these assets have already been sold. DaCorta is subject to a forfeiture judgment as part of his criminal conviction in an amount similar to the judgment against Anile. To avoid unnecessary expenditures, the Receiver will rely on that judgment to acquire assets DaCorta might still retain.

The Receiver has entered into tolling agreements with several parties and nonparties. This affords the Receiver additional time to resolve matters and to reach agreements, establish liability, and recover assets with minimal need for litigation or at least litigation funded by the Receivership Estate. The Receiver sent several demand letters, which resulted in the recent settlements described above. *See supra* § V.1.d-g. The Receiver will continue to issue demand letters and bring litigation when and if necessary.

VI. Claims Process

As explained more fully in prior interim reports, the Receiver – with the Court’s approval – has established a claims process through which he is distributing the proceeds of the Receivership Estate to creditors, including defrauded investors. The Claim Bar Date (as defined in Doc. 230 – *i.e.*, the deadline for submitting claims to the Receiver) was June 15, 2020. As of that date (with minimal exceptions), investors and other creditors submitted approximately 800 proof of claim forms totaling approximately \$70 million.

Anyone who did not submit a proof of claim form by that date is barred from participating in a distribution from the Receivership Estate.

On March 7, 2022, the Court granted the Claims Determination Motion. Doc. 482. The Court also expressly approved and implemented the Receiver's proposed Objection Procedure (*see* Doc. 439 at pp. 44-45):

The Objection Procedure as set forth in the Motion for objections to the plan of distribution and the Receiver's claim determinations and claim priorities is logical, fair, and reasonable and is approved, and any and all objections to claim determinations and claim priorities as set forth in the Motion or Exhibits 1 through 5, or to the plan of distribution shall be presented to the Receiver in accordance with the Objection Procedure as set forth in the Motion.

Doc. 482 ¶ 5. The Receiver then posted a copy of the Court's Order on the Receivership website.¹⁷ The Receiver also sent substantively identical information to claimants and other interested parties via email. On March 25, 2022, the Receiver mailed more than 1,000 customized letters to claimants, and if applicable, their attorneys. As such, the Court-ordered deadline for submitting objections to the Receiver's claim determinations was **April 14, 2022**. *See* Doc. 439 § VIII.A.(c) at p. 45. Many claim determinations also required the associated claimant(s) to submit additional information to the Receiver – most commonly, a [Personal Verification Form](#) but, in some instances, supplemental information like bank statements or affidavits.

¹⁷ *See* www.oasisreceivership.com.

On December 9, 2022, the Receiver moved the Court for an order (1) approving a first interim distribution of \$10 million; (2) approving the Receiver’s final determinations regarding unperfected or incomplete claims; and (3) overruling limited objections to certain claim determinations. Doc. 695. The first interim distribution of \$10 million will satisfy approximately 17.51% of the “Allowed Amounts” (see Doc. 439 at 10) of claims receiving a distribution at this time (as set forth in Exhibits 1 and 2 of the motion). No party or nonparty timely opposed the motion or any of the matters discussed therein.

On January 27, 2023, the presiding Magistrate Judge issued an order recommending that the Receiver’s distribution motion be granted. Doc. 705. Certain investors objected to the Magistrate Judge’s order, but those objections were both untimely and without merit. On March 15, 2023, the Court overruled the objections, adopted the report and recommendation, and authorized the first interim distribution. Doc. 730.

On April 6, 2023, the Receiver mailed distribution checks by U.S. Mail to those who were entitled to receive one and did not require address confirmation. For more information, please see the Receiver’s status report on the first interim distribution, which was filed on July 13, 2023. Doc. 747. Importantly, the distribution checks, as stated both on the check itself and in the accompanying letter, were required to have been negotiated within 120 days – *i.e.*, by August 4, 2023. After 120 days, unnegotiated checks became null

and void, and the money would have reverted to the Receivership. Fortunately, no first interim distribution checks reverted to the Receivership.

The Court's orders regarding distribution provide specific procedures that claimants must follow. On previous occasions, Mr. Winters and/or a "Helpers' Group" have advised claimants to not follow the Receiver's and the Court's instructions. At this point, following the advice of Mr. Winters or the "Helpers' Group" that is inconsistent with the Court's prior orders will not be excused and such conduct may result, and in some cases has resulted, in the forfeiture of distributions. Recently, a number of victims whose claims were denied because they or Winters did not comply with the Court's orders relating to the claims process have approached the Receiver regarding their claim. At this time any additional documentation provided is untimely and any request for relief must be made to the Court and will be opposed by the Receiver.

On February 28, 2024, the Receiver moved the Court to approve a second interim distribution of \$9,000,000 to approved claimants. Doc. 805. On March 22, 2024, the Magistrate Judge recommended that the Court approve the distribution. Doc. 808. This time, no one objected to the Magistrate Judge's report and recommendation. On April 8, 2024, the Court approved the second interim distribution. Doc. 810. Despite the unnecessary obstacles created by Winters and the "Oasis Helpers" (*see* Doc. 811), the Receiver mailed distribution checks to claimants with approved claims on April 30, 2024.

Pursuant to certain claimants' instructions, the Receiver sent approximately 283 checks worth approximately \$3.2 million to a designated mailing address associated with Winters, which the Receiver has learned is a UPS Store. As expressly approved by the Court (*see* Doc. 812), the Receiver also mailed courtesy copies of the pertinent checks and correspondence to each associated claimant. Additional funds on hand will be retained for continued operation of the Receivership and potential exposure from ongoing litigation.

VII. The Next Ninety Days

The Consolidated Order requires this Interim Report (and all subsequent reports) to contain “[t]he Receiver’s recommendations for a continuation or discontinuation of the [R]eivership and the reasons for the recommendations.” Doc. 177 ¶ 56.G. At this stage, the Receiver recommends continuation of the Receivership because he still has litigation to bring and/or prosecute, a claims process to complete, and funds to distribute.

CONCLUSION

Investors and other creditors of the Receivership Entities are encouraged to periodically check the Receiver’s website (www.oasisreceivership.com) for current information concerning this Receivership. The Receiver and his counsel have received an enormous amount of emails and telephone inquiries and have had to expend significant resources to address them. While the Receiver and his staff are available to respond to any inquiries, to minimize

those expenses, investors and other creditors are strongly encouraged to consult the Receiver's website before contacting the Receiver or his counsel. Should the website not answer your question, please reach out to us. The Receiver continues to encourage individuals or attorneys representing investors who have information that might be helpful in securing further assets for the Receivership Estate or identifying other potential parties who might have liability to either the Receivership Estate or investors to email Edwina Tate at Edwina@BurtonWWiandPA.com. The Receiver can be contacted by phone at 727-460-4679 or by email Burt@BurtonWWiandPA.com.

Dated this 1st day of November 2024.

Respectfully submitted,

s/ Burton W. Wiand
Burton W. Wiand, Receiver

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on November 1, 2024, I electronically filed the foregoing with the Clerk of the Court by using the CM/ECF system.

s/ Maya Lockwood

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Attorneys for Receiver, Burton W. Wiand

EXHIBIT A

Standardized Accounting Report Form

Standardized Accounting Report for Oasis Management LLC Receivership
 Civil Court Docket No. 8:19-cv-00886-VMC-SPF
 Reporting Period 07/01/2024 to 09/30/2024

	Details	Subtotal	Grand Total	Notes
Line 1	Beginning Balance (As of 07/01/2024)		\$ 1,744,618.94	
	Increases in Fund Balance			
Line 2	Business Income			
Line 3	Cash and Securities			
Line 4	Interest/Dividend Income	\$ 13,261.20		Interest Income
Line 5	Asset Liquidation	\$ -		Items Auctioned
Line 6	Third-Party Litigation Income	\$ 189,718.76		Settlements
Line 7	Other Miscellaneous	\$ 400.00		Reissue Fees
	Total Funds Available - Totals Line 1 - 7	\$ 203,379.96	\$ 1,947,998.90	
	Decreases in Fund Balance			
Line 9	Disbursements to Investors		-	
Line 10	Disbursements for Receivership Operations			
10.a.1	Receiver			
10.a.2	Guerra King			Professional Fees
10.a.3	KapilaMukamal LLP			Professional Fees
10.a.4	PDR Certified Public Accts			Professional Fees
10.a.5	RPM Financial			Professional Fees
10.a.6	Englander Fisher			Professional Fees
10.a.7	The RWJ Group	\$ 52.50		Professional Fees
10.a.8	E Hounds			Professional Fees
10.a.9	Maples Group	\$ -		Professional Fees
10.a.10	Jared J Perez PA			Professional Fees
10.a.11	Other Professional Fees			Professional Fees
Line 10	Total Disbursements to Receiver/Professionals	\$ 52.50		
10b	Third-Party Litigation Expenses		1,669.17	Copies
10c	Asset Expenses			
10d	Tax Payments			
	Total Disbursements for Receivership Ops.	\$ 1,721.67		
Line 11	Disbursements Related to Distribution Expenses			
Line 12	Disbursement to Court/Other			
Line 13	Other			
	Total Funds Disbursed - Total Lines 9 - 13		\$ 1,721.67	
Line 14	Ending Balance (as of 09/30/2024)		\$ 1,946,277.23	

Standardized Accounting Report Form

Standardized Accounting Report for Oasis Management LLC Receivership
 Civil Court Docket No. 8:19-cv-00886-VMC-SPF
 From Inception to 09/30/2024

	Details	Subtotal	Grand Total	Notes
Line 1	Beginning Balance		-	
	Increases in Fund Balance			
Line 2	Business Income	\$ 53,335.13		Rental/Mortgage Income
Line 3	Cash and Securities	\$ 9,158,582.33		Cash from Frozen Accts.
Line 4	Interest/Dividend Income	\$ 773,426.99		Interest Income
Line 5	Asset Liquidation	\$ 7,900,650.41		Sale of Real Estate/Misc.
Line 6	Third-Party Litigation Income	\$ 5,533,751.59		Settlements, etc
Line 7	Other Miscellaneous	\$ 7,788,374.26		Remitted Funds & Misc.
	Total Funds Available - Totals Line 1 - 7	\$ 31,208,120.71	\$ 31,208,120.71	
	Decreases in Fund Balance			
Line 9	Disbursements to Investors	\$ 18,842,493.32		
Line 10	Disbursements for Receivership Operations			
10.a.1	Receiver	\$ 515,861.22		Professional Fees
10.a.2	Guerra King	\$ 2,158,639.32		Professional Fees
10.a.3	KapilaMukamal LLP	\$ 320,452.44		Professional Fees
10.a.4	PDR Certified Public Accts	\$ 102,746.85		Professional Fees
10.a.5	RPM Financial	\$ 84,036.92		Professional Fees
10.a.6	Englander Fisher	\$ 534,401.18		Professional Fees
10.a.7	The RWJ Group	\$ 100,688.80		Professional Fees
10.a.8	E Hounds	\$ 163,817.97		Professional Fees
10.a.9	Maples Group-	\$ 54,811.60		Professional Fees
10.a.10	Jared J. Perez	\$ 135,442.06		Professional Fees
10.a.11	Other Professional Fees	\$ 98,244.56		Professional Fees
Line 10 a	Total Disbursements to Receiver/Professionals	\$ 4,269,142.92		
10b	Third-Party Litigation Expenses	\$ 42,160.00		
10c	Asset Expenses	\$ 358,851.10		Condo Fees, Insurance Repairs, Maint & Utilities
10d	Tax Payments	\$ 109,117.36		County Sales & Property Tax
	Total Disbursements for Receivership Ops.	\$ 4,779,271.38		
Line 11	Disbursements Related to Distribution Expenses			
Line 12	Disbursement to Court/Other	\$ 5,637,625.12		Remission to USMS
Line 13	Other	\$ 2,453.66		Cayman Registration Fee
	Total Funds Disbursed - Total Lines 9 - 13		\$ 29,261,843.48	
Line 14	Ending Balance (as of 09/30/2024)		\$ 1,946,277.23	

Line 15	Number of Claims	834
15a	No. of Claims Received This Reporting Period	0
15b	No. of Claims Received Since Inception of Estate	834
Line 16	Number of Claimants/Investors	827
16a	No. of Claimants/Investors Paid This Reporting period	0
16b	No. of Claimants/Investors Paid Since Inception of Estate	732 First Interim Distribution Checks Issued; 733 Second Interim Distribution Checks Issued

Receiver:

By: 
Signature

Burton W. Wiand, Receiver
Printed Name

Date: 11-1-2024

EXHIBIT B

Account Name by Party or Affiliate	Account	Authorized Signers	Bank	Account Type	Status	Still Frozen	Liquidated
13318 Lost Key Place, LLC	*2850	Michael Dacorta	Wells Fargo	Simple Business Checking	Liquidated	\$0.00	\$490.97
4064 Founders Club Drive, LLC	*3975	Joseph S. Anile II; MaryAnne E. Anile	Wells Fargo	Business Choice Checking	Liquidated	\$0.00	\$10,383.26
4064 Founders Club Drive, LLC	*1807	Joseph S. Anile II; MaryAnne E. Anile	Wells Fargo	Business Platinum Savings	Closed	\$0.00	\$0.00
444 Gulf of Mexico Drive, LLC	*3967	Michael Dacorta; Joseph S. Anile II	Wells Fargo	Simple Business Checking	Liquidated	\$0.00	\$15,600.10
4Oaks, LLC	*2572	Joseph S. Anile II; MaryAnne E. Anile	Wells Fargo	Business Choice Checking	Liquidated	\$0.00	\$30,910.45
6922 Lacantera Circle, LLC	*2805	Michael Dacorta	Wells Fargo	Simple Business Checking	Liquidated	\$0.00	\$37,929.49
Bowling Green Capital Management	*7485	Joseph S. Anile II; MaryAnne E. Anile	Capital One	Small Business Rewards Checking	Liquidated	\$0.00	\$6,173.59
Francisco Duran	*9152	Francisco Duran	JPMorgan Chase	Total Checking	Liquidated	\$0.00	\$309.24
Francisco Duran	*0568	Francisco Duran; Lauren K Duran	JPMorgan Chase	Checking	Liquidated	\$0.00	\$1,097.04
Francisco Duran	*1192	Francisco Duran	JPMorgan Chase	Total Checking	Liquidated	\$0.00	\$4,174.69
Francisco Duran	*8083	Francisco Duran	M&I/BMO Harris	Checking	Closed	\$0.00	\$0.00
Francisco Duran	*9788	Francisco Duran	M&I/BMO Harris	Checking	Closed	\$0.00	\$0.00
Francisco Duran or Rebecca C. Duran	*2550	Francisco Duran; Rebecca C. Duran	SunTrust	Checking	Closed	\$0.00	\$0.00
John J. Haas	*0245	John J. Haas	TD Bank	Checking	Liquidated	\$0.00	\$31,065.79
John J. Haas	*5029	John J. Haas	Jovia (f/k/a Nassau Educators Federal Credit Union)	Go Green Checking	Income Account, Settlement	\$0.00	\$0.00
John J. Haas	TBD	John J. Haas	Equity Trust	IRA	Settlement	\$0.00	\$0.00
John J. Haas; Lillian Haas	*2105	John J. Haas	TD Bank	Checking	Liquidated	\$0.00	\$4,362.80
John J. Haas; Lillian Haas	*9201	John J. Haas	TD Bank	Savings	Liquidated	\$0.00	\$1,001.23
John J. Haas, Inc.	*2488	John J. Haas	TD Bank	TD Business Convenience Plus	Liquidated	\$0.00	\$517.83
John J. Haas	*1211	John J. Haas	Knights of Columbus Insurance	Cash Surrender Value	Settlement	\$0.00	\$0.00

Account Name by Party or Affiliate	Account	Authorized Signers	Bank	Account Type	Status	Still Frozen	Liquidated
John J. Haas	*0715	John J. Haas	Knights of Columbus Insurance	Cash Surrender Value	Settlement	\$0.00	\$0.00
Joseph S. Anile II	*7857	Joseph S. Anile II	Regions	Savings	Disputed	\$5,000.75	\$0.00
Joseph S. Anile II	*8241	Joseph S. Anile II	Regions	Lifegreen Checking	Liquidated	\$0.00	\$3,123.20
Lagoon Investments, Inc.	*1522	Michael Dacorta; Joseph S. Anile II.	Regions	Business Checking	Liquidated	\$0.00	\$17,889.07
Mainstream Fund Services, Inc.	*1174	Denise DePaola; Michael Nolan	Citibank	Savings	Unfrozen by Agreement	\$0.00	\$0.00
Mainstream Fund Services, Inc.	*5606	Denise DePaola; Michael Nolan	Citibank	Checking	Unfrozen by Agreement	\$0.00	\$0.00
Mainstream Fund Services, Inc.	*0764	Denise DePaola; Michael Nolan	Citibank	Checking	Liquidated	\$0.00	\$6,012,397.78
Michael DaCorta	*1424	Michael Dacorta	Wells Fargo	Everyday Checking	Liquidated	\$0.00	\$751.54
Michael DaCorta	*0387	Michael Dacorta	AXA	Annuity Policy	Terminated 7/15/16	\$0.00	\$0.00
Michael DaCorta	TBD	Michael Dacorta	PNC	N/A	N/A	\$0.00	\$0.00
Michael DaCorta; Carolyn DaCorta	*0386	Michael Dacorta	People's United	N/A	N/A	\$0.00	\$0.00
Oasis Management, LLC	*9302	Michael Dacorta	Wells Fargo	Business Package Checking	Liquidated	\$0.00	\$2,149,654.18
Oasis Management, LLC	*3887	Michael Dacorta	Wells Fargo	Market Rate Savings	Liquidated	\$0.00	\$605.33
Oasis Capital Management S.A.	*6058	TBD	British Caribbean Bank International	N/A	Closed	\$0.00	\$0.00
Oasis Capital Management S.A.	*1200	TBD	Belize Bank International, Ltd.	N/A	Closed	\$0.00	\$0.00
Oasis Global (Nevis) Ltd.	*9631	TBD	Bank of America	Busines Checking	Closed	\$0.00	\$0.00
Oasis Global FX Limited	*4622	Joseph S. Anile II	Choice Bank (Belize)	Liquidator Appointed	See Report	\$0.00	\$55,960.78
Oasis Global FX, S.A.	*0055	Joseph S. Anile II	Barclays Bank/ATC	Closed "Trading" Account	See Report	\$0.00	\$2,005,368.28
Oasis Global FX, S.A.	*5663	Joseph S. Anile II	Choice Bank (Belize)	N/A	Closed	\$0.00	\$0.00
Oasis Global FX, S.A.	*6059	Joseph S. Anile II	Heritage Bank	Deposit for Broker Activity	See Report	\$0.00	\$497,148.87
Raymond P. Montie	*1510	Raymond P. Montie	AXA/Equitable	401k Plan	Settlement	\$0.00	\$0.00
Raymond P. Montie	*8414	Raymond P. Montie	Federal Savings Bank; First SeaCoast Bank	Checking	Income Account, Settlement	\$0.00	\$0.00
Raymond P. Montie	*1574	Raymond P. Montie	Fidelity Investments	IRA Account	Settlement	\$0.00	\$0.00

Account Name by Party or Affiliate	Account	Authorized Signers	Bank	Account Type	Status	Still Frozen	Liquidated
Raymond P. Montie	*4500	Raymond P. Montie	Fidelity Investments	Investment Account	Underwater	-\$24.82	\$0.00
Raymond P. Montie	*2805	Raymond P. Montie	TD Bank	Premier Checking	Liquidated	\$0.00	\$138,508.73
Raymond P. Montie	*3802	Raymond P. Montie	TD Bank	Savings	Settlement	\$0.00	\$0.00
Raymond P. Montie	*2148	Raymond P. Montie	TD Bank	TD Beyond Checking; Old Income Account; Closed by TD Bank	Closed	\$0.00	\$0.00
Raymond P. Montie; Danielle TerraNova	*3934	Raymond P. Montie	TD Bank	Relationship Checking	Closed	\$0.00	\$0.00
RPM 7 LLC	*6068	Raymond P. Montie	TD Bank	Business Convenience Plus	Liquidated	\$0.00	\$2,395.63
RPM 7 LLC	*1952	Raymond P. Montie	TD Bank	Business Convenience Plus	Liquidated	\$0.00	\$7,834.46
RPM 7 LLC	*6076	Raymond P. Montie	TD Bank	N/A	Closed	\$0.00	\$0.00
RPM 7 LLC	*6430	Raymond P. Montie	TD Bank	N/A	Closed	\$0.00	\$0.00
RPM 7 LLC	*6638	Raymond P. Montie	TD Bank	N/A	Closed	\$0.00	\$0.00
Diamond BOA LLC	*0306	Raymond P. Montie	TD Bank	Business Convenience Plus	Liquidated	\$0.00	\$8,130.54
Goose Pond Consulting	*9658	Raymond P. Montie; Danielle TerraNova	NBT Bank	Free Business Checking	Settlement	\$0.00	\$0.00
Roar of the Lion Fitness, LLC	*1396	Michael Dacorta; Andrew Dacorta	Wells Fargo	Business Choice Checking	Liquidated	\$0.00	\$17,704.97
Satellite Holdings Company	*8808	John Haas	Wells Fargo	Market Rate Savings	Liquidated	\$0.00	\$500.42
Satellite Holdings Company	*5347	John Haas	Wells Fargo	General Operating Checking	Liquidated	\$0.00	\$127,921.13

EXHIBIT C

Property	Units	Estimated Value or Purchase Price	Lien	Status or Disposition	Actual Value or Sale Price
Defendant Anile/4064 Founders Club Drive					
2015 Mercedes Benz SLK 350	1	\$28,050.00	\$0.00	Forfeited; Sold; Returned; Resold	\$23,000.00
2016 Mercedes Benz GLE 400	1	\$37,000.00	\$0.00	Forfeited; Sold	\$31,027.50
100 Ounce Silver Bars	100	\$150,900.00	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
One Ounce Gold Coins	200	\$255,320.00	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
U.S. Currency	N/A	\$62,750.00	\$0.00	Forfeited; In USMS/FBI Custody; Remission TBD	\$62,750.00
Quietsource 48KW Generator	1	\$28,017.00	\$0.00	Sold by Receiver	\$12,500.00
Pool Table	1	TBD	\$0.00	Receiver Seeking Return from Anile	TBD
Piano	1	\$1,000.00	\$0.00	Sold by Receiver	\$1,000.00
Jewelry	Misc.	\$60,749.00	\$0.00	Receiver Seeking Return from Anile	TBD
Bedroom Set	1	\$1,000.00	\$0.00	Sold by Receiver	\$1,000.00
Grandfather Clock	1	TBD	\$0.00	Receiver Seeking Return from Anile	TBD
Large Bird Cage/Misc. Items	Misc.	\$372.75/Misc.	\$0.00	Sold by Receiver	\$372.75/Misc.
Misc. Household Items and Furniture	59	\$6,000.00	\$0.00	Auctioned (Gross Sale Price)	\$17,875.00
Defendant DaCorta/13318 Lost Key Place/6922 Lacertera Circle					
2017 Maserati Ghibli S Q4	1	\$60,800.00	\$43,528.88	Forfeited; Abandoned After Further Investigation	\$0.00
2018 Land Rover Range Rover Velar	1	\$57,825.00	\$0.00	Forfeited; Sold	\$48,462.00
2015 Land Rover Range Rover Evoque	1	\$25,100.00	\$26,129.29	Abandoned Due to Lack of Value Given Lien	\$0.00
100 Ounce Silver Bars	64	\$96,576.00	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
\$1.00 Silver One Ounce Coins	1,500	\$22,635.00	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
Credit Suisse One Ounce Gold Ingots	3	\$3,829.80	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
APMEX.com One Ounce Silver Coins	5	\$75.45	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
Lady Liberty \$50 Gold One Ounce Coins	7	\$8,629.80	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
Lady Liberty \$50 Gold One Ounce Coins	40	\$48,000.00	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
Lady Liberty \$1.00 Silver One Ounce Coins	120	\$2,400.00	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
"Bitcoin" One Ounce Gold-Plated Coin	1	\$1.00	\$0.00	Forfeited; Sold; Listed Price is for all Metals	\$657,382.25
U.S. Currency	N/A	\$160,000.00	\$0.00	Forfeited; In USMS/FBI Custody; Remission TBD	\$160,000.00
Handgun	1	\$517.00	\$0.00	Receiver Seeking Return from DaCorta	TBD
Coffee Table	1	\$200.00	\$0.00	Sold by Receiver	\$200.00
Televisions	2	\$200.00	\$0.00	Sold by Receiver	\$200.00
Safe	1	\$200.00	\$0.00	Sold by Receiver	\$200.00
Outdoor Speakers	2	\$150.00	\$0.00	Sold by Receiver	\$150.00

Pool Table Chairs	2	\$300.00	\$0.00 Sold by Receiver	\$300.00
Sauna	1	\$4,200.00	\$0.00 Sold by Receiver	\$4,200.00
Quietsource 48KW Generator	1	\$24,969.81	\$0.00 Not Delivered; Unrecoverable	\$0.00
Misc. Household Items and Furniture	50	\$2,000.00	\$0.00 Auctioned (Gross Sale Price)	\$1,465.00

Defendant Duran/7312 Desert Ridge Glen

2018 Porsche 911 C4 Targa	1	\$113,375.00	\$90,898.75 Forfeited; Sold	\$104,902.50
2018 Mercedes Benz Convertible SL 450R	1	\$65,825.00	\$83,611.29 Abandoned Due to Lack of Value Given Lien	\$0.00
2019 Land Rover Range Rover Sport	1	\$0.00	\$0.00 Leased; Not Seized Due to Lack of Value	\$0.00
Swiss Watch	1	\$10,900.00	\$0.00 Receiver Seeking Return from Duran	TBD
Golf Cart	1	\$5,500.00	\$0.00 Sold by Receiver	\$4,750.00
Televisions	2	\$200.00	\$0.00 Sold by Receiver	\$200.00
Misc. Household Items and Furniture	28	\$1,000.00	\$0.00 Auctioned (Gross Sale Price)	\$2,160.00

Defendant Montie

1996 Mercedes Benz 500SL	1	\$2,167.00	\$0.00 Sold; Escrowed	\$10,500.00
2016 Toyota 4Runner	1	\$22,885.00	\$12,180.85 Disclosed in 8/30/19 Financial Affidavit	Settlement
2009 South Bay Pontoon Boat	1	\$11,590.00	\$0.00 Disclosed in 8/30/19 Financial Affidavit	Settlement
Furniture Located in PA House	Misc.	TBD	\$0.00 Disclosed in 8/30/19 Financial Affidavit	Settlement
Furniture Located in NH House	Misc.	TBD	\$0.00 Disclosed in 8/30/19 Financial Affidavit	Settlement
Furniture Located in NY House	Misc.	\$0.00	\$0.00 Mostly Abandoned Due to Lack of Value	\$50.00
Standard Oil Company, Inc. Stock	60,606	TBD	\$0.00 Disclosed in 8/30/19 Financial Affidavit; Purchased for \$100,000 in 2015	Settlement
Ounces of Silver	990	\$17,087.00	\$0.00 Disclosed in 8/30/19 Financial Affidavit	Settlement
Firearms	19	\$8,290.00	\$0.00 Disclosed in 8/30/19 Financial Affidavit	Settlement

Defendant Haas

2012 Mercedes Benz GLK 350 (black)	1	\$2,800.00	\$0.00 Disclosed in 6/24/19 Financial Affidavit; Updated	Settlement
2012 Mercedes Benz GLK 350 (silver)	1	\$10,000.00	\$0.00 Disclosed in 6/24/19 Financial Affidavit; Updated	Settlement
1966 Ford LTD (gold)	1	\$2,500.00	\$0.00 Disclosed in 6/24/19 Financial Affidavit; Updated	Settlement
1966 Ford LTD (green)	1	\$500.00	\$0.00 Disclosed in 6/24/19 Financial Affidavit; Disposed	Settlement
1959 GMC 100 Truck	1	\$6,000.00	\$0.00 Disclosed in 6/24/19 Financial Affidavit; Repairs	Settlement
2014 Ford Escape	1	\$12,000.00	\$0.00 Disclosed in 6/24/19 Financial Affidavit; L. Haas	Settlement
2013 Horton Trailer	1	\$400.00	\$0.00 Disclosed in 6/24/19 Financial Affidavit; Updated	Settlement
Household Furniture	Misc.	TBD	\$0.00 Disclosed in 6/24/19 Financial Affidavit	Settlement
Auto Parts	Misc.	\$1,000.00	\$0.00 Disclosed in 6/24/19 Financial Affidavit; Varies	Settlement

Relief Defendant 4Oaks, LLC (Anile)

2015 Ferrari California T	1	\$174,300.00	\$0.00 Forfeited; Sold	\$100,470.00
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Relief Defendant Roar of the Lion Fitness, LLC

Nutritional Supplement Capsules	11,247	\$0.00	\$0.00 Disposed - No Commercial Value	\$0.00
Promotional Yoga Mats and Hats	357	\$0.00	\$0.00 Donated to Charity	\$0.00
Nutritional Protein Powder	1805	\$0.00	\$0.00 Disposed - No Commercial Value	\$0.00
Nutritional "Pre-Workout" Powder	876	\$0.00	\$0.00 Disposed - No Commercial Value	\$0.00
Nutritional Creatine Powder	861	\$0.00	\$0.00 Disposed - No Commercial Value	\$0.00

EXHIBIT 3

Burton W. Wiand, P.A.

114 Turner Street
 Clearwater FL 33576
 Telephone: 727-235-3769
 Facsimile: 727-447-7196

Burton W. Wiand PA
 114 Turner Street
 Clearwater, FL 33756

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RE: CFTC Oasis Receivership(Receiver)
 Travel is half rate outside of 20 miles.

For Professional Services Rendered Through September 30, 2024

SERVICES

Date	TKPR	Description of Services	Hours	Amount
	ASSET	Asset Analysis and Recovery		
7/1/2024	BWW	Review and comment on draft ATC amended complaint (1.2); attend to various matters regarding collections, settlements, and discovery (.6); prepare for and participate in meeting with J. Perez and M. Lockwood regarding status of Receivership and outstanding matters (1.5).	3.3	\$1,188.00
7/2/2024	BWW	Review revised ATC complaint (.4); work on appeal results (.9); telephone conference with J. Sallah and H. Sandridge regarding same (.6); review information regarding D.C. (.1); correspond with M. Lockwood regarding future settlement payments to be made by F. Nagel (.1); review correspondence from M. Lockwood and S. Preziosi regarding status of documents to be produced in response to subpoena (.2).	2.3	\$828.00
7/3/2024	BWW	Review correspondence from E. Tate regarding communication from J. Haas regarding wired settlement funds (.1); work with J. Sallah on predominant issues (.5).	0.6	\$216.00
7/8/2024	BWW	Review revised draft of amended complaint (.4); prepare email to J. Katz, J. Sallah, and P. Rengstl regarding same (.1).	0.5	\$180.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
ASSET	Asset Analysis and Recovery			
7/11/2024	BWW	Review documents produced by S. Preziosi (.2); review correspondence from E. Tate regarding call from S. Preziosi (.1); call with S. Preziosi regarding subpoena (.1); calls with M. Lockwood regarding additional information regarding same (.2); review email from M. Lockwood regarding same (.1); review correspondence from A. Auxter regarding motion for special admission by S. Preziosi (.1).	0.8	\$288.00
7/15/2024	BWW	Correspond with M. Lockwood regarding UPS' initial response to subpoena (.2); review correspondence from M. Lockwood regarding status of documents D. Clark agreed to provide (.1); review correspondence from M. Lockwood regarding status of C. Huckabee documents (.1); review IPM's response to subpoena (.1); communicate with M. Lockwood regarding same (.1).	0.6	\$216.00
7/17/2024	BWW	Review revised draft of second amended complaint in ATC matter provided by J. Katz (.3); make comments on same (.5); review correspondence records (.3).	1.1	\$396.00
7/18/2024	BWW	Review S. Preziosi's motion to appear pro hac vice (.1); review DCS discussion on liquidation and forward same to J. Sallah, J. Katz, P. Rengstl, and J. Perez (.4).	0.5	\$180.00
7/19/2024	BWW	Review correspondence and additional document produced by S. Preziosi in response to subpoena (.1); call with M. Lockwood regarding search for particular ATC email (.2); correspond with M. Lockwood regarding same (.2).	0.5	\$180.00
7/20/2024	BWW	Review documents from K. Paulson in response to request for search for particular ATC email (.2); exchange emails with K. Paulson regarding same (.1); review correspondence from J. Rizzo regarding ability to expand search (.1); review correspondence from M. Lockwood regarding results of requested search (.1); search for and review additional documents regarding same (.5).	1.0	\$360.00
7/20/2024	BWW	Correspond with M. Lockwood and K. Paulson requesting email search for particular ATC email (.2); correspond with J. Sallah, J. Katz, P. Rengstl, M. Lockwood, and J. Rizzo regarding same (.2).	0.4	\$144.00
7/21/2024	BWW	Correspond with R. Bedke and provide requested contact information for people of interest (.2); review documents provided by J. Katz regarding ATC (.2); correspond with J. Katz, J. Sallah, P. Rengstl, M. Lockwood, and J. Rizzo regarding same (.1); communicate with K. Paulson, J. Katz, and M. Lockwood regarding search for emails on E-Hounds platform (.2); perform research regarding jurisdiction and Florida long arm statute (.6); prepare email to J. Perez and J. Sallah regarding case for same (.1).	1.4	\$504.00
7/22/2024	BWW	Review notice of pro hac vice fees paid by S. Preziosi (.1).	0.1	\$36.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
ASSET	Asset Analysis and Recovery			
7/24/2024	BWW	Review correspondence from M. Lockwood regarding summary of status of negotiations with C. Huckabee and possible settlement terms (.2); review order granting motion to allow S. Preziosi to appear pro hac vice (.1).	0.3	\$108.00
7/29/2024	BWW	Review IPM's response to order to show cause and information and notice regarding Receiver's motion to compel compliance with non-party subpoena (.2); correspond with J. Perez and M. Lockwood regarding same (.1); correspond with M. Lockwood regarding status of S. Preziosi subpoena (.1).	0.4	\$144.00
7/30/2024	BWW	Review and edit draft letter to S. Preziosi regarding privileged documents (.2); exchange emails with J. Perez and M. Lockwood regarding motion to enforce S. Preziosi subpoena (.2); perform research regarding requirements for contempt and draft motion for contempt regarding same (1.0); review request from Maples Group requesting copy of new passport (.1); provide same as requested (.1).	1.6	\$576.00
7/31/2024	BWW	Correspond with B. McConnell regarding motion for contempt against S. Preziosi (.1); provide same and additional documents to B. McConnell (.2); communicate with B. McConnell regarding additional documents (.1); correspond with M. Lockwood regarding same (.1); review and approve letter to S. Preziosi provided by M. Lockwood (.1); compile additional documents and information and send to B. McConnell (.3).	0.9	\$324.00
8/1/2024	BWW	Review correspondence from S. Preziosi regarding response to subpoena (.1); attend to acquiring filed order in Southern District of New York and S. Preziosi subpoena (.5).	0.6	\$216.00
8/2/2024	BWW	Work with counsel regarding actions of helpers group (.2); correspond with B. McConnell, J. Perez, and M. Lockwood regarding proposed response to S. Preziosi's response to subpoena, Rule 45 provisions, and information regarding J. McKee (.2); prepare draft response (.6).	1.0	\$360.00
8/3/2024	BWW	Attend to enforcement of S. Preziosi subpoena (.2).	0.2	\$72.00
8/5/2024	BWW	Review order regarding Intermountain Precious Metals (.1); telephone conference with J. Perez regarding same (.1); telephone call with R. Montie (.2); dictate note to file regarding same (.1).	0.5	\$180.00
8/6/2024	BWW	Review settlements of M. Nicolaou and Wilson (.4); attend to finalizing filing of complaint (.1); exchange emails with J. Sallah regarding same (.1); review order holding IPM in contempt and subject to sanctions for non-compliance with subpoena (.1); correspond with J. Sallah, J. Katz, and P. Rengstl regarding status of motion for compliance in ATC case (.1).	0.8	\$288.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
ASSET	ASSET	Asset Analysis and Recovery		
8/8/2024	BWW	Review IRS information sent by C. Huckabee (.3); review correspondence between B. McConnell and S. Preziosi regarding non-compliance with subpoena (.2); review correspondence from J. Sallah and H. Sandridge regarding motion for compliance in ATC case (.2); attend to Nicolaou settlement (.3).	1.0	\$360.00
8/9/2024	BWW	Review helpers group documents sent to claimants in order to raise money (1.1); provide copies of same to M. Lockwood, J. Perez, M. Gura, R. Bedke, and J. Rizzo (.1).	1.2	\$432.00
8/12/2024	BWW	Review correspondence from B. McConnell requesting response to S. Preziosi letter (.1); attend to provisions of Wilsons' settlement (.2); meet with E. Tate regarding documents related to insider's attorney (.2).	0.5	\$180.00
8/13/2024	BWW	Communicate with B. McConnell regarding S. Preziosi subpoena compliance (.2); review motion in ATC/Spotex lawsuit (.7); correspond with P. Rengstl and J. Sallah regarding timing for filing leave motion (.1); work on issues relating to activities of helpers group leaders (.5).	1.5	\$540.00
8/14/2024	BWW	Work with P. Rengstl regarding ATC case (.4); work on issues relating to S. Preziosi subpoena (.2); communicate with R. Rohr regarding accessing data stored at bankwires oasisig.com on review platform (.3).	0.9	\$324.00
8/16/2024	BWW	Review correspondence from M. Gura and E. Tate regarding receipt of settlement payment from Wilsons (.1); review and revise motion for contempt against S. Preziosi (.4).	0.5	\$180.00
8/19/2024	BWW	Review issues regarding non-party subpoena (.4); review produced documents for same (1.0); communicate with P. Rengstl regarding progress of ATC litigation (.2); review correspondence from B. McConnell regarding Law360 article inquiry on motion for contempt against S. Preziosi (.1); review correspondence from B. McConnell and A. Auxter regarding motion for contempt against S. Preziosi (.2); review confirmation of wire transfer from J. McKee provided by S. Preziosi (.1).	2.0	\$720.00
8/21/2024	BWW	Review correspondence and documents from K. Paulson regarding items found in documents produced by non-party (.4); correspond with K. Paulson regarding items to search for in document production (.1); prepare email to Department of Justice with motion for contempt against S. Preziosi, Law360 article, and video by helpers group (.1); correspond with E. Tate regarding bates stamped documents to be produced and provided (.2).	0.8	\$288.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
ASSET	Asset Analysis and Recovery			
8/22/2024	BWW	Review documents from non-party production (1.9); review response to motion for contempt and exhibits filed by S. Preziosi (.5); correspond with B. McConnell regarding same (.1); correspond with B. McConnell regarding 2021 dismissal of 11th Circuit appeal in Piotr Luda matter (.1).	2.6	\$936.00
8/23/2024	BWW	Review materials relating to helpers group leaders' efforts to raise money including video and affidavit (.5).	0.5	\$180.00
8/24/2024	BWW	Review Companies House filing of ATC and forward same to J. Sallah (.2).	0.2	\$72.00
8/25/2024	BWW	Prepare email to K. Paulson regarding opposition to motion for contempt filed by S. Preziosi (.1).	0.1	\$36.00
8/26/2024	BWW	Forward 9th Circuit decision on fraudulent transfers to J. Sallah and team (.1); review decision and conduct additional related research (.2); work with B. McConnell on S. Preziosi court papers (.2); prepare email to CFTC with information regarding helpers group leaders (.1).	0.6	\$216.00
8/27/2024	BWW	Review release of financial information regarding ATC and forward to J. Sallah (.1); telephone conference with J. Sallah regarding same (.2); prepare email to M. Hammerling with documents regarding IPM (.1); send same to B. McConnell and K. Paulson (.1); communicate with A. Auxter of CFTC regarding insider's attorney (.2); correspond with B. McConnell regarding motion to strike S. Preziosi filing (.2); review and approve draft motion for leave to file reply in support of motion for contempt provided by B. McConnell (.5).	1.4	\$504.00
8/28/2024	BWW	Review K. Paulson's summary of subpoenaed documents and exhibits to same (1.0); provide same and link to exhibits to B. McConnell (.1).	1.1	\$396.00
8/29/2024	BWW	Review correspondence from J. Sallah regarding allegations (.1); review correspondence from P. Rengstl regarding edits made to same (.1); review IPM's court filings in response to subpoena effort (.3); review letter to S. Preziosi regarding change of counsel (.1); review email exchange between attorney for the Wilsons and E. Tate regarding confirming receipt of settlement payment (.1).	0.7	\$252.00
8/30/2024	BWW	Review follow-up correspondence from E. Tate to attorney for the Wilsons regarding settlement payment (.1); review correspondence from M. Lockwood regarding settlement payment dates for R. Montie (.1); correspond with legal team regarding status of same (.1); review correspondence between M. Lockwood and M. Nicolaou regarding settlement payment (.2); attend to issues relating to S. Preziosi with J. Perez (.5).	1.0	\$360.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
ASSET	Asset Analysis and Recovery			
9/3/2024	BWW	Review additional non-party documents (.2); work on and review S. Preziosi's reply (1.0); review response to motion for compliance with 11th Circuit opinion in ATC/Spotex case (.3); telephone conference with J. Sallah regarding case (.3); review correspondence from B. McConnell regarding insider's attorney's status with the New Hampshire bar (.1); research restrictions in New Hampshire and send to B. McConnell (.4); review additional information from B. McConnell related to same (.2).	2.5	\$900.00
9/5/2024	BWW	Correspond with B. McConnell regarding request by S. Preziosi to file sur-reply (.2); review Spotex response to motion for compliance with 11th Circuit decision (.2); review final draft reply to S. Preziosi (.3).	0.7	\$252.00
9/6/2024	BWW	Review correspondence from J. Sallah and H. Sandridge regarding responses filed by ATC and Spotex to motion for compliance (.2); call with J. Sallah regarding same (.3); review correspondence and draft motion for leave to file second amended complaint and draft amended complaint provided by P. Rengstl (.5); correspond with M. Lockwood and B. McConnell regarding review of S. Preziosi's motion for leave to file oversized answer and sur-reply in opposition to receiver's motion for contempt (.3).	1.3	\$468.00
9/9/2024	BWW	Review correspondence from M. Nicolaou and E. Tate regarding status of payment made by wire transfer (.2).	0.2	\$72.00
9/16/2024	BWW	Review check from F. Nagel and correspondence to bank for deposit (.2); exchange correspondence with J. Katz, P. Rengstl, and J. Sallah regarding issues relating to motion to amend (.5).	0.7	\$252.00
9/24/2024	BWW	Review endorsed order granting S. Preziosi's motion for leave to file oversized answer and a sur-reply in opposition to motion for contempt (.1); review correspondence from J. Perez regarding IPM (.1); review motion for reconsideration, notice of appeal, docketing notice and time schedule order filed by IPM in response to subpoena (.2); review sur-reply filed by S. Preziosi (.2).	0.6	\$216.00
Total: Asset Analysis and Recovery			42.00	\$15,120.00
BUSIN	Business Operations			
7/1/2024	BWW	Review June bank statements (.2); review email from J. Haas requesting verification of wiring instructions (.1); correspond with E. Tate regarding same (.1).	0.4	\$144.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
	BUSIN	Business Operations		
7/8/2024	BWW	Review correspondence from PDR requesting transfer of funds for payments of invoices (.1); perform online transfer of funds (.1); provide confirmation of same to E. Tate and PDR (.1); review invoice from Idaho counsel (.1); review notice from Amazon Web Services regarding implementation of new launch configurations (.1).	0.5	\$180.00
7/12/2024	BWW	Review and approve request from E. Tate regarding payment method change for Amazon AWS account (.1).	0.1	\$36.00
7/15/2024	BWW	Review confirmation of payment method change on Amazon AWS site (.1).	0.1	\$36.00
7/20/2024	BWW	Review correspondence from M. Lockwood regarding transfer of Receivership domain (.1).	0.1	\$36.00
7/24/2024	BWW	Review correspondence from E. Tate and R. Rohr regarding status of upcoming certificate expiration (.1).	0.1	\$36.00
7/31/2024	BWW	Attend to transfer of GoDaddy accounts for Receivership (.1).	0.1	\$36.00
8/1/2024	BWW	Review bank statements (.2); review and approve invoice from RAD Technology (.1).	0.3	\$108.00
8/2/2024	BWW	Review correspondence from E. Tate and R. Rohr regarding invoices for Amazon Web Services account (.1); review correspondence from E. Tate regarding status of entities and RASi invoices (.1).	0.2	\$72.00
8/14/2024	BWW	Review motion and approve invoices (.4).	0.4	\$144.00
8/15/2024	BWW	Review correspondence from E-Hounds regarding status of setup for requested information (.1); call with S. Organ regarding link and credentials needed to review platform for same (.3).	0.4	\$144.00
8/23/2024	BWW	Review correspondence from E. Tate to R. Rohr regarding status of Amazon RDS (.1).	0.1	\$36.00
8/30/2024	BWW	Prepare correspondence to Maples Group regarding updated passport to maintain Oasis status (.2).	0.2	\$72.00
9/3/2024	BWW	Review bank account statements (.2); process payment to Tampa Legal Services (.1).	0.3	\$108.00
9/11/2024	BWW	Review correspondence from Maples Group with information regarding Cayman corporate regulation (.3).	0.3	\$108.00
9/30/2024	BWW	Review and approve payment of invoice from E-Hounds (.1).	0.1	\$36.00
		Total: Business Operations	3.70	\$1,332.00
CASE	Case Administration			

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
CASE	Case Administration			
7/11/2024	BWW	Review correspondence from M. Gura regarding Receivership website updates (.1).	0.1	\$36.00
7/30/2024	BWW	Review draft 21st interim report exhibits (.2); review, approve, and sign accounting report (.2).	0.4	\$144.00
7/31/2024	BWW	Review and edit 21st interim report provided by J. Perez (1.0); provide copy of same to B. McConnell (.1).	1.1	\$396.00
8/15/2024	BWW	Review correspondence from M. Gura regarding documents missing from website and restoration of same (.1).	0.1	\$36.00
8/29/2024	BWW	Review revised draft 21st interim report (.6).	0.6	\$216.00
Total: Case Administration			2.30	\$828.00
CLAIM	Claims Administration and Objections			
7/1/2024	BWW	Review correspondence from B. Winters regarding custodian changes for two claimants (.1); review correspondence from J. Perez regarding same (.1).	0.2	\$72.00
7/2/2024	BWW	Review and process mail returned by post office (.3); prepare email to K. Paulson, M. Lockwood, and E. Tate regarding same (.1).	0.4	\$144.00
7/9/2024	BWW	Review correspondence from K. Paulson to claimant requesting required acknowledgment before distribution check can be reissued (.1).	0.1	\$36.00
7/15/2024	BWW	Review distribution summary provided by K. Paulson (.1).	0.1	\$36.00
7/16/2024	BWW	Review distribution checks returned by USPS (.1); review estate documents submitted by surviving spouse of claimant (.1).	0.2	\$72.00
7/17/2024	BWW	Review copies of distribution checks returned by post office (.1).	0.1	\$36.00
7/22/2024	BWW	Review voided checks returned by claimant (.1).	0.1	\$36.00
7/23/2024	BWW	Review distribution check returned by post office and voided by E. Tate (.1); review issue with endorsement on distribution check (.1); work with M. Lockwood regarding status of outstanding Oasis checks (.2).	0.4	\$144.00
7/26/2024	BWW	Review outstanding checks summary provided by K. Paulson (.1).	0.1	\$36.00
8/2/2024	BWW	Review status of distribution checks (.3).	0.3	\$108.00
8/4/2024	BWW	Review information regarding issues related to claimants M.S. and B.R. (.4).	0.4	\$144.00
8/5/2024	BWW	Review information relating to claim of D.D. (.2); telephone conference with same (.3).	0.5	\$180.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
	CLAIM	Claims Administration and Objections		
8/6/2024	BWW	Telephone call to B.R. regarding letter (.1); review summary of conversation provided by E. Tate (.1); telephone call to M.S. (.1); review summary of communication provided by E. Tate (.1); review summary of status of second distribution checks from K. Paulson (.1).	0.5	\$180.00
8/7/2024	BWW	Review information from D.D. (.1); communicate with E.R. (.1).	0.2	\$72.00
8/11/2024	BWW	Correspond with J. Perez regarding information about B.R. (.1).	0.1	\$36.00
8/14/2024	BWW	Communicate with M. Gura regarding status of distribution checks (.2).	0.2	\$72.00
8/22/2024	BWW	Review correspondence from B. Winters regarding certain claims (.1).	0.1	\$36.00
8/26/2024	BWW	Communicate with D.D. regarding claim and documentation (.2); work on resolving distribution issues regarding B.R. (.2).	0.4	\$144.00
8/27/2024	BWW	Attend to outstanding distributions for claimants with issues (.1); exchange correspondence with B. Winters regarding distribution for C.P. (.2).	0.3	\$108.00
8/28/2024	BWW	Review correspondence from B. Winters regarding deceased claimant's check (.1); review correspondence from D.D. requesting information regarding court (.1).	0.2	\$72.00
8/29/2024	BWW	Review email exchange between B. Winters and claims team regarding updated address for claimant (.1).	0.1	\$36.00
8/30/2024	BWW	Correspond with D.D. regarding denied claim (.2).	0.2	\$72.00
9/4/2024	BWW	Review D.D.'s proposed filing (.2).	0.2	\$72.00
9/5/2024	BWW	Telephone conference with R. Montie regarding B.R. (.2); telephone conference with M.H. (.2); exchange emails with K. Paulson regarding claimant B.R. (.2); review B.R.'s claim (.2); review D.D.'s claim history (.3); call with D.D. regarding his filing (.8); review distribution check update provided by K. Paulson (.2); correspond with K. Paulson regarding remaining issues (.2); correspond with K. Paulson regarding estate order provided by surviving spouse of claimant (.2).	2.5	\$900.00
9/6/2024	BWW	Communicate with M. Lockwood regarding claims issues (.3); review spreadsheet of claimants not allowed to participate because personal verification documents were signed by B. Winters (.2); review correspondence from M. Lockwood summarizing same (.2).	0.7	\$252.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
	CLAIM	Claims Administration and Objections		
9/9/2024	BWW	Correspond with M. Lockwood regarding D.D.'s claim and reasons for denial of same (.2); review and revise proposed response to claimant provided by M. Lockwood (.4); correspond with claims team regarding personal verification form received from claimant (.2); review detailed response to same by K. Paulson (.2); review correspondence from M. Lockwood and K. Paulson regarding responding to claimant (.2); review additional edits to draft correspondence to D.D. regarding his motion for reconsideration and email from M. Lockwood regarding same (.2).	1.4	\$504.00
9/11/2024	BWW	Review correspondence and personal verification forms from claimants and direct responses (.2); correspond with D.D. regarding his potential filing of motion, Receiver's response to same, and 3.01(g) representation (.2); review and revise draft correspondence to same (.2); telephone conference with M. Lockwood regarding same (.2); complete response to D.D. (.1); communicate with M. Lockwood regarding same (.2).	1.1	\$396.00
9/13/2024	BWW	Review correspondence from K. Paulson to claimants regarding late-received personal verification forms (.2); review claimant matter (.2).	0.4	\$144.00
9/17/2024	BWW	Review correspondence from K. Paulson regarding status of second distribution and clearing of B.R.'s check (.2).	0.2	\$72.00
9/23/2024	BWW	Review correspondence from B. Winters regarding custodian change for claimant and communicate with claims team regarding same (.2).	0.2	\$72.00
Total: Claims Administration and Objec			11.90	\$4,284.00
Total Professional Services:			59.9	\$21,564.00

DISBURSEMENTS

Date	Description of Disbursements	Amount
	E107 Del. Services Messen ers	
8/29/2024	FedEx to Brent Winters - Delivery of Check for Claimant Remick	\$47.55
	E123 Web-Related Expenses	
7/1/2024	Web-related expenses - GoDaddy - Web Hosting Plus Renewal (1 Month)	\$36.99
7/2/2024	Web-related expenses - Amazon Web Services - Web Services	\$283.14

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DISBURSEMENTS

Date	Description of Disbursements	Amount
E123	Web-Related Expenses	
7/17/2024	Web-related expenses - GoDaddy - Renewal and Protection - oasisglobaltd.com	\$35.16
7/18/2024	Web-related expenses - GoDaddy - Renewal and Protection - oasisgloballimited.com	\$35.16
8/1/2024	Web-related expenses - RAD Technology - Website Hosting	\$50.00
8/1/2024	Web-related expenses - GoDaddy - Web Hosting Plus (AutoSSL) renewal	\$36.99
8/2/2024	Web-related expenses - Amazon Web Services - Web Services	\$287.38
9/1/2024	Web-related expenses - GoDaddy - Renewal and Protection oasisgloballimited.com	\$36.99
9/1/2024	Web-related expenses - RadTechnology - Website Hosting	\$50.00
9/2/2024	Web-related expenses - Amazon Web Services - Web Services	\$287.37
	Total Disbursements	\$1,186.73
	Total Services	\$21,564.00
	Total Disbursements	\$1,186.73
	Total Current Charges	\$22,750.73
	Previous Balance	\$211,305.68
	<i>Less credits</i>	<i>(\$2,033.0)</i>
	PAY THIS AMOUNT	\$24,023.32

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TASK RECAP

Services

<u>Project No.</u>	<u>Hours</u>	<u>Amount</u>
ASSET - ASSET	42.00	\$15,120.00
BUSIN - BUSIN	3.70	\$1,332.00
CASE - CASE	2.30	\$828.00
CLAIM - CLAIM	11.90	\$4,284.00
	<u>59.90</u>	<u>\$21,564.00</u>

Disbursements

<u>Project No.</u>	<u>Amount</u>
Del. Services/Messengers	\$47.55
Web-Related Expenses	\$1,139.18
	\$0.00
	\$0.00
	<u>\$1,186.73</u>

BREAKDOWN BY PERSON

Person

BWW	Burton W. Wiand
BWW	Burton W. Wiand
BWW	Burton W. Wiand
BWW	Burton W. Wiand

<u>Project No.</u>	<u>Hours</u>	<u>Amount</u>
ASSET - ASSET	42.00	\$15,120.00
BUSIN - BUSIN	3.70	\$1,332.00
CASE - CASE	2.30	\$828.00
CLAIM - CLAIM	11.90	\$4,284.00
	<u>59.90</u>	<u>\$21,564.00</u>

EXHIBIT 4

Burton W. Wiand PA

114 Turner Street
 Clearwater, FL 33756
 Phone: (727) 235-3769
 Fax: (727) 447-7196

INVOICE

Matter: CFTC Oasis Receivership - Legal Team
Responsible: Burton W. Wiand

For Professional Services Rendered 07/01/2024 Through 09/30/2024

Date	Timekeeper	Description of Services	Hours	Amount
	ASSET -	Asset Analysis and Recovery		
8/6/24	EPT	Exchange emails with attorney for Wilsons regarding instructions for making settlement payment (.2).	0.2	\$25.00
8/8/24	EPT	Exchange emails with M. Nicolaou regarding instructions for making settlement payment (.2).	0.2	\$25.00
8/14/24	EPT	Search for and organize documents requested by Receiver (3.9); prepare index for notebook (.3); correspond with B. McConnell regarding order (.1).	4.3	\$537.50
8/19/24	EPT	Review, download, and save email and documents produced in response to subpoena (.3); prepare email to Receiver regarding same (.1); call with Tampa Legal Copies regarding notebooks to be prepared for same (.1); receive Dropbox link from Tampa Legal and upload documents (.1).	0.6	\$75.00
8/21/24	EPT	Correspond with M. Gura regarding change to mailing address for settlement checks (.1); review email from M. Gura regarding status of M. Nicolaou payment (.1); access bank account and respond to same (.1); review settlement check from F. Nagel and prepare check for deposit and cover letter for same (.3); prepare email to Receiver, M. Gura, M. Lockwood, and PDR with same (.1); communicate with Receiver regarding delivery of copy of documents produced in response to subpoena (.1); call with Tampa Legal Copies regarding same (.1); call with Receiver regarding bates stamping electronic copy of documents (.1); communicate with Tampa Legal Copies requesting same (.1).	1.1	\$137.50
8/22/24	EPT	Communicate with Tampa Legal Copies regarding formatting for bates-stamped subpoenaed documents (.2); call with Receiver regarding same (.1).	0.3	\$37.50
8/23/24	EPT	Review request from M. Gura requesting confirmation of Portela settlement payment (.1); search file and bank records (.2); respond with requested information (.1); review email and call from Tampa Legal Copies (.2), download bates-stamped subpoenaed documents (.2) call with Receiver regarding same (.1); email same to M. Gordan (.1).	1.0	\$125.00
8/29/24	EPT	Review email from attorney for Wilsons requesting confirmation of receipt of settlement payment (.1); check bank records and respond to same (.1).	0.2	\$25.00
8/30/24	EPT	Review money market account and confirm receipt of Wilson settlement payment (.1); prepare email to defendant's attorney, Receiver, and M. Lockwood regarding same (.1); review email from M. Lockwood regarding status of M. Nicolaou settlement payment (.1); review bank account information and prepare email to M. Lockwood regarding same (.2).	0.5	\$62.50
		Total: Asset Analysis and Recovery	8.4	\$1,050.00

	BUSIN -	Business Operations		
7/1/24	EPT	Review and provide bank account statements to Receiver, PDR, and M. Lockwood (.2); exchange emails with PDR regarding payment of invoices and transfer of funds for same (.3); correspond with Receiver regarding same (.1); correspond with PDR regarding Receiver's response (.1); review email from Receiver regarding confirming wiring instructions with J. Haas (.1); call with J. Haas regarding same (.1).	0.9	\$112.50
7/3/24	EPT	Review email from J. Haas regarding status of wired funds (.1); forward same to Receiver and M. Lockwood (.1); review and verify incoming wire from J. Haas (.1); update record and provide information regarding same to Receiver, M. Lockwood and PDR (.1); send email to J. Haas confirming receipt of wire (.1).	0.5	\$62.50
7/8/24	EPT	Review notice from Amazon Web Services and send same to Receiver and R. Rohr (.2); review invoice from Idaho counsel (.1); update record and provide same to Receiver (.1); review email from PDR regarding confirming transfer (.1); access bank account for requested information and respond to same (.1).	0.6	\$75.00
7/12/24	EPT	Review notice from Amazon Web Services regarding expiration of payment method (.1); correspond with Receiver and R. Rohr regarding same (.1); review email from Receiver approving change of payment method (.1); correspond with R. Rohr regarding same (.1).	0.4	\$50.00
7/15/24	EPT	Communicate with R. Rohr regarding request from Receiver to change payment method on Amazon AWS site (.2); review confirmation of same and provide to Receiver (.1); review email from R. Jernigan regarding missing payment (.1); search file and bank statements for confirmation of payment (.3); communicate with R. Jernigan regarding same (.2); review second request from R. Jernigan regarding additional missing fee payment (.1); research file and bank statements for confirmation of payment (.2); provide documentation of payment to R. Jernigan (.1).	1.3	\$162.50
8/1/24	EPT	Provide July bank statements to Receiver, M. Lockwood, and PDR (.2).	0.2	\$25.00
8/23/24	EPT	Review notice of expiration of Amazon RDS certificate (.1); forward same to Receiver and R. Rohr for further action (.1)	0.2	\$25.00
9/3/24	EPT	Provide August bank statements to Receiver, M. Lockwood, and PDR (.2); exchange multiple correspondence with PDR regarding deposit information (.4).	0.6	\$75.00
9/4/24	EPT	Correspond with PDR regarding status of check for payment of Tampa Legal Copies invoice (.1).	0.1	\$12.50
9/9/24	EPT	Review and verify notification of incoming wire for settlement proceeds from M. Nicolaou (.1); update record regarding same (.1); provide confirmation to Receiver, M. Lockwood, M. Gura, and PDR (.1).	0.3	\$37.50
9/16/24	EPT	Review settlement check from F. Nagel (.1); process same for deposit (.1); update record regarding same (.1); provide copies of same to Receiver, M. Lockwood, and PDR (.1).	0.4	\$50.00
9/18/24	EPT	Review email from M. Gura regarding status of settlement payment from the Wilsons (.1); check bank account and respond to same (.1); review email from M. Gura regarding status of settlement payment from F. Nagel (.1); pull information and respond to same (.1).	0.4	\$50.00
Total: Business Operations			5.9	\$737.50

	CLAIM -	Claims Administration and Objections		
7/1/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review and process replacement distribution checks from PDR (.3); update record regarding same (.1); provide same to claims team for final review (.1).	0.6	\$75.00
7/2/24	EPT	Run cleared check report and send to K. Paulson (.1); review final approval of replacement distribution checks from K. Paulson (.1); correspond with claims team regarding cover letters for replacement distribution checks (.1); review and print cover letters to include with checks (.1); finalize replacement claim distribution checks for mailing (.2).	0.6	\$75.00
7/3/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/5/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review request from K. Paulson to stop payment on lost distribution check (.1); process stop payment online (.1); provide confirmation of same to claims team and update record (.1).	0.4	\$50.00
7/8/24	EPT	Run cleared checks report and provide to K. Paulson (.1); exchange emails with M. Gura regarding status of replacement checks (.2).	0.3	\$37.50
7/9/24	EPT	Run cleared checks report and send to K. Paulson (.1); review email from M. Gura regarding confirmation of transferred funds (.1); access records and respond to same (.1); review email from K. Paulson requesting update on uncleared checks not recorded (.1); download, save, and forward copies of cleared checks to K. Paulson and M. Gura (.2).	0.6	\$75.00
7/10/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/11/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/12/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review request from K. Paulson to stop payment on lost distribution check (.1); process stop payment online (.1); provide confirmation of same to claims team and update record (.1); review replacement distribution checks from PDR and process same (.2); review correspondence from claims team approving mailing of same and prepare same for mailing (.3); provide copies of same to claims team and update record (.2).	1.1	\$137.50
7/15/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/16/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review and process checks returned by USPS (.2); send copies of same to Receiver and claims team and update record for same (.1).	0.4	\$50.00
7/17/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/18/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/19/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/22/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review distribution check voided and returned by claimant (.1); update record regarding same (.1); prepare email to Receiver and claims team regarding same (.1).	0.4	\$50.00

7/23/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review and verify replacement check from PDR (.1); process same for mailing (.1); update record regarding same (.1); provide copy of same to claims team for final approval (.1); review approval from K. Paulson (.1); review replacement check returned by the post office (.1); update record regarding same (.1); provide information regarding same to Receiver and claims team (.1).	0.9	\$112.50
7/24/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review request for stop payment on lost distribution check (.1); process stop payment online (.1); send confirmation of same to claims team and update record for same (.1); review correspondence from M. Gura to PDR regarding replacement check (.1); review file and provide copy of voided check to be replaced (.1).	0.6	\$75.00
7/25/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/26/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/29/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review and verify replacement checks from PDR (.2); provide same to claims team for final approval (.1); review email from K. Paulson regarding approval to mail replacement distribution checks (.1); process same for mailing (.6); update record regarding same (.2).	1.3	\$162.50
7/30/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
7/31/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/1/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/2/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/5/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/6/24	EPT	Run cleared checks report and provide to K. Paulson (.1); meet with Receiver and attend call with claimant to take notes (.1); prepare and provide memorandum regarding same to Receiver for review (.2); attend attempted call to another claimant to take notes (.1); prepare and provide memorandum regarding same to Receiver for review (.2).	0.7	\$87.50
8/7/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/8/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/9/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/12/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/13/24	EPT	Run cleared checks report and provide to M. Gura (.1); review request for stop payment on lost distribution check (.1); process stop payment online (.1); update record regarding same (.1); provide confirmation of same to claims team (.1).	0.5	\$62.50
8/14/24	EPT	Run cleared checks report and provide to K. Paulson and M. Gura (.1); review request from M. Gura to stop payment on distribution check (.1); process stop payment online (.1); update record regarding same and provide information to claims team (.1).	0.4	\$50.00

8/15/24	EPT	Run cleared checks report and provide to M. Gura (.1); review request from M. Gura regarding uncleared distribution checks (.1); search bank records online (.1); update record regarding same (.1); provide requested information to M. Gura (.1); review and verify replacement distribution check from PDR (.1); process same for mailing (.2); update record regarding same (.1); provide copy of same to claims team for approval (.1).	1.0	\$125.00
8/16/24	EPT	Run cleared checks report and provide to M. Gura (.1); review email from M. Gura with approval to mail replacement distribution check (.1).	0.2	\$25.00
8/19/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/20/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/21/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/22/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/23/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review and verify replacement distribution check received from PDR (.1); prepare letter and check for mailing (.2); prepare watermarked copy for claimant (.1); update record regarding same (.1); provide processed check to claims team for final approval (.1); review email from M. Gura regarding revisions to cover letter (.1); make revisions to same and provide copy to claims team (.1).	0.9	\$112.50
8/26/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review correspondence from Receiver requesting letter to B. Winters (.1); prepare email to claims team regarding same (.1); calls with Receiver regarding same (.1) draft letter to B. Winters (.2); send same to Receiver for approval (.1); communicate with PDR regarding request for replacement check (.2); issue stop payment on existing distribution check (.1); review and process replacement check for delivery (.2); send copy of letter, enclosure, and label to B. Winters, Receiver, and claims team (.1); send confirmation of stop payment and copy of replacement distribution check to Receiver, claims team, and PDR (.1); process original for overnight delivery (.4).	1.8	\$225.00
8/27/24	EPT	Run cleared checks report and provide to K. Paulson (.1); correspond with PDR regarding status of blank distribution checks (.1); correspond with Receiver regarding status of watermarked copy of distribution check and correspondence to claimant (.1); prepare and process same for mailing (.6); update record for same and provide copies of same to Receiver and claims team (.1); review email from B. Winters requesting replacement check for deceased claimant (.1); search bank records for original check (.1); download cashed check (.1); provide copy of same to Receiver and claims team (.1).	1.4	\$175.00
8/28/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
8/29/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review request from K. Paulson to re-send copies of distribution check and correspondence to claimant's current address (.1); process same for mailing (.1).	0.3	\$37.50
8/30/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
9/3/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
9/4/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
9/5/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50

9/6/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
9/9/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
9/10/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
9/11/24	EPT	Run cleared checks report and provide to K. Paulson (.1); review correspondence and personal verification forms from claimants (.2); update record regarding same (.1); provide same to Receiver and claims team (.1).	0.5	\$62.50
9/12/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
9/13/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
9/16/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
9/17/24	EPT	Run cleared checks report and provide to K. Paulson (.1).	0.1	\$12.50
Total: Claims Administration and Objections			18.3	\$2,287.50
Total Professional Services				\$4,075.00

TASK RECAP

Person

EPT

Edwina P. Tate

Rate

\$125.00

Category

Hours

ASSET

8.4

BUSIN

5.9

CLAIM

18.3

TOTAL

32.6

TOTAL AMOUNT

\$4,075.00

EXHIBIT 5

Burton W. Wiand, P.A.

114 Turner Street
 Clearwater FL 33576
 Telephone: 727-235-3769
 Facsimile: 727-447-7196

Burton W. Wiand PA
 114 Turner Street
 Clearwater, FL 33756

November 20, 2024
 Client: 025305
 Matter: 002096
 Invoice #: 21689

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RE: Oasis Recovery from Investors (Receiver)

For Professional Services Rendered Through September 30, 2024

SERVICES

Date	TKPR	Description of Services	Hours	Amount
	ASSET	Asset Analysis and Recovery		
7/2/2024	BWW	Review filing in D. Lipinczyk matter (.5).	0.5	\$180.00
7/8/2024	BWW	Review correspondence from B. McConnell with draft opposition to D. Lipinczyk's motion to file reply brief (.1); review and edit same and forward to B. McConnell with comments (.4).	0.5	\$180.00
7/16/2024	BWW	Evaluate settlement documents (.2); review and sign settlement agreement with R. Garbellano (.2); communicate with B. McConnell regarding same (.1); review correspondence from B. McConnell regarding court record for filings in D. Lipinczyk case (.1).	0.6	\$216.00
7/24/2024	BWW	Review correspondence from B. McConnell requesting signed R. Garbellano settlement agreement (.1); communicate with E. Tate regarding sending same (.1); review confirmation of receipt of same by B. McConnell (.1).	0.3	\$108.00
7/29/2024	BWW	Review and approve motion to approve R. Garbellano settlement provided by J. Perez (.1).	0.1	\$36.00
7/30/2024	BWW	Correspond with B. McConnell regarding update on status of D. Lipinczyk's garnishment (.1); call with B. McConnell regarding same (.3); review court docket regarding same (.1).	0.5	\$180.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
	ASSET	Asset Analysis and Recovery		
8/2/2024	BWW	Review court order in D. Lipinczyk matter (.1); review Ambit collection efforts (.1).	0.2	\$72.00
8/13/2024	BWW	Review notice from bankruptcy court regarding reassignment of K. Kerrigan case (.1).	0.1	\$36.00
8/21/2024	BWW	Review affidavit of service of post-udgment continuing writ of garnishment on Ambit Energy Holdings in D. Lipinczyk case (.2).	0.2	\$72.00
8/29/2024	BWW	Review R. Garbellano settlement documents (.2).	0.2	\$72.00
9/3/2024	BWW	Review motion for default final udgment of continuing garnishment against D. Lipinczyk filed by B. McConnell (.2).	0.2	\$72.00
9/10/2024	BWW	Work with B. McConnell on Ambit garnishment (.3).	0.3	\$108.00
9/12/2024	BWW	Communicate with B. McConnell regarding order denying motion for default udgment of continuing garnishment against D. Lipinczyk (.3); review 8th circuit opinion in Kelly v. BMO (.2).	0.5	\$180.00
9/24/2024	BWW	Correspond with J. Perez regarding notice of appearance and response of garnishee Ambit Energy Holdings in D. Lipinczyk matter (.2); review corrected response of garnishee (.2).	0.4	\$144.00
Total: Asset Analysis and Recovery			4.60	\$1,656.00
Total Professional Services:			4.6	\$1,656.00
Total Services			\$1,656.00	
Total Current Charges				\$1,656.00
Previous Balance				\$11,808.00
<i>Less credits</i>				(\$, 2 . 0)
PAY THIS AMOUNT				\$1,834.20

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TASK RECAP

Services

<u>Project No.</u>	<u>Hours</u>	<u>Amount</u>
ASSET - ASSET	4.60	\$1,656.00
	<u>4.60</u>	<u>\$1,656.00</u>

Disbursements

<u>Project No.</u>	<u>Amount</u>
	\$0.00
	<u>\$0.00</u>

BREAKDOWN BY PERSON

Person

BWW Burton W. Wiand

Project No. Hours Amount

ASSET - ASSET	4.60	\$1,656.00
	<u>4.60</u>	<u>\$1,656.00</u>

EXHIBIT 6

**Categorization and Summary of All Costs Incurred by
Guerra & Partners, P.A.**

Receivership	
Expense Type	Amount
Web-Related Expenses	\$ 50.00
Other	\$ 544.88
TOTAL	\$ 594.88

EXHIBIT 7



5 5 0 5 W . G R A Y S T R E E T | T A M P A , F L 3 3 6 0 9 | P H O N E : 8 1 3 . 3 4 7 . 5 1 0 0

EXHIBIT B

FIRM MEMBERS	STANDARD RATES	DISCOUNTED RATE
Burton Wiand (Sr. Member)	\$500	\$360
Members	\$315-\$475	\$320
Associates	\$235-\$290	\$240
Paralegals	\$165-\$170	\$135

We carry malpractice (\$5 million) as well as fidelity and general liability coverage.

EXHIBIT 8

Guerra & Partners, P.A.

1408 N. Westshore Blvd., Suite 1010

Tampa, FL 33607

Telephone: 813-347-5100

Facsimile: 813-347-5198

Federal Tax ID # 27-0937962

Burton W. Wiand
Attention: Burton W. Wiand, as Receiver
 Burton W. Wiand PA
 114 Turner Street
 Clearwater, FL 33756

November 13, 2024
 Client: 025305
 Matter: 001922
 Invoice #: 21679

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RE: CFTC Oasis Receivership (Legal Team)
 Travel is half rate outside of 20 miles.

For Professional Services Rendered Through September 30, 2024

SERVICES

Date	TKPR	Description of Services	Hours	Amount
	ASSET	Asset Analysis and Recovery		
7/1/2024	MML	Attend meeting with Receiver and J. Perez regarding status (1.0); review initial subpoena response from UPS (.1); review correspondence from J. Haas regarding settlement payment (.1); communicate with Receiver and E. Tate regarding same (.1); review correspondence from E. Tate regarding same (.1); review settlement tracking spreadsheets (.2); communicate with A. Avery regarding outstanding settlement payments owed (.1).	1.7	\$408.00
7/2/2024	MML	Prepare correspondence to subpoena recipient regarding failure to provide documents by response date (.1); exchange further correspondence with same regarding extension and method of production (.2); research F.N. settlement status (.2); communicate with Receiver and E. Tate regarding future payments from same (.1); direct A. Avery regarding canceling subpoena location for non-party subpoena response (.1); review correspondence with confirmation of same (.1).	0.8	\$192.00
7/8/2024	MML	Review notice of non-appearance for subpoena production (.1).	0.1	\$24.00
7/10/2024	MML	Receive wire confirmation of settlement payment and update tracking spreadsheet (.1).	0.1	\$24.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
ASSET	Asset Analysis and Recovery			
7/11/2024	MML	Calls with Receiver regarding call from attorney (.2); prepare correspondence to Receiver and J. Perez regarding same (.1); prepare correspondence to subpoena target regarding failure to respond timely (.1); review response to subpoena (.1); research source of funding (.1); prepare correspondence to Receiver and J. Perez regarding same (.1).	0.7	\$168.00
7/15/2024	MML	Prepare response to subpoena extension request (.2); communicate with Receiver regarding same (.1); prepare correspondence to D. Clark regarding documents to be produced (.1); prepare correspondence to C. Huckabee regarding documents to be produced (.1).	0.5	\$120.00
7/16/2024	AC	Prepare email to M. Lockwood regarding retention of local counsel in enforcement of out of state subpoena (.1).	0.1	\$32.00
7/19/2024	KAP	Perform E-Hounds document search per Receiver's request (.5).	0.5	\$67.50
7/19/2024	MML	Call with Receiver regarding document for ATC action (.2); research file for same (.3); communicate with K. Paulson regarding same (.1); communicate with Receiver regarding results of research (.1).	0.7	\$168.00
7/20/2024	JR	Review case materials for ATC related email per review of communications from Receiver and M. Lockwood (.5).	0.5	\$67.50
7/20/2024	KAP	Pull responsive emails from E-Hounds per Receiver's request, save same, and send same to Receiver (.3).	0.3	\$40.50
7/20/2024	MML	Review research regarding M.M. (.1); communicate with Receiver and J. Rizzo regarding same (.1); conduct additional research regarding M.M. (.8).	1.0	\$240.00
7/23/2024	MML	Review documents regarding C. Huckabee (.3); prepare memorandum to Receiver regarding same (.3).	0.6	\$144.00
7/24/2024	MML	Review order granting motion to appear pro hac vice (.1).	0.1	\$24.00
7/29/2024	MML	Review correspondence regarding IPM's subpoena response (.1); review filed documents for same (.1); prepare draft letter to S. Preziosi regarding privileged documents (.1); prepare correspondence to Receiver regarding same (.1); review motion to approve settlement and related correspondence (.1); communicate with J. Perez regarding R. Montie (.1); review correspondence from C. Huckabee (.1).	0.7	\$168.00
7/30/2024	MML	Communicate with Receiver and J. Perez regarding S. Preziosi (.1); communicate with J. Perez regarding outstanding matters (.2); review correspondence regarding IPM (.1); review order approving settlement agreements (.1).	0.5	\$120.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
ASSET	ASSET	Asset Analysis and Recovery		
7/31/2024	MML	Prepare correspondence to J. Perez with draft complaint and motion (.1); revise and send correspondence to S. Preziosi (.2); correspond with Receiver and B. McConnell regarding service on S. Preziosi (.2); research orders of appointment and proof of service (.3).	0.8	\$192.00
8/1/2024	MML	Communicate with J. Rizzo regarding court filings (.1); review response from S. Preziosi (.1).	0.2	\$48.00
8/2/2024	MML	Communicate with B. McConnell regarding J. McKee (.2); prepare for and attend call with C. Huckabee (.6); prepare summary of call for Receiver (.2); communicate with B. McConnell regarding S. Preziosi (.2); call with B. McConnell regarding same (.2); review correspondence from Receiver regarding subpoena response (.1); review correspondence from Receiver regarding S. Preziosi (.1).	1.6	\$384.00
8/5/2024	MML	Correspond with R. Green regarding clients' settlement agreement and order approving same (.2); correspond with M. Nicolaou regarding settlement agreement and order approving same (.2); review order and related correspondence regarding IPM's subpoena compliance (.1).	0.5	\$120.00
8/6/2024	MML	Correspond with R. Green regarding payment of settlement (.1); communicate with Receiver and E. Tate regarding same (.1); prepare correspondence to M. Nicolaou regarding settlement (.1); review correspondence from B. McConnell to S. Preziosi (.1); review correspondence from C. Huckabee regarding tax lien (.1); review memorandum from Receiver regarding R. Montie (.1); review memorandum from Receiver regarding D.D. (.1).	0.7	\$168.00
8/8/2024	MML	Review correspondence regarding settlement payment instructions (.1).	0.1	\$24.00
8/9/2024	MML	Review correspondence and documents from Oasis Replevin (.2).	0.2	\$48.00
8/13/2024	MML	Review order granting motion to approve settlement (.1); review correspondence from M. Gura regarding settlement tracking and status (.1).	0.2	\$48.00
8/15/2024	MML	Review settlement payment received (.1); prepare correspondence to E. Tate regarding same (.1).	0.2	\$48.00
8/16/2024	MML	Review confirmation of receipt of settlement payment (.1).	0.1	\$24.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
ASSET	Asset Analysis and Recovery			
8/19/2024	MML	Review motion for contempt and sanctions against S. Preziosi (.2); communicate with E. Tate regarding F. Nagel's settlement payment (.1); communicate with M. Gura regarding same and future payments (.1); correspond with B. McConnell regarding directing future payments to Receiver's office (.1); review production of documents (.3); call with Receiver regarding same (.1); communicate with M. Gura regarding same (.1).	1.0	\$240.00
8/20/2024	KAP	Telephone call with M. Lockwood regarding review of documents (.5); review and organize documents produced pursuant to subpoena (4.2).	4.7	\$634.50
8/20/2024	MML	Review correspondence from S. Preziosi and forward same to Receiver (.1); call with K. Paulson regarding review of documents (.5); review correspondence from K. Paulson regarding same (.1).	0.7	\$168.00
8/21/2024	KAP	Continue to review and organize documents produced pursuant to subpoena (6.0); telephone call with Receiver and M. Lockwood regarding same (.5).	6.5	\$877.50
8/21/2024	MML	Call with K. Paulson and Receiver regarding document production findings (.5).	0.5	\$120.00
8/22/2024	KAP	Continue to review and organize documents produced pursuant to subpoena (1.0).	1.0	\$135.00
8/23/2024	KAP	Continue to review and organize documents produced pursuant to subpoena (4.1); telephone call with Receiver regarding same (.3); compile select documents and prepare detailed summary of same for Receiver (2.0).	6.4	\$864.00
8/23/2024	MML	Communicate with M. Gura regarding settlements and settlement tracking (.4); review opposition to motion to enforce subpoena (.2).	0.6	\$144.00
8/25/2024	KAP	Continue to compile select subpoenaed documents and prepare detailed summary of same for Receiver (2.0).	2.0	\$270.00
8/26/2024	KAP	Compile select documents and prepare detailed summary of same for Receiver (3.1).	3.1	\$418.50
8/27/2024	KAP	Continue to compile and label subpoenaed documents and prepare detailed summary of same for Receiver (1.7); telephone call with Receiver regarding same (.1); send summary to Receiver and legal team (.1); work with M. Gura regarding saving exhibits to summary in Dropbox folder and send link to same to Receiver and claims team (.2).	2.1	\$283.50

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
ASSET	Asset Analysis and Recovery			
8/27/2024	MML	Communicate with J. Perez regarding status of litigation matters (.4); review correspondence from Receiver regarding contact information requested (.1); review summary of document production (.2); review correspondence from Receiver regarding same (.1); review key documents (.1).	0.9	\$216.00
8/29/2024	MML	Research address to see if previously attempted service on same (.2); prepare correspondence to J. Perez regarding same (.1); review receipt of settlement payment (.1).	0.4	\$96.00
8/30/2024	MML	Call with M. Gura regarding status and due dates of various settlements (.2); prepare correspondence to Receiver regarding same (.1); communicate with E. Tate regarding payment from M.N. (.1); prepare correspondence to M.N. regarding settlement payment past due (.1); review correspondence from Receiver regarding R. Montie's settlement (.1); correspond with M.N. regarding expected payment (.1); correspond with B. McConnell regarding S. Preziosi (.1).	0.8	\$192.00
9/4/2024	MML	Review reply regarding enforcement of subpoena (.1).	0.1	\$24.00
9/6/2024	MML	Review correspondence from S. Preziosi (.1); communicate with Receiver and B. McConnell regarding same (.1); review motion to file sur-reply (.1).	0.3	\$72.00
9/9/2024	MML	Review receipt of wire (.1); prepare correspondence to M.N. regarding same (.1); communicate with J. Rizzo regarding Veritext (.1).	0.3	\$72.00
9/10/2024	MML	Review correspondence from M.N. (.1).	0.1	\$24.00
9/16/2024	MML	Review payment from F. Nagel (.1).	0.1	\$24.00
9/18/2024	MML	Review correspondence from M. Gura and E. Tate regarding outstanding settlements (.1).	0.1	\$24.00
9/24/2024	MML	Review order on motion for leave (.1); review correspondence regarding IPM (.1); review motion for reconsideration (.1); review opposition filed by S. Preziosi (.1).	0.4	\$96.00
Total: Asset Analysis and Recovery			45.60	\$8,106.50
BUSIN	Business Operations			
7/1/2024	MML	Review correspondence from E. Tate regarding bank statements (.1).	0.1	\$24.00
Total: Business Operations			0.10	\$24.00
CASE	Case Administration			

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
CASE	Case Administration			
7/8/2024	BN	Update Receivership website (.1).	0.1	\$13.50
7/11/2024	MML	Call with M. Gura regarding website updates (.2).	0.2	\$48.00
7/16/2024	MML	Communicate with J. Perez regarding interim report (.1).	0.1	\$24.00
7/18/2024	MML	Review correspondence from S. O'Brien regarding fund accounting reports (.1); review correspondence from R. During regarding website maintenance (.1).	0.2	\$48.00
7/25/2024	MML	Prepare correspondence to Receiver regarding website management (.1); communicate with M. Gura regarding same (.1).	0.2	\$48.00
7/29/2024	MML	Prepare correspondence to M. Gura regarding website update (.1).	0.1	\$24.00
7/30/2024	MML	Communicate with J. Perez and M. Gura regarding claims process numbers for interim report (.2); prepare correspondence to Receiver and J. Perez regarding amended exhibit (.1); review correspondence regarding same and interim report (.1).	0.4	\$96.00
7/31/2024	MML	Review interim report (.3); provide comments on same to J. Perez (.2); communicate with M. Gura regarding website updates (.3); review Receiver's edits to interim report (.2); review notice of filing report (.1).	1.1	\$264.00
8/21/2024	MML	Communicate with M. Gura regarding website updates (.1).	0.1	\$24.00
8/26/2024	MML	Communicate with M. Gura regarding website update (.1).	0.1	\$24.00
9/20/2024	MML	Communicate with M. Gura regarding website updates (.2).	0.2	\$48.00
Total: Case Administration			2.80	\$661.50
CLAIM	Claims Administration and Objections			
7/1/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1); review email from B. Winters with reissued check requests for two claimants (.1); prepare responsive email to same and update claims spreadsheet for J.V. and B.R. (.1); prepare email to claims team regarding issuing stop payment for and reissuing J.V.'s second distribution check (.1); review reissued checks, update claims spreadsheet for same, and instruct E. Tate regarding mailing of same (.2).	0.6	\$81.00
7/1/2024	MML	Review custodian change requests from B. Winters (.1); review response to B. Winters regarding same (.1); review correspondence from K. Paulson and E. Tate regarding check reissues (.1); communicate with J. Perez regarding third interim distribution (.2); review two reissue requests (.1).	0.6	\$144.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
CLAIM	Claims Administration and Objections			
7/2/2024	KAP	Communicate with M. Gura regarding distribution cover letters (.1); review cleared check report and update claims spreadsheet per same (.1); prepare cover letters for two claimants with reissued checks and send same to E. Tate (.1).	0.3	\$40.50
7/2/2024	MML	Review correspondence to J.G. regarding second distribution (.1); communicate with K. Paulson regarding same (.1); review correspondence regarding E.G. (.1).	0.3	\$72.00
7/3/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1); prepare summary of uncleared checks for Receiver (.2).	0.3	\$40.50
7/8/2024	KAP	Telephone call with S.W. regarding reversion still owed and non-receipt of distribution checks (.3); update address for same in claims spreadsheet (.1); prepare email to E.G. regarding returned letter and new address (.1).	0.5	\$67.50
7/9/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1); review PDR's list of uncleared checks, compare against claims spreadsheet and make notes on list, and forward revised list to E. Tate and M. Gura (.3); prepare email to B.R. regarding non-receipt of written acknowledgement required to reissue check (.1); telephone call with M.H. regarding non-receipt of check (.1); prepare email to claims team regarding issuing stop payment and reissuing check for same (.1); review email and copies of cleared checks from E. Tate and update claims spreadsheet per same (.1).	0.8	\$108.00
7/10/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1).	0.1	\$13.50
7/10/2024	MML	Review correspondence regarding reissue requests (.1); review status update for distribution (.1); review stop payment request (.1); review correspondence from S. O'Brien regarding uncleared checks report (.1).	0.4	\$96.00
7/11/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1).	0.1	\$13.50
7/11/2024	MML	Communicate with M. Gura and K. Paulson regarding email address updates (.1).	0.1	\$24.00
7/12/2024	KAP	Review two reissued distribution checks and communicate with E. Tate regarding address and cover letters for same (.1); exchange emails with E. Tate regarding mailing final checks and update claims spreadsheet per same (.1).	0.2	\$27.00
7/12/2024	MML	Review correspondence regarding reissue requests and approval (.2).	0.2	\$48.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
CLAIM	CLAIMS	Administration and Objections		
7/15/2024	KAP	Prepare summary of uncleared checks for Receiver (.2); review list of uncleared checks from PDR and make comments on same (.2); prepare email to K.D. regarding estate documentation needed to release check (.1); telephone calls to 13 claimants regarding reminders to negotiate checks (.4); prepare emails to four claimants regarding same (.2).	1.1	\$148.50
7/15/2024	MML	Review summary of outstanding checks from second distribution (.1); review correspondence from K. Paulson to PDR regarding same (.1).	0.2	\$48.00
7/16/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1).	0.1	\$13.50
7/16/2024	MML	Review returned mail (.1).	0.1	\$24.00
7/17/2024	KAP	Telephone call with D.B. regarding reissuing check to new custodian (.1); review documentation from D.B. regarding custodian change and update claims spreadsheet regarding same (.1); communicate with M. Gura regarding reissuing check to D.B. (.1); review returned mail, communicate with E. Tate regarding same, and update claims spreadsheet (.1); review voice mail from T.M. regarding reissuing missing check and update claims spreadsheet per same (.1); prepare email to E. Tate and M. Gura regarding issuing stop payment for same and reissuing check (.1).	0.6	\$81.00
7/17/2024	MML	Review correspondence regarding T.M. (.1); review reissue request for D.B. (.1); communicate with M. Gura regarding same (.1).	0.3	\$72.00
7/18/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1).	0.1	\$13.50
7/22/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1); review voided check from D.B. and update claims spreadsheet (.1).	0.2	\$27.00
7/22/2024	MML	Review check returned for D.B. (.1).	0.1	\$24.00
7/23/2024	KAP	Review and approve for mailing reissued check for M.H. and update claims spreadsheet with information from same (.1); prepare cover letter for same and send to E. Tate (.1); review distribution check returned by post office and update claims spreadsheet per same (.1).	0.3	\$40.50
7/23/2024	MML	Review correspondence regarding check reissues (.1); review returned check for claim 246 (.1).	0.2	\$48.00
7/24/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1).	0.1	\$13.50

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
	CLAIM	Claims Administration and Objections		
7/24/2024	MML	Review correspondence regarding stop payment (.1); review reissue requests for T.M. and D.B. (.1).	0.2	\$48.00
7/25/2024	KAP	Prepare summary of uncleared checks for Receiver and claims team (.2).	0.2	\$27.00
7/25/2024	MML	Review summary of outstanding checks from second distribution (.1).	0.1	\$24.00
7/29/2024	KAP	Review reissued checks, communicate with E. Tate regarding same, and update claims spreadsheet (.1); prepare cover letter to T.M. for reissued check and send same to E. Tate (.1).	0.2	\$27.00
7/30/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1); compile spreadsheet of outstanding second distribution checks with April date and communicate with M. Lockwood regarding same (.2).	0.3	\$40.50
7/30/2024	MML	Communicate with K. Paulson regarding outstanding original issue date checks (.2).	0.2	\$48.00
7/31/2024	KAP	Telephone call with M.Y. regarding new address and status of Receivership and update claims spreadsheet per same (.2).	0.2	\$27.00
8/2/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1); exchange emails with M. Gura regarding contacting remaining claimants with uncleared checks (.1).	0.2	\$27.00
8/2/2024	MML	Communicate with M. Gura regarding outstanding distribution checks (.3); review spreadsheet and summary of same (.1); review correspondence from K. Paulson regarding same (.1); review correspondence from M.S. (.1); correspond with K. Paulson regarding same (.1); research prior communications with M.S. (.1).	0.8	\$192.00
8/3/2024	KAP	Review Receiver's email regarding M.S. and B.R.'s claims, review prior communications regarding same, and prepare detailed email in response (.2); exchange emails with Receiver regarding contact information for same (.1).	0.3	\$40.50

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Date	TKPR	Description of Services	Hours	Amount
	CLAIM	Claims Administration and Objections		
8/5/2024	KAP	Review email from E. Tate regarding no new cleared checks (.1); review email from L. Cacciatore regarding call from claimant D.D. and review claims spreadsheet and previous communications for same (.1); prepare email to Receiver and claims team regarding responding to D.D.'s call (.1); review Receiver's email regarding needing more information regarding D.D. and prepare email to claims team regarding any communications they have had with D.D. (.1); telephone call with M. Gura regarding communications with D.D. and contacting claimants with outstanding checks (.3); compile D.D. documents and communications and send to Receiver with chronology and summary (.5).	1.2	\$162.00
8/5/2024	MML	Review communications regarding D.D. (.1); research and send K. Paulson prior communications with same (.2).	0.3	\$72.00
8/6/2024	KAP	Review status of second distribution checks on claims spreadsheet and prepare emails to six claimants with uncleared checks (.4); telephone call with T.B. regarding court documentation needed to change name on second distribution check (.1); telephone call with E.K. regarding depositing second distribution check with Equity Trust (.1); call and leave voice mail messages for three claimants regarding uncleared second distribution checks (.2); update claims spreadsheet for emails and calls made to claimants (.1); telephone calls to children of G.D. regarding held distribution check for father's estate (.2); review claims spreadsheet and prepare summary of outstanding checks and contacts with claimants regarding same (.2).	1.3	\$175.50
8/6/2024	MML	Review distribution status summary from K. Paulson (.1).	0.1	\$24.00
8/7/2024	KAP	Telephone call with T.B. regarding future distributions (.1); communicate with claims team regarding status of same (.1); review cleared check report and update claims spreadsheet per same (.1).	0.3	\$40.50
8/8/2024	KAP	Exchange emails with D.Z. regarding sending check to Equity Trust before expiration and forward same to claims team (.1); review cleared check report and update claims spreadsheet per same (.1).	0.2	\$27.00
8/8/2024	MML	Review communications with P.Z. regarding distribution check (.1).	0.1	\$24.00
8/9/2024	MML	Review correspondence from K. Paulson regarding distribution status (.1).	0.1	\$24.00
8/12/2024	MML	Communicate with M. Gura regarding distribution checks which are expiring (.2); review correspondence to claimants regarding same (.2); review updated memo regarding outstanding checks (.1).	0.5	\$120.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
CLAIM	Claims Administration and Objections			
8/13/2024	MML	Review correspondence from D.R. regarding replacement check (.1); communicate with M. Gura regarding same and Receivership information (.1); review correspondence to D.R. (.1); review correspondence to B.M. (.1); review stop payment request and related correspondence (.1).	0.5	\$120.00
8/14/2024	MML	Work with M. Gura on outstanding remaining checks with original issue date (.4); prepare draft correspondence to Receiver regarding same (.2); review correspondence to Receiver regarding same (.1); review correspondence from Receiver regarding B.R. (.1); review correspondence from B.M. (.1); review stop payment request (.1).	1.0	\$240.00
8/15/2024	KAP	Telephone call with K.D. regarding certificate of administration needed to release check (.1).	0.1	\$13.50
8/15/2024	MML	Review correspondence from M. Gura regarding outstanding checks (.1).	0.1	\$24.00
8/19/2024	KAP	Telephone call with N.H. regarding communication from Equity Trust regarding maturing note (.2); communicate with M. Gura regarding reissued check for B.R. and cover letter for same (.1); prepare cover letter for same and send to E. Tate (.1); attempt telephone call to B.R. regarding invalid address and communicate with M. Gura regarding invalid phone number and address for same (.1); prepare email to B.R. regarding providing updated address and update claims spreadsheet per same (.1).	0.6	\$81.00
8/19/2024	MML	Review correspondence regarding B.R. (.1).	0.1	\$24.00
8/20/2024	KAP	Review documentation from claimant's widow regarding inheriting IRA and update claims spreadsheet per same (.1).	0.1	\$13.50
8/23/2024	MML	Review correspondence regarding check reissue (.1).	0.1	\$24.00
8/26/2024	KAP	Communicate with claims team regarding new address for B.R. and update address for same on claims spreadsheet (.1); communicate with M. Gura regarding reissued check for B.R. and other second distribution issues (.3).	0.4	\$54.00
8/26/2024	MML	Review correspondence from B. Winters to Receiver (.1); communicate with K. Paulson and Receiver regarding same (.1).	0.2	\$48.00
8/27/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1); prepare email to Receiver and claims team regarding four remaining uncleared checks (.1).	0.2	\$27.00
8/27/2024	MML	Review correspondence regarding recently cleared and outstanding distribution checks (.1); review correspondence from B. Winters regarding C.P. (.1).	0.2	\$48.00

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Date	TKPR	Description of Services	Hours	Amount
CLAIM	Claims Administration and Objections			
8/28/2024	MML	Communicate with M. Gura regarding inquiry from D.C. (.1); review response to same (.1).	0.2	\$48.00
8/29/2024	KAP	Review correspondence from B. Winters regarding new address for B.R. and update claims spreadsheet per same (.1).	0.1	\$13.50
8/30/2024	KAP	Review cleared check report, update claims spreadsheet per same, and prepare email to claims team regarding same (.1).	0.1	\$13.50
9/5/2024	KAP	Review outstanding checks and prepare summary of same for Receiver and claims team (.2).	0.2	\$27.00
9/5/2024	MML	Review correspondence from K. Paulson and Receiver regarding outstanding distribution checks (.2).	0.2	\$48.00
9/6/2024	MML	Call with Receiver regarding D.D. (.2); conduct research regarding D.D. (.4); communicate with M. Gura regarding same (.1); prepare spreadsheet regarding claims denied for failure to properly execute PVF (.3); prepare correspondence to Receiver regarding same and D.D. research (.2); communicate with J. Perez regarding claims matters (.2).	1.4	\$336.00
9/9/2024	KAP	Telephone call with M. Lockwood regarding D.D. and potential future distributions to claimants (.2); telephone call with C.G. regarding status of distributions (.1); review email from Receiver and personal verification form from J.T. (.1); prepare email to Receiver and claims team regarding communications with J.T. (.1).	0.5	\$67.50
9/9/2024	MML	Review correspondence from Receiver regarding D.D. (.1); revise correspondence to D.D. (.3); review correspondence regarding J.T. (.1); prepare correspondence to K. Paulson regarding same (.1).	0.6	\$144.00
9/10/2024	KAP	Prepare email to J.T. regarding late submission of personal verification form (.1).	0.1	\$13.50
9/10/2024	MML	Communicate with K. Paulson regarding J.T. (.1); review correspondence to same (.1); further revise correspondence to D.D. (.3); correspond with Receiver regarding same (.1).	0.6	\$144.00
9/11/2024	MML	Review newly submitted PVFs (.1); prepare correspondence to K. Paulson regarding response to same (.1); call with Receiver regarding recent PVFs and D.D. (.5); review correspondence from Receiver to D.D. (.1); correspond with K. Paulson regarding J.T. (.1).	0.9	\$216.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
CLAIM		Claims Administration and Objections		
9/12/2024	KAP	Participate in conference call with M. Gura and M. Lockwood regarding outstanding claims matters and uncleared distribution checks (.3); prepare email to N.S. regarding submission of untimely personal verification form (.1); prepare email to M.B. regarding same (.1).	0.5	\$67.50
9/12/2024	MML	Call with claims team regarding outstanding matters and document management (.3); review correspondence to N.S. (.1); review correspondence to B.B. (.1).	0.5	\$120.00
9/13/2024	MML	Review correspondence from J.S. (.1); review correspondence to Receiver regarding same (.1); communicate with M. Gura regarding late PVFs (.1).	0.3	\$72.00
9/17/2024	KAP	Review cleared check report and update claims spreadsheet per same (.1); prepare email to Receiver and claims team with summary of outstanding checks (.1).	0.2	\$27.00
9/17/2024	MML	Review distribution update from K. Paulson (.1).	0.1	\$24.00
9/23/2024	KAP	Review email from Midland Trust regarding corporate name change and prepare email to claims team regarding same (.1); update 34 claims in spreadsheet for same (.3); review additional email from Midland Trust with information sheet for making and mailing payments (.1); download same, save, and forward to claims team (.1).	0.6	\$81.00
9/23/2024	MML	Review correspondence regarding name change of Midland Trust for certain claims (.1); verify same (.1); review correspondence to B. Winters regarding same (.1).	0.3	\$72.00
Total: Claims Administration and Objec			25.70	\$4,750.50
WFEE		Work on Fees Motions		
7/1/2024	MML	NO CHARGE: Review correspondence from E-Hounds regarding June invoice (.1).	0.1	\$0.00
7/4/2024	KAP	NO CHARGE: Continue to review and revise team bills for May (1.3).	1.3	\$0.00
7/8/2024	MML	NO CHARGE: Review correspondence regarding Evans Keene invoice (.1).	0.1	\$0.00
7/9/2024	KAP	NO CHARGE: Review and revise edited May team prebills and send same to M. Lockwood (.1).	0.1	\$0.00
7/11/2024	MML	NO CHARGE: Communicate with R. Jernigan regarding prior invoice and payment information for same (.1).	0.1	\$0.00
7/12/2024	KAP	NO CHARGE: Telephone call with M. Lockwood regarding prebills and fees motion (.2).	0.2	\$0.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
WFEE	Work on Fees Motions			
7/12/2024	MML	NO CHARGE: Review correspondence regarding June invoice (.1); prepare correspondence to Evans Keene regarding outstanding invoices (.1); communicate with K. Paulson regarding billing (.2); review and edit prebills for April and May (1.5).	1.9	\$0.00
7/15/2024	KAP	NO CHARGE: Review and revise June prebills (.6).	0.6	\$0.00
7/15/2024	MML	NO CHARGE: Review correspondence from K. Paulson regarding June prebills (.1); review and edit same (.7).	0.8	\$0.00
7/16/2024	MML	NO CHARGE: Review correspondence from J. Waechter regarding second-quarter invoice for Englander Fischer (.1); review invoice for same (.3); correspond with J. Waechter regarding timekeeper information and rate change (.2); exchange correspondence with N. O'Donnell regarding second-quarter invoices (.1); communicate with M. Gura regarding invoice for JND (.1); review correspondence regarding same (.1); communicate with B. McConnell regarding local attorney in New York (.2); communicate with R. Sonlin regarding Maples Group's invoice (.1).	1.2	\$0.00
7/18/2024	KAP	NO CHARGE: Review and revise E. Tate's second-quarter invoice and forward same to M. Lockwood (2.2).	2.2	\$0.00
7/18/2024	MML	NO CHARGE: Prepare correspondence to J. Manwaring regarding inability to charge interest for past due invoices (.1); review correspondence regarding revised invoices from Evans Keene (.1).	0.2	\$0.00
7/19/2024	KAP	NO CHARGE: Telephone call with M. Lockwood regarding E. Tate's and Receiver's invoices (.1).	0.1	\$0.00
7/19/2024	MML	NO CHARGE: Call with K. Paulson regarding Receiver and E. Tate's invoices (.1); review third party invoices and update spreadsheet for same (1.0); correspond with B. McConnell regarding Phillips Lytle (.1); work on motion for fees (1.0).	2.2	\$0.00
7/24/2024	MML	NO CHARGE: Communicate with K. Paulson regarding Receiver's invoices (.1).	0.1	\$0.00
7/27/2024	MML	NO CHARGE: Review correspondence from J. Perez regarding invoice (.1).	0.1	\$0.00
7/31/2024	KAP	NO CHARGE: Review and revise Receiver's second-quarter time entries (2.0).	2.0	\$0.00
7/31/2024	MML	NO CHARGE: Review invoice from J. Perez (.2); work on motion for fees (1.8).	2.0	\$0.00
8/1/2024	KAP	NO CHARGE: Continue to review and revise Receiver's second-quarter time entries and send same to L. Cacciatore (2.5).	2.5	\$0.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
WFEE	Work on Fees Motions			
8/1/2024	MML	NO CHARGE: Communicate with K. Paulson regarding Receiver's time entries (.1); review correspondence from E-Hounds regarding July invoice (.1).	0.2	\$0.00
8/5/2024	MML	NO CHARGE: Review correspondence from R. Sonlin regarding Maples Group July invoice (.1).	0.1	\$0.00
8/6/2024	KAP	NO CHARGE: Communicate with M. Lockwood regarding Receiver's second-quarter invoices (.1); review and edit Receiver's second-quarter prebills (.6); communicate with M. Lockwood and C. Henderson regarding missing entries on same (.1).	0.8	\$0.00
8/6/2024	MML	NO CHARGE: Review correspondence from PDR regarding July invoice (.1); review correspondence from Englander Fischer regarding July invoice (.1).	0.2	\$0.00
8/7/2024	KAP	NO CHARGE: Communicate with C. Henderson and M. Lockwood regarding re-printed prebill for Receiver (.1); review and edit same and send to M. Lockwood (.1).	0.2	\$0.00
8/8/2024	KAP	NO CHARGE: Review Receiver's prebills to ensure expenses were correctly captured (.1).	0.1	\$0.00
8/8/2024	MML	NO CHARGE: Review and edit Receiver's second-quarter invoice (1.0); communicate with K. Paulson regarding costs (.1); revise motion for fees and exhibits (1.2); communicate with M. Gura regarding exhibits (.2); prepare correspondence to Receiver and J. Perez regarding draft motion (.1).	2.6	\$0.00
8/9/2024	KAP	NO CHARGE: Review draft fees motion against invoices to ensure accuracy (.6).	0.6	\$0.00
8/9/2024	MML	NO CHARGE: Review correspondence from Evans Keane regarding July invoice (.1).	0.1	\$0.00
8/12/2024	MML	NO CHARGE: Research charges from Veritext (.2).	0.2	\$0.00
8/13/2024	MML	NO CHARGE: Review correspondence regarding Phillips Lytle's July invoice (.1); call with E. Tate regarding Receiver's approval of fees motion (.1).	0.2	\$0.00
8/14/2024	MML	NO CHARGE: Finalize motion and exhibits (1.0); prepare motion for extension of time (.4); communicate with A. Auxter regarding both motions (.2); communicate with C. Henderson regarding filing extension motion (.1).	1.7	\$0.00
8/15/2024	MML	NO CHARGE: Review order granting request for extension (.1).	0.1	\$0.00
8/19/2024	MML	NO CHARGE: Review merger letter from Evans Keane (.1).	0.1	\$0.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
WFEE	Work on Fees Motions			
8/21/2024	MML	NO CHARGE: Correspond with A. Auxter regarding question on invoice (.1); review and revise invoices for same (1.0).	1.1	\$0.00
8/22/2024	MML	NO CHARGE: Review correspondence regarding July invoice from Phillips Lytle (.1).	0.1	\$0.00
8/24/2024	MML	NO CHARGE: Further revise invoice (.3); prepare correspondence to A. Auxter regarding revised invoice (.1).	0.4	\$0.00
8/26/2024	MML	NO CHARGE: Correspond with A. Auxter regarding motion for fees (.1); review and finalize motion for fees and exhibits for filing (.8); prepare summary of costs (.2); prepare correspondence to Receiver and C. Henderson regarding filing motion (.1).	1.2	\$0.00
8/27/2024	MML	NO CHARGE: Communicate with C. Henderson regarding filing and service of motion (.2); review full copy of motion with exhibits to be filed (.2); review correspondence from J. Perez regarding filed motion (.1).	0.5	\$0.00
8/28/2024	KAP	NO CHARGE: Review and revise July team prebills (.7).	0.7	\$0.00
9/3/2024	MML	NO CHARGE: Review correspondence from E-Hounds regarding August invoice (.1); correspond with J. Waechter regarding August invoice and future rate changes (.1).	0.2	\$0.00
9/4/2024	MML	NO CHARGE: Review correspondence from PDR regarding June invoice (.1); communicate with B. McConnell regarding Philips Lytle invoice payment (.1).	0.2	\$0.00
9/5/2024	KAP	NO CHARGE: Review and revise edited July team prebills and send same to M. Lockwood (.1); review entries on Receiver's prebill and communicate with M. Lockwood regarding same (.1).	0.2	\$0.00
9/5/2024	MML	NO CHARGE: Communicate with J. Waechter regarding rates (.1).	0.1	\$0.00
9/13/2024	MML	NO CHARGE: Edit prebills (.3).	0.3	\$0.00
9/16/2024	KAP	NO CHARGE: Review and revise E. Tate's July and August time entries (.9); review and revise Receiver's July time entries (.6).	1.5	\$0.00
9/19/2024	KAP	NO CHARGE: Continue to review and revise Receiver's July time entries (.5); send same to C. Henderson for entry (.1).	0.6	\$0.00
9/19/2024	MML	NO CHARGE: Communicate with K. Paulson regarding August prebills (.1); review E. Tate's invoice for July and August (.4).	0.5	\$0.00
9/20/2024	KAP	NO CHARGE: Review and revise September team prebills (.4).	0.4	\$0.00

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SERVICES

Date	TKPR	Description of Services	Hours	Amount
	WFEE	Work on Fees Motions		
9/23/2024	MML	NO CHARGE: Review correspondence regarding Receiver's time entries (.1).	0.1	\$0.00
		Total: Work on Fees Motions	33.10	\$0.00
		Total Professional Services:	107.3	\$13,542.50

DISBURSEMENTS

Date	Description of Disbursements	Amount
	E123 Web-Related Expenses	
7/1/2024	Rad Technology Consulting LLC- Web-related expenses- July 2024 Website hosting	\$50.00
	E124 Other	
7/12/2024	Veritext- Deposition Fee- Conference Suites & Amenities	\$329.88
8/6/2024	Veritext- Deposition Fee- Deposition-Jason McKee	\$215.00
	Total Disbursements	\$594.88

Total Services	\$13,542.50
Total Disbursements	\$594.88
Total Current Charges	\$14,137.38
Previous Balance	\$47,203.27
<i>Less Payments</i>	(\$47,203.27)
PAY THIS AMOUNT	\$14,137.38

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TASK RECAP

Services

<u>Project No.</u>	<u>Hours</u>	<u>Amount</u>
ASSET - ASSET	45.60	\$8,106.50
BUSIN - BUSIN	0.10	\$24.00
CASE - CASE	2.80	\$661.50
CLAIM - CLAIM	25.70	\$4,750.50
WFEE - WFEE	33.10	\$0.00
	<u>107.30</u>	<u>\$13,542.50</u>

Disbursements

<u>Project No.</u>	<u>Amount</u>
Web-Related Expenses	\$50.00
Other	\$544.88
	\$0.00
	\$0.00
	\$0.00
	<u>\$594.88</u>

BREAKDOWN BY PERSON

<u>Person</u>	<u>Project No.</u>	<u>Hours</u>	<u>Amount</u>
AC Ailen Cruz	ASSET - ASSET	0.10	\$32.00
BN Binny Nguyen	CASE - CASE	0.10	\$13.50
JR Jeffrey Rizzo	ASSET - ASSET	0.50	\$67.50
KAP Kimberly A. Paulson	ASSET - ASSET	26.60	\$3,591.00
KAP Kimberly A. Paulson	CLAIM - CLAIM	13.50	\$1,822.50
KAP Kimberly A. Paulson	WFEE - WFEE	14.10	\$0.00
MML Maya M. Lockwood	ASSET - ASSET	18.40	\$4,416.00
MML Maya M. Lockwood	BUSIN - BUSIN	0.10	\$24.00
MML Maya M. Lockwood	CASE - CASE	2.70	\$648.00
MML Maya M. Lockwood	CLAIM - CLAIM	12.20	\$2,928.00
MML Maya M. Lockwood	WFEE - WFEE	19.00	\$0.00
		<u>107.30</u>	<u>\$13,542.50</u>

EXHIBIT 9



INVOICE

Invoice # 8886
Date: 10/21/2024

Johnson, Newlon & DeCort, P.A.

3242 Henderson Boulevard, Suite 210
Tampa, FL 33609

Burton Webb Wiand
114 Turner Street
Clearwater, Florida 33756

Wiand-00005-Oasis Receivership

Oasis Receivership

Type	Date	Description	Attorney	Quantity	Rate	Total
Service	07/08/2024	Communicate with an investor regarding her current email address (.2); review investor communications (.2); review reissued checks (.3).	MG	0.70	\$135.00	\$94.50
Service	07/09/2024	Review communication from PDR (.2).	MG	0.20	\$135.00	\$27.00
Service	07/11/2024	Review and organize claimant communications (.3); communicate with PDR regarding check reissues (.3); conference call with M. Lockwood regarding website updates (.2).	MG	0.80	\$135.00	\$108.00
Service	07/12/2024	Review check reissues (.2); draft letter for second distribution for N.H. (.1); review and organize claimant communications (.3).	MG	0.60	\$135.00	\$81.00
Service	07/15/2024	Review and organize claims process task list (.4).	MG	0.40	\$135.00	\$54.00
Service	07/16/2024	Update the master spreadsheet and e-copies of recent check reissues (.4).	MG	0.40	\$135.00	\$54.00
Service	07/17/2024	Review claimant communications (.3).	MG	0.30	\$135.00	\$40.50
Service	07/24/2024	Review and organize claimant communications (.4); update the e-files of check reissues (.4).	MG	0.80	\$135.00	\$108.00
Service	07/25/2024	Update check reissue requests (.2).	MG	0.20	\$135.00	\$27.00
Service	07/30/2024	Reconcile second distribution and prepare report for M. Lockwood and J. Perez (1.1).	MG	1.10	\$135.00	\$148.50

Service	07/31/2024	Review and organize claimant communications (.4); update the receivership website with recent filings (2.2).	MG	2.60	\$135.00	\$351.00
Service	08/05/2024	Review case files for information related to D.D. (.3); review status of outstanding distribution checks (.1).	MG	0.40	\$135.00	\$54.00
Service	08/06/2024	Review status of outstanding checks (.1).	MG	0.10	\$135.00	\$13.50
Service	08/08/2024	Review communication related to R.M. and D.D. (.2); review claimant communication (.2).	MG	0.40	\$135.00	\$54.00
Service	08/09/2024	Review correspondence from D.D. (.3); review and process recent settlement agreements (1.2); review and update the status of all settlement agreements (1.2).	MG	2.70	\$135.00	\$364.50
Service	08/12/2024	Reconcile cleared checks (.1); review status of outstanding checks (.4); continue review of the status of settlement agreement payments (.9); communicate with claimants regarding their distribution checks (.8)	MG	2.20	\$135.00	\$297.00
Service	08/13/2024	Review status of settlement agreements and outstanding payment schedules (1.2); communicate with claimants regarding their distribution checks (.6); request check stop payments and reissues (.3).	MG	2.10	\$135.00	\$283.50
Service	08/14/2024	Reconcile cleared check (.1); update the status of outstanding checks (.6); communicate with the Receiver regarding distribution check reissuance (.1); communicate with claimants regarding distribution checks (.3).	MG	1.10	\$135.00	\$148.50
Service	08/15/2024	Reconcile cleared checks (.3); update outstanding check spreadsheet (.3).	MG	0.60	\$135.00	\$81.00
Service	08/16/2024	Review and process reissued checks (.2); review Wilsons' settlement wire transfer (.1); review outstanding settlement payments (.1).	MG	0.40	\$135.00	\$54.00
Service	08/19/2024	Review non-party document productions (.3); process F. Nagel settlement payment (.2).	MG	0.50	\$135.00	\$67.50
Service	08/20/2024	Review article regarding non-party subpoena (.1).	MG	0.10	\$135.00	\$13.50
Service	08/21/2024	Update the website with recent filings (.6); review status of outstanding settlement payments (.2).	MG	0.80	\$135.00	\$108.00

Service	08/23/2024	Review check reissue cover letter (.1); review and update the settlement spreadsheet (.4).	MG	0.50	\$135.00	\$67.50
Service	08/26/2024	Review the opposition to Receiver's motion for contempt (.4); review the motion for contempt by the Receiver (.2); update the website with recent filings (.3); communicate with K. Paulson regarding B.R. and her second distribution check (.3); review and organize claimant communications (.6); update master claims spreadsheet with revised B. Winters address (.2).	MG	2.00	\$135.00	\$270.00
Service	08/27/2024	Review non-party document production (.3).	MG	0.30	\$135.00	\$40.50
Service	08/28/2024	Review and organize distribution check reissues (.3); communicate with a claimant regarding the status of the claims process (.1).	MG	0.40	\$135.00	\$54.00
Service	08/29/2024	Review Wilsons' payment notification (.1).	MG	0.10	\$135.00	\$13.50
Service	08/30/2024	Communicate with M. Lockwood regarding the status of settlement payments (.2); review status of outstanding settlements (.2); update the settlement spreadsheet (.3); update the website (.3).	MG	1.00	\$135.00	\$135.00
Service	09/06/2024	Review documents related to denied claims (.4)	MG	0.40	\$135.00	\$54.00
Service	09/09/2024	Review M. Nicolaou settlement payment (.1); review late PVF from J.T. (.2).	MG	0.30	\$135.00	\$40.50
Service	09/11/2024	Review two recently received personal verification forms (.1).	MG	0.10	\$135.00	\$13.50
Service	09/12/2024	Review and organize documents related to late PVFs (.4); communicate with M. Lockwood and K. Paulson on preservation of claimant communications (.3); review claimant communications (.4).	MG	1.10	\$135.00	\$148.50
Service	09/13/2024	Communicate with claimant regarding removing B. Winters as her attorney (.4); communicate with a claimant regarding the status of the claims process (.1).	MG	0.50	\$135.00	\$67.50
Service	09/18/2024	Review status of settlement payments (.3); review and organize communication related to late personal verification forms (.2).	MG	0.50	\$135.00	\$67.50
Service	09/20/2024	Update the Receivership website (1.2).	MG	1.20	\$135.00	\$162.00

Time Keeper	Quantity	Rate	Total
Mary Gura	27.9	\$135.00	\$3,766.50
Subtotal			\$3,766.50
Total			\$3,766.50

Detailed Statement of Account

Other Invoices

Invoice Number	Due On	Amount Due	Payments Received	Balance Due
7799	01/05/2024	\$175.50	\$175.00	\$0.50
8537	07/12/2024	\$8,451.00	\$0.00	\$8,451.00

Current Invoice

Invoice Number	Due On	Amount Due	Payments Received	Balance Due
8886	10/21/2024	\$3,766.50	\$0.00	\$3,766.50
Outstanding Balance				\$12,218.00
Total Amount Outstanding				\$12,218.00

Please make all amounts payable to: Johnson, Newlon & DeCort, P.A.

Payment is due upon receipt.

EXHIBIT 10



Jared J. Perez Law Office
 Jared.Perez@JaredPerezLaw.com • 727.641.6562

INVOICE

Invoice # 33
 Date: 10/23/2024
 Due On: 11/22/2024

Law Office of Jared J. Perez

301 Druid Rd W
 Clearwater, Florida 33756

Mr Burton W. Wiand
 114 Turner Street
 Clearwater, FL 33756

00003-Wiand

Oasis Receivership

Type	Date	Notes	Quantity	Rate	Total
Service	01/30/2024	CASE MGMT: Revise exhibits to interim report and communicate with Receiver and M. Lockwood regarding same (1.0).	1.00	\$320.00	\$320.00
Service	07/01/2024	AAR: Prepare for and participate in case management and strategy meeting (1.0).	1.00	\$320.00	\$320.00
Service	07/29/2024	CASE MGMT: Draft the Receiver's twenty-first interim report (3.1).	3.10	\$320.00	\$992.00
Service	07/29/2024	AAR: Draft and file motion to approve settlement with R. Garbellano (1.4); communicate with Idaho counsel regarding enforcement of subpoena and review related filings (.2).	1.60	\$320.00	\$512.00
Service	07/30/2024	AAR: Communicate with M. Lockwood and Receiver about pending projects and case management (.8); communicate with Idaho counsel regarding enforcement of IPM subpoena (.3).	1.10	\$320.00	\$352.00
Service	07/31/2024	CASE MGMT: Revise per comments from Receiver and M. Lockwood, finalize, and file the Receiver's twenty-first interim report, including exhibits (3.0).	3.00	\$320.00	\$960.00
Service	08/05/2024	AAR: Review order from Idaho court holding IPM in contempt of court regarding subpoena compliance, communicate with Receiver, and consider next steps (.9).	0.90	\$320.00	\$288.00

Total \$3,744.00

Detailed Statement of Account

Other Invoices

Invoice Number	Due On	Amount Due	Payments Received	Balance Due
29	08/26/2024	\$7,232.00	\$0.00	\$7,232.00

Current Invoice

Invoice Number	Due On	Amount Due	Payments Received	Balance Due
33	11/22/2024	\$3,744.00	\$0.00	\$3,744.00

Outstanding Balance \$10,976.00
Total Amount Outstanding \$10,976.00

Please make all amounts payable to: Law Office of Jared J. Perez

Please pay within 30 days.

EXHIBIT 11



August 01, 2024

John Waechter as Counsel
E-Mailed to: jperez@wiandlaw.com

Please remit with payment

INVOICE FOR LEGAL SERVICES RENDERED THROUGH 07/31/2024

Client Name: Wiand Guerra King and Burton W. Wiand
Client Matter No. 2450
Matter Name: Burton W. Wiand v. Chris Arduini, et al.
Invoice Date: October 23, 2024
Invoice No. 88898

TOTAL AMOUNT DUE THIS BILL **\$10,853.64**

**Thank you for your business. Payment is due within 15 days of the invoice date.
If you have any questions, please do not hesitate to contact us.**

Payment Options:

Remittance by credit card or ACH:

Pay Now

Remittance by check:
Englander Fischer
721 First Avenue North
St. Petersburg, FL 33701

For Remittance by Wire* or ACH, please contact our Billing Administrator, Alicia Farber, by phone at (727) 898-7210 or email at afarber@eflegal.com.

Please reference the Matter number when sending a Wire.

***A \$30 Wire fee will be added to your next invoice. There are no additional fees for ACH payments.**

Mailing address:
721 First Avenue North
St. Petersburg, FL 33701

Phone: 727-898-7210
Fax: 727-898-7218

eflegal.com



Invoice No. 88898
 John Waechter as Counsel
 Burton W. Wiand v. Chris Arduini, et al.

Invoice Date: August 01, 2024

FEES

Date	Staff	Description	Hours	Amount
07/01/2024	BM	Call with local counsel (0.10); legal research in support of response to Lipinczyk motion (0.90); correspondence with client (0.20); correspondence with counsel re: motion to approve Garbellano settlement (0.10); draft response to Lipinczyk motion (1.00).	2.40	\$804.00
07/02/2024	BM	Correspondence with attorney Perez re: Garbellano.	0.30	\$100.50
07/08/2024	BM	Correspondence with client (0.10); correspondence with local counsel (0.10); directives re: response (0.10).	0.30	\$100.50
07/08/2024	IMR	Review and edit of Plaintiff's Opposition to Defendant's Motion to File Reply to Plaintiff's Response to Defendant's Motion to Dismiss in preparation for filing.	0.20	\$37.00
07/15/2024	BM	Review filings and correspondence with counsel (0.20); review court's order re: motion to dismiss and correspondence with local counsel (0.10); call with attorney Sonner (0.10).	0.40	\$134.00
07/16/2024	BM	Correspondence with Garbellano's counsel and client (0.20); correspondence with client re: Lipinczyk (0.10).	0.30	\$100.50
07/16/2024	BM	Correspondence with counsel for Garbellano and note file re: executed settlement agreement.	0.20	\$67.00
07/29/2024	BM	Correspondence with counsel re: motion to approve Garbellano settlement.	0.20	\$67.00
07/30/2024	BM	Review order granting ex parte motion for writ of garnishment and corresponding writ (0.20); review statutory requirement re: notification of service of writ and notice to defendant and directives re: same (0.50); draft certificate pursuant to 77.041 (0.10); correspondence with local counsel re: Lipinczyk lien status (0.40); correspondence with client (0.10); call with client (0.30).	1.60	\$536.00

Invoice No. 88898
 John Waechter as Counsel
 Burton W. Wiand v. Chris Arduini, et al.



Invoice Date: August 01, 2024

07/31/2024	BM	Review SDNY local rules re: motion for contempt (0.30); draft notice of motion for contempt and memorandum of law in support of same (0.80); correspondence with client and attorney Lockwood (0.30); review documents relevant to Preziosi subpoena and motion for contempt (2.70).	4.10	\$1,373.50
Total Fees			10.00	\$3,320.00

EXPENSES

Date	Qty.	Description	Each	Amount
07/16/2024	1	Postage	\$0.64	\$0.64
Total Expenses				\$0.64

Total Fees and Expenses	\$3,320.64
Previous Balance	\$7,533.00
Less: Payments Applied	(\$0.00)
TOTAL DUE	\$10,853.64

\$10,853.64 TOTAL LEGAL SERVICES



September 03, 2024

John Waechter as Counsel
E-Mailed to: jperez@wiandlaw.com

Please remit with payment

INVOICE FOR LEGAL SERVICES RENDERED THROUGH 08/29/2024

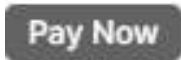
Client Name: Wiand Guerra King and Burton W. Wiand
Client Matter No. 2450
Matter Name: Burton W. Wiand v. Chris Arduini, et al.
Invoice Date: September 03, 2024
Invoice No. 88472

TOTAL AMOUNT DUE THIS BILL **\$20,559.69**

**Thank you for your business. Payment is due within 15 days of the invoice date.
If you have any questions, please do not hesitate to contact us.**

Payment Options:

Remittance by credit card or ACH:



Remittance by check:
Englander Fischer
721 First Avenue North
St. Petersburg, FL 33701

**For Remittance by Wire* or ACH, please contact
our Billing Administrator, Alicia Farber, by phone
at (727) 898-7210 or email at afarber@eflegal.com.**

**Please reference the Matter number when sending a
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Mailing address:
721 First Avenue North
St. Petersburg, FL 33701

Phone: 727-898-7210
Fax: 727-898-7218

eflegal.com

Invoice No. 88472
 John Waechter as Counsel
 Burton W. Wiand v. Chris Arduini, et al.



Invoice Date: September 03, 2024

FEES

Date	Staff	Description	Hours	Amount
08/01/2024	BM	Correspondence with local counsel (0.20); draft letter motion (1.60).	1.80	\$603.00
08/02/2024	BM	Review order re: Lipinczyk and correspondence with client (0.30); review correspondence from Preziosi re: subpoena (0.10); correspondence with counsel (0.20); draft letter motion re: Preziosi (1.80); call with client (0.30); call with attorney Lockwood (0.40)	3.10	\$1,038.50
08/05/2024	BM	Directives re: letter to Preziosi (0.10); correspondence with client and local counsel (0.20).	0.30	\$100.50
08/05/2024	IMR	Review and edit letter to Stephen Preziosi.	0.10	\$18.50
08/05/2024	IMR	Updated Notice to Defendant regarding Writ of Garnishment.	0.20	\$37.00
08/05/2024	IMR	Review and edit of Certificate of Service in preparation for filing.	0.00	No charge
08/06/2024	IMR	Review and finalized letter to Stephen Preziosi regarding subpoena compliance. Note the file.	0.10	\$18.50
08/07/2024	BM	Correspondence with local counsel and client (0.20); review order provided by client re: non-party subpoena (0.20).	0.40	\$134.00
08/08/2024	BM	Correspondence with local counsel re: Preziosi motion for contempt matter.	0.20	\$67.00
08/08/2024	BM	Correspondence with Preziosi and client.	0.20	\$67.00
08/12/2024	BM	Correspondence with client.	0.10	\$33.50
08/13/2024	BM	Correspondence with client and review docket re: Winters bar complaint.	0.30	\$100.50
08/13/2024	BM	Call with client.	0.40	\$134.00
08/14/2024	BM	Review order approving Garbellano settlement (0.10); draft motion for contempt (1.70).	1.80	\$603.00
08/15/2024	BM	Review client documents re: motion for contempt (0.80); draft motion for contempt (2.20).	3.00	\$1,005.00
08/16/2024	BM	Review clients' revisions to motion for contempt (0.30); call with client (0.30); draft and edit	2.20	\$737.00

Invoice No. 88472
 John Waechter as Counsel
 Burton W. Wiand v. Chris Arduini, et al.



Invoice Date: September 03, 2024

		motion for contempt and directives re: same (1.60).		
08/16/2024	IMR	Review and edit of Motion for Contempt along with Exhibits 1-3 in preparation for filing.	0.20	\$37.00
08/16/2024	IMR	Draft Notice of Appearance.	0.10	\$18.50
08/19/2024	BM	Correspondence with client (0.20); correspondence with counsel for CFTC (0.10); correspondence with attorney Lockwood re: Nagel (0.10); call with Nagel's attorney and follow up email (0.10).	0.50	\$167.50
08/20/2024	BM	Correspondence with client (0.10); Correspondence with counsel for CFTC (0.10); correspondence with counsel and review correspondence with Preziosi (0.20); legal research re: issue on final judgment on continuing writ of garnishment (0.50); draft motion for final default judgment of continuing writ of garnishment and proposed final judgment re: Lipinczyk and Ambit (0.80).	1.70	\$569.50
08/21/2024	BM	Call with client.	0.20	\$67.00
08/21/2024	IMR	Review of return of service for Ambit Energy Holdings LLC in preparation for filing.	0.10	\$18.50
08/21/2024	BM	Correspondence with local counsel re: issues with Monroe County and review Lipinczyk public records report to evaluate other collection options.	0.60	\$201.00
08/22/2024	BM	Call with client and review order dismissing appeal (0.20); correspondence with attorney Lockwood (0.10); review Preziosi's response in opposition to Motion for Contempt and call with client re: same (0.80)	1.10	\$368.50
08/26/2024	BM	Review document provided by client (0.30); correspondence with client and CFTC counsel and identify requested information (0.60).	0.90	\$301.50
08/27/2024	BM	Call with client (0.20); review local rules re: reply (0.10); correspondence with counsel re: motion for leave to file reply (0.20); draft motion for leave to file reply and correspondence with client (0.50); review documents provided by client and correspondence with client (1.10).	2.10	\$703.50
08/27/2024	IMR	Review and edit of Motion for Leave to File Reply in Support of Receiver's Motion for Contempt.	0.10	\$18.50

Invoice No. 88472
 John Waechter as Counsel
 Burton W. Wiand v. Chris Arduini, et al.



Invoice Date: September 03, 2024

08/28/2024	BM	Review order granting motion for leave to file reply (0.10); review documents in support of reply (1.20); draft reply (1.20)	2.50	\$837.50
08/28/2024	IMR	Updated Motion for Default Final Judgment of Continuing Writ of Garnishment.	0.10	\$18.50
08/28/2024	IMR	Updated Default Final Judgment of Continuing Writ of Garnishment.	0.10	\$18.50
08/29/2024	IMR	Reviewed Endorsed Order granting Receiver's Motion for Leave to File Reply in Support of Receiver's Motion for Contempt. Note the file.	0.10	\$18.50
08/29/2024	BM	Call with client (0.20); draft reply in support of motion for contempt (2.30).	2.50	\$837.50
Total Fees			27.10	\$8,898.50

EXPENSES

Date	Qty.	Description	Each	Amount
08/16/2024	1	Postage	\$1.25	\$1.25
08/03/2024	1	Sentinel Private Investigations - Service on Capitol Corporate Services, Inc. RA for Ambit Energy Holdings, Inv. 201271	\$100.30	\$100.30
Total Expenses				\$101.55

Total Fees and Expenses	\$9,000.05
Previous Balance	\$11,559.64
Less: Payments Applied	(\$0.00)
TOTAL DUE	\$20,559.69

\$20,559.69 TOTAL LEGAL SERVICES



October 01, 2024

John Waechter as Counsel
E-Mailed to: jperez@wiandlaw.com

Please remit with payment

INVOICE FOR LEGAL SERVICES RENDERED THROUGH 09/24/2024

Client Name: Wiand Guerra King and Burton W. Wiand
Client Matter No. 2450
Matter Name: Burton W. Wiand v. Chris Arduini, et al.
Invoice Date: October 09, 2024
Invoice No. 88849

TOTAL AMOUNT DUE THIS BILL **\$23,468.26**

**Thank you for your business. Payment is due within 15 days of the invoice date.
If you have any questions, please do not hesitate to contact us.**

Payment Options:

Remittance by credit card or ACH:

Pay Now

Remittance by check:
Englander Fischer
721 First Avenue North
St. Petersburg, FL 33701

For Remittance by Wire* or ACH, please contact our Billing Administrator, Alicia Farber, by phone at (727) 898-7210 or email at afarber@eflegal.com.

Please reference the Matter number when sending a Wire.

***A \$30 Wire fee will be added to your next invoice. There are no additional fees for ACH payments.**

Mailing address:
721 First Avenue North
St. Petersburg, FL 33701

Phone: 727-898-7210
Fax: 727-898-7218

eflegal.com



Invoice No. 88849
 John Waechter as Counsel
 Burton W. Wiand v. Chris Arduini, et al.

Invoice Date: October 01, 2024

FEES

Date	Staff	Description	Hours	Amount
09/03/2024	BM	Draft and edit Reply (2.40); correspondence with client (0.30); research re: Illinois and New of Hampshire rules of supervising paralegals and rules of professional conduct (0.70).	3.40	\$1,139.00
09/03/2024	KH	IOC with attorney BSM regarding legal research on authority of receiver to investigate victim fraud/predatory actions of defendant (0.1); legal research re: same (0.7); compose EM to attorney BSM with findings (0.2).	1.00	\$335.00
09/04/2024	IMR	Review and edit of Receiver's Reply in Support of Motion for Contempt along with Exhibits 1-7.	0.50	\$92.50
09/04/2024	BM	Draft and edit reply (1.90); call with client (0.20); correspondence with CFTC counsel (0.10); correspondence with client and edit reply (0.30).	2.50	\$837.50
09/05/2024	BM	Correspondence with attorney Preziosi and client.	0.10	\$33.50
09/06/2024	BM	Correspondence with counsel.	0.20	\$67.00
09/09/2024	BM	Review Preziosi motion for leave to file sur-reply and to exceed page limit on response.	0.10	\$33.50
09/10/2024	BM	Call with Karl Dial and Greg Kehoe (0.20); draft notice of withdrawal of motion for final judgment of continuing garnishment (0.20); correspondence with client (0.10)	0.50	\$167.50
09/12/2024	BM	Correspondence with client.	0.10	\$33.50
09/20/2024	BM	Call with counsel for Ambit re: Lipinczyk garnishment (0.20); call with client (0.10).	0.30	\$100.50
09/23/2024	BM	Review filing on behalf of Ambit.	0.10	\$33.50
09/24/2024	BM	Review order re: Preziosi motion.	0.10	\$33.50
Total Fees			8.90	\$2,906.50

EXPENSES

Date	Qty.	Description	Each	Amount
09/18/2024	1	Postage	\$2.07	\$2.07

Invoice No. 88849
John Waechter as Counsel
Burton W. Wiand v. Chris Arduini, et al.



Invoice Date: October 01, 2024

Total Expenses	\$2.07
Total Fees and Expenses	\$2,908.57
Previous Balance	\$20,559.69
Less: Payments Applied	(\$0.00)
TOTAL DUE	\$23,468.26

\$23,468.26 TOTAL LEGAL SERVICES

EXHIBIT 12



**EVANS
KEANE LLP**
Attorneys at Law

1161 W. River Street
Suite 100
P.O. Box 959
Boise, Idaho 83701-0959

Phone: 208-384-1800
Fax: 208-345-3514
firm@evanskeane.com
www.evanskeane.com

Wiand, Receiver Burton W.
Ailen Cruz, Guerra & Partners
The Towers of Westshor
1401 N. Westshore Blvd, Suite 1019
Tampa, FL 33607

Invoice 12893

Date	Jul 31, 2024
Terms	Net 30
Service Thru	Jul 31, 2024

In Reference To: Intermountain Precious Metals LLC (Hours)

Matter ID: 4341-2

Date	By	Services	Hours	Rates	Amount
07/29/2024	JWM	Email from Perez re: request status; email back the pleadings filed by defendant stating that it was seeking counsel to defend.	0.30	\$ 325.00/hr	\$ 97.50
07/30/2024	JWM	Email exchange with co-counsel Perez re: instructed to seek a hearing.	0.10	\$ 325.00/hr	\$ 32.50

In Reference To: Intermountain Precious Metals LLC (Expenses)

Matter ID: 4341-2

Date	By	Expenses	Amount
07/10/2024	MLM	Federal Court PACER Fees for Research: 04/02/2024	\$ 1.20
07/10/2024	MLM	Federal Court PACER Fees for Research: 04/03/2024	\$ 0.20
07/10/2024	MLM	Federal Court PACER Fees for Research: 04/24/2024	\$ 7.80
07/10/2024	MLM	Federal Court PACER Fees for Research: 04/26/2024	\$ 0.20
07/10/2024	MLM	Federal Court PACER Fees for Research: 05/02/2024	\$ 1.70
07/31/2024	MLM	July, 2024 - Copies - 5	\$ 0.75

Total Hours	0.40 hrs
Total Hours	\$ 130.00
Total Expenses	\$ 11.85
Total Invoice Amount	\$ 141.85
Previous Balance	\$ 2,268.48
Balance (Amount Due)	\$ 2,410.33

251 E. Front Street, Suite 300
Boise, Idaho 83702
Tax ID No. 82-0451327
Telephone 208-343-5454
Fax 208-384-5844



September 30, 2024

Burton W. Wiand, Receiver
c/o Maya Lockwood
Guerra & Partners, PA
The Towers of Westshore
1408 N. Westshore Blvd., Ste. 1019
Tampa, FL 33607

Invoice No. 210648
Client No. 10247
Matter No. 1
Billing Attorney: JWM

INVOICE SUMMARY

For Professional Services Rendered from September 4, 2024 through September 30, 2024.

RE: Intermountain Precious Metals LLC

Total Professional Services	\$ 420.00
Total Costs Advanced	<u> \$.00</u>
TOTAL THIS INVOICE	\$ 420.00

ELAM & BURKE

September 30, 2024
 Invoice No. 210648
 Client No. 10247
 Matter No. 1
 Billing Attorney: JWM

PROFESSIONAL SERVICES

Date	Atty	Description	Hours	Amount
9/04/24	JWM	Receive and review motion to reconsider filed by Intermountain Precious Metals against client Wiand.	.30	105.00
9/10/24	JWM	Receive and review notice from 9th Circuit re appeal procedures for receiver Wiand against Intermountain Precious Metals. Receive and review scheduling order from 9th Circuit.	.40	140.00
9/24/24	JWM	Email exchange with Jared Perez re report on motion to reconsider and appeal filed, providing last-filed pleadings.	.50	175.00
TOTAL PROFESSIONAL SERVICES				\$ 420.00

SUMMARY OF PROFESSIONAL SERVICES

Name	Staff Level	Rate	Billed Hours	Billed Amount	Non-Chargeable Hours	Non-Chargeable Amount
Manwaring, Jed W.	Of Counsel	350.00	1.20	420.00	.00	.00
Total			1.20	\$ 420.00	.00	\$.00

TOTAL THIS INVOICE \$ 420.00

251 E. Front Street, Suite 300
Boise, Idaho 83702
Tax ID No. 82-0451327
Telephone 208-343-5454
Fax 208-384-5844



September 30, 2024

Burton W. Wiand, Receiver
c/o Maya Lockwood
Guerra & Partners, PA
The Towers of Westshore
1408 N. Westshore Blvd., Ste. 1019
Tampa, FL 33607

Invoice No. 210648
Client No. 10247
Matter No. 1
Billing Attorney: JWM

REMITTANCE

RE: Intermountain Precious Metals LLC

BALANCE DUE THIS INVOICE \$ 420.00

ONLINE PAYMENTS

Elam & Burke is committed to offering safe, secure, and convenient options to pay your bill using Visa, MasterCard, Discover, American Express, Apple Pay, Google Pay, and eCheck.
NOTE: A 3% convenience surcharge will be applied to all of these transactions.

To pay online, please click here: www.elamburke.com/payments

ACH PAYMENTS IN USD

Account Holder: Elam & Burke, PA
Bank Name: U.S. Bank
Branch Name: Meridian CenterPoint Office
Account Number: 82982196
ABA Routing Number: 021052053

CHECK PAYMENTS

All checks should be made payable to:
Elam & Burke, PA
ATTN: Accounts Receivable
251 E. Front Street, Suite 300
Boise, ID 83702
(Please return this advice with payment.)

Please reference: Invoice 210648, File # 10247 - 1 on all payments.

INVOICES ARE PAYABLE UPON RECEIPT
Thank you! Your business is greatly appreciated.

EXHIBIT 13



Attorneys at Law
 One Canalside
 125 Main Street
 Buffalo, NY 14203-2887
 Telecopier # (716) 852-6100
 (716) 847-8400
 FED L.D. #16-0505790

Burton W. Wiand, as receiver for Oasis Intl., et al.
 Beatriz McConnell
 Englander Fischer
 721 First Avenue North
 St. Petersburg, FL 33701

Invoice Number 1121908
 Invoice Date 08/15/24
 Client Number 45310
 Matter Number 00000
 J B Schwartz

Re: WD NY CASE 1:21-MC-00014-LJV

FOR PROFESSIONAL SERVICES RENDERED THROUGH JULY 31, 2024:

<u>Date</u>	<u>Tkpr</u>		<u>Hours</u>
07/02/24	DED	Correspondence with MRS regarding recording transcript of judgment with the Monroe County Clerk; confirm recording amount and process request for check	0.3
07/02/24	DEK3	Review of notice of property; collaboration with G. Emerson on determination what the notice of property could be; discussion with J. Sonner re findings on the notice	0.6
07/02/24	GAJE	Reviewed notice and deed for conversation and review with DEK regarding the same.	0.2
07/03/24	DED	Coordinate filing of transcript of judgment with Monroe County Clerk	0.3
07/03/24	DED	Review correspondence from Monroe County Clerk rejecting transcript of judgment in current form based on missing address of creditor; review same with MRS	0.2
07/03/24	JSS2	Email correspondence re: recording judgment in Monroe County.	0.1

45310 Burton W. Wiand, as receiver for Oasis
 Intl., et al.
 00000 WD NY Case 1:21-mc-00014-LJV
 August 15, 2024

Invoice Number 1121908

Page 2 of 2

<u>Date</u>	<u>Tkpr</u>		<u>Hours</u>
07/08/24	JSS2	Reviewed opposition to Defendant's motion to file sur-reply and drafted correspondence to client re: same.	0.4
07/10/24	MRS1	Research and analysis re transcribing and registration of foreign judgments in New York and whether address of judgment creditor is required.	1.9
07/15/24	JSS2	Analyzed Defendant's further motion filings and call to co-counsel and Clerk's office re: same.	0.8
07/16/24	JSS2	Reviewed research on recording foreign judgments	0.4
07/30/24	JSS2	Call with co-counsel and clerk's office re: entry of judgment transcript.	0.6

CURRENT FEES \$2,001.50

FOR COSTS ADVANCED AND EXPENSES INCURRED:

		<u>VALUE</u>
	Recording Fees	10.00
	CURRENT EXPENSES	<hr/> 10.00

TOTAL AMOUNT OF THIS INVOICE \$2,011.50



Attorneys at Law
 One Canalside
 125 Main Street
 Buffalo, NY 14203-2887
 Telecopier # (716) 852-6100
 (716) 847-8400
 FED I.D. #16-0505790

Burton W. Wiand, as receiver for Oasis Intl., et al.
 Beatriz McConnell
 Englander Fischer
 721 First Avenue North
 St. Petersburg, FL 33701

Invoice Number 1123571
 Invoice Date 09/12/24
 Client Number 45310
 Matter Number 00000
 J B Schwartz

Re: WD NY CASE 1:21-MC-00014-LJV

FOR PROFESSIONAL SERVICES RENDERED THROUGH AUGUST 31, 2024:

<u>Date</u>	<u>Tkpr</u>		<u>Hours</u>
08/01/24	JSS2	Call to WDNY clerk and co-counsel re: recording judgment	0.3
08/01/24	JSS2	Email correspondence to co-counsel re: discovery pre-motion letter	0.2
08/02/24	JSS2	Analyzed decision and order and drafted correspondence to co-counsel re: same	0.3
08/21/24	JSS2	Call with WDNY clerk and email correspondence to co-counsel re: transcript of judgment	0.3
		CURRENT FEES	\$451.00
		TOTAL AMOUNT OF THIS INVOICE	\$451.00



Attorneys at Law
One Canalside
125 Main Street
Buffalo, NY 14203-2887
Telecopier # (716) 852-6100
(716) 847-8400
FED I.D. #16-0505790

Burton W. Wiand, as receiver for Oasis Intl., et al.
Beatriz McConnell
Englander Fischer
721 First Avenue North
St. Petersburg, FL 33701

Invoice Number 1123572
Invoice Date 09/12/24
Client Number 45310
Matter Number 00001
J B Schwartz

Re: RECEIVER SUBPOENA MATTER

FOR PROFESSIONAL SERVICES RENDERED THROUGH AUGUST 31, 2024:

<u>Date</u>	<u>Tkpr</u>		<u>Hours</u>
08/05/24	JSS2	Analyzed subpoena letter to Preziosi	0.4
08/08/24	JSS2	Revised pre-motion discovery letter and correspondence to co-counsel re: same	1.3
		CURRENT FEES	\$697.00
		TOTAL AMOUNT OF THIS INVOICE	\$697.00

EXHIBIT 14



4023 Tampa Road, Suite 2000
 Oldsmar, FL 34677
 Phone (727) 785-4447 Fax (727) 784-5491
www.pdr-cpa.com

OASIS MANAGEMENT
July 1, 2024 - July 31, 2024

Date	Activity Category	Timekeeper	Description	Hours	Rate	Amount
7/1/2024	Accounting & Auditing	SAO	Recorded bank activity	0.50	\$ 125.00	\$ 62.50
7/2/2024	Accounting & Auditing	GAH	Reconciled bank account	0.25	\$ 155.00	\$ 38.75
7/2/2024	Accounting & Auditing	SAO	Recorded bank activity, prepared quarterly reports, reviewed uncleared investor checks	2.80	\$ 125.00	\$ 350.00
7/3/2024	Accounting & Auditing	SAO	Recorded investor voided checks and reissues, updated account records, recorded deposits	0.90	\$ 125.00	\$ 112.50
7/8/2024	Accounting & Auditing	SAO	Reconciled MM bank statement, updated quarterly reports, recorded bank activity, reviewed bank balance, contacted attorney and recorded transfer	1.60	\$ 125.00	\$ 200.00
7/10/2024	Accounting & Auditing	SAO	Updated quarterly reports, researched investor distributions for quarterly reports	2.80	\$ 125.00	\$ 350.00
7/10/2024	Accounting & Auditing	GAH	Reviewed 2nd quarter claimants checks questions	1.50	\$ 155.00	\$ 232.50
7/11/2024	Accounting & Auditing	SAO	Recorded bank activity, prepared 2nd quarter reports, reconciled investor distributions	7.30	\$ 125.00	\$ 912.50
7/11/2024	Accounting & Auditing	AAM	Printed checks	0.50	\$ 125.00	\$ 62.50
7/11/2024	Accounting & Auditing	GAH	Reconciled claims distributions	1.25	\$ 155.00	\$ 193.75
7/15/2024	Accounting & Auditing	SAO	Recorded bank activity, reconciled investor payments	2.30	\$ 125.00	\$ 287.50
7/15/2024	Accounting & Auditing	GAH	Reconciled claims distributions	2.50	\$ 155.00	\$ 387.50
7/16/2024	Accounting & Auditing	SAO	Updated quarterly reports, updated Quickbooks with investor voids and reissues, researched past uncleared checks, recorded bank activity and reviewed prior period	3.55	\$ 125.00	\$ 443.75
7/16/2024	Accounting & Auditing	GAH	Reviewed court report	1.00	\$ 155.00	\$ 155.00
7/16/2024	Accounting & Auditing	DRH	Mailed checks	0.25	\$ 155.00	\$ 38.75
7/17/2024	Accounting & Auditing	GAH	Reviewed court report, reconciled claims accounts	1.50	\$ 155.00	\$ 232.50
7/18/2024	Accounting & Auditing	MNL	Reviewed financials	0.50	\$ 210.00	\$ 105.00
7/18/2024	Accounting & Auditing	SAO	Recorded bank activity	0.30	\$ 125.00	\$ 37.50
7/25/2024	Accounting & Auditing	SAO	Recorded bank activity, researched uncleared investor checks, voids and reissues	0.90	\$ 125.00	\$ 112.50
7/25/2024	Accounting & Auditing	AAM	Printed checks	0.75	\$ 125.00	\$ 93.75
7/31/2024	Accounting & Auditing	SAO	Recorded bank activity	0.30	\$ 125.00	\$ 37.50
	Total Accounting & Auditing			33.25		\$ 4,446.25
7/9/2024	Consulting	PDR	FedEx checks to Edwina			\$ 30.57
7/17/2024	Consulting	PDR	FedEx checks to Edwina			\$ 18.12
	Total Consulting					\$ 48.69

Total Burton Wiand as Receiver, Oasis Management

33.25

\$ 4,494.94



4023 Tampa Road, Suite 2000
 Oldsmar, FL 34677
 Phone (727) 785-4447 Fax (727) 784-5491
www.pdr-cpa.com

OASIS MANAGEMENT
August 1, 2024 - August 31, 2024

Date	Activity Category	Timekeeper	Description	Hours	Rate	Amount
8/1/2024	Accounting & Auditing	SAO	Reviewed investor distributions	0.30	\$ 125.00	\$ 37.50
8/2/2024	Accounting & Auditing	SAO	Reconciled #3838 and #4299 bank statements, recorded bank activity, reviewed bank activity	1.40	\$ 125.00	\$ 175.00
8/5/2024	Accounting & Auditing	SAO	Reviewed bank activity	0.30	\$ 125.00	\$ 37.50
8/8/2024	Accounting & Auditing	SAO	Recorded bank activity	0.30	\$ 125.00	\$ 37.50
8/14/2024	Accounting & Auditing	SAO	Voided and reissued investor checks, recorded bank activity	0.60	\$ 125.00	\$ 75.00
8/14/2024	Accounting & Auditing	AAM	Printed check	0.25	\$ 125.00	\$ 31.25
8/15/2024	Accounting & Auditing	SAO	Voided and reissued investor checks	0.30	\$ 125.00	\$ 37.50
8/15/2024	Accounting & Auditing	AAM	Printed check	0.25	\$ 125.00	\$ 31.25
8/16/2024	Accounting & Auditing	SAO	Recorded bank activity	0.30	\$ 125.00	\$ 37.50
8/19/2024	Accounting & Auditing	SAO	Updated account records	0.30	\$ 125.00	\$ 37.50
8/22/2024	Accounting & Auditing	SAO	Recorded bank activity	0.30	\$ 125.00	\$ 37.50
8/22/2024	Accounting & Auditing	GAH	Processed claimant checks	0.25	\$ 155.00	\$ 38.75
8/26/2024	Accounting & Auditing	SAO	Recorded bank activity	0.50	\$ 125.00	\$ 62.50
	Total Accounting & Auditing			5.35		\$ 676.25
8/20/2024	Consulting	PDR	FedEx checks to Edwina			\$ 9.05
8/22/2024	Consulting	PDR	FedEx checks to Edwina			\$ 18.04
8/26/2024	Consulting	PDR	Courier checks to Edwina			\$ 17.01
	Total Consulting					\$ 44.10

Total Burton Wiand as Receiver, Oasis Management

5.35

\$ 720.35



4023 Tampa Road, Suite 2000
 Oldsmar, FL 34677
 Phone (727) 785-4447 Fax (727) 784-5491
www.pdr-cpa.com

OASIS MANAGEMENT
September 1, 2024 - September 30, 2024

Date	Activity Category	Timekeeper	Description	Hours	Rate	Amount
9/3/2024	Accounting & Auditing	AAM	Printed check	0.25	\$ 125.00	\$ 31.25
9/3/2024	Accounting & Auditing	SAO	Reconciled money market bank statement, reconciled checking bank statement, recorded bank activity, updated account records	1.20	\$ 125.00	\$ 150.00
9/6/2024	Accounting & Auditing	SAO	Updated account records	0.30	\$ 125.00	\$ 37.50
9/9/2024	Accounting & Auditing	SAO	Recorded bank activity	0.30	\$ 125.00	\$ 37.50
9/16/2024	Accounting & Auditing	SAO	Updated account records	0.30	\$ 125.00	\$ 37.50
9/17/2024	Accounting & Auditing	SAO	Recorded bank activity	0.30	\$ 125.00	\$ 37.50
9/30/2024	Accounting & Auditing	SAO	Updated account records	0.30	\$ 125.00	\$ 37.50
	Total Accounting & Auditing			2.95		\$ 368.75
9/3/2024	Consulting	PDR	FedEx checks to Edwina			\$ 9.09
	Total Consulting					\$ 9.09

Total Burton Wiand as Receiver, Oasis Management

2.95

\$ 377.84

EXHIBIT 15



Invoice for Services

All funds payable to:

E-Hounds, Inc.

32815 US 19 North Suite 100
 Palm Harbor, Florida 34684
 support@ehounds.com (727) 726-8985

Open Date	Close Date	Invoice #	Balance Due	Case Reference (E9295)	Terms
07/01/2024	07/31/2024	55240	\$2275.00	CFTC v. Oasis, et al.	Due on Receipt

Invoice to:
 Burton W Wiand PA
 114 Turner Street
 Clearwater, FL 33756

Case Contact:
 Burton W Wiand PA
 Burt Wiand
 727-460-4679

Q	Date	Expedited	All quantities are based Hourly unless otherwise noted	Tech	Price	Ext
2	07/01/2024		E-Hounds Review Platform (Courtesy Rate Monthly)		\$595.00	\$1190.00
4	07/01/2024		E-Hounds Review Platform Add'l Users (per user) Courtesy Rate Sallahlaw users		\$125.00	\$500.00
1	07/01/2024		E-Hounds Review Platform Add'l Users (per user) Courtesy Rate Elegal user		\$125.00	\$125.00
2	07/01/2024		E-Hounds Review Platform Add'l Users (per user) Courtesy Rate GK users (astephens; burt@burtonwiandpa.com)		\$125.00	\$250.00
.6	07/15/2024		Expert Services Revise and Update AWS Billing	RTR	\$350.00	\$210.00

If you would prefer to apply the balance of your retainer to this statement, please pay the difference. Requesting additional services may result in our request for additional retainer.

Payments Applied	

SUBTOTAL **\$2275.00**

TOTAL **\$2275.00**

Balance Due \$2275.00

Please note: Our fees are subject to change annually. Last change: 2/1/2022

Statement of Limited Liability and Financial Responsibility

E-Hounds, Inc. shall not be liable under any circumstances for any special, consequential, or exemplary damages arising from the use or misuse of data or equipment after it has been returned to the client and/or owner(s). E-Hounds shall not be liable for the continued storage of recovered data for more than 30 days unless otherwise specified in writing by the client. Any problems with recovered data must be reported to E-Hounds within 5 business days of receipt. Property and/or equipment held for legal matters is held for 1 Year at the client's request or upon case closure. Additional storage fees may apply to extended storage/maintenance. ABSOLUTELY NO REFUNDS. This invoice is made under the fee agreement signed on behalf of the above listed client. As stated in the Fee Agreement for E-Hound's services, Final billing must be satisfied before the final release of equipment or findings/reporting. All billing is DUE ON RECEIPT, unless stated otherwise above. All unpaid balances over 30 days will be subject to a 1.5% fee per month. Any unpaid balances over 60 days will be subject to equipment liquidation, collection process and reasonable attorney's fees incurred in collection. Services by E-Hounds is rendered on behalf of the agency, agent, or party contracting for the services, and payment for such services is the sole liability and responsibility of that agency, agent, or party, and is not transferrable or assignable.

E-Hounds also accepts:



Venmo: @ehounds

Zelle: support@ehounds.com



venmo



PayPal



Invoice for Services

All funds payable to:

E-Hounds, Inc.

32815 US 19 North Suite 100
 Palm Harbor, Florida 34684
 support@ehounds.com (727) 726-8985

Open Date	Close Date	Invoice #	Balance Due	Case Reference (E9295)	Terms
08/01/2024	08/31/2024	56032	\$2065.00	CFTC v. Oasis, et al.	Due on Receipt

Invoice to:
 Burton W Wiand PA
 114 Turner Street
 Clearwater, FL 33756

Case Contact:
 Burton W Wiand PA
 Burt Wiand
 727-460-4679

Q	Date	Expedited	All quantities are based Hourly unless otherwise noted	Tech	Price	Ext
2	08/01/2024		E-Hounds Review Platform (Courtesy Rate Monthly)		\$595.00	\$1190.00
4	08/01/2024		E-Hounds Review Platform Add'l Users (per user) Courtesy Rate Sallahlaw users		\$125.00	\$500.00
1	08/01/2024		E-Hounds Review Platform Add'l Users (per user) Courtesy Rate Elegal user		\$125.00	\$125.00
2	08/01/2024		E-Hounds Review Platform Add'l Users (per user) Courtesy Rate GK users (astephens; burt@burtonwiandpa.com)		\$125.00	\$250.00

If you would prefer to apply the balance of your retainer to this statement, please pay the difference. Requesting additional services may result in our request for additional retainer.

Payments Applied	

SUBTOTAL **\$2065.00**

TOTAL **\$2065.00**

Balance Due \$2065.00

Please note: Our fees are subject to change annually.

Statement of Limited Liability and Financial Responsibility

E-Hounds, Inc. shall not be liable under any circumstances for any special, consequential, or exemplary damages arising from the use or misuse of data or equipment after it has been returned to the client and/or owner(s). E-Hounds shall not be liable for the continued storage of recovered data for more than 30 days unless otherwise specified in writing by the client. Any problems with recovered data must be reported to E-Hounds within 5 business days of receipt. Property and/or equipment held for legal matters is held for 1 Year at the client's request or upon case closure. Additional storage fees may apply to extended storage/maintenance. ABSOLUTELY NO REFUNDS. This invoice is made under the fee agreement signed on behalf of the above listed client. As stated in the Fee Agreement for E-Hound's services, Final billing must be satisfied before the final release of equipment or findings/reporting. All billing is DUE ON RECEIPT, unless stated otherwise above. All unpaid balances over 30 days will be subject to a 1.5% fee per month. Any unpaid balances over 60 days will be subject to equipment liquidation, collection process and reasonable attorney's fees incurred in collection. Services by E-Hounds is rendered on behalf of the agency, agent, or party contracting for the services, and payment for such services is the sole liability and responsibility of that agency, agent, or party, and is not transferrable or assignable.

E-Hounds also accepts:



Venmo: @ehounds

Zelle: support@ehounds.com



venmo



PayPal



Invoice for Services

All funds payable to:

E-Hounds, Inc.

32815 US 19 North Suite 100
 Palm Harbor, Florida 34684
 support@ehounds.com (727) 726-8985

Open Date	Close Date	Invoice #	Balance Due	Case Reference (E9295)	Terms
09/01/2024	09/30/2024	56670	\$2065.00	CFTC v. Oasis, et al.	Due on Receipt

Invoice to:
 Burton W Wiand PA
 114 Turner Street
 Clearwater, FL 33756

Case Contact:
 Burton W Wiand PA
 Burt Wiand
 727-460-4679

Q	Date	Expedited	All quantities are based Hourly unless otherwise noted	Tech	Price	Ext
2	09/01/2024		E-Hounds Review Platform (Courtesy Rate Monthly)		\$595.00	\$1190.00
4	09/01/2024		E-Hounds Review Platform Add'l Users (per user) Courtesy Rate Sallahlaw users		\$125.00	\$500.00
1	09/01/2024		E-Hounds Review Platform Add'l Users (per user) Courtesy Rate Elegal user		\$125.00	\$125.00
2	09/01/2024		E-Hounds Review Platform Add'l Users (per user) Courtesy Rate GK users (astephens; burt@burtonwiandpa.com)		\$125.00	\$250.00

If you would prefer to apply the balance of your retainer to this statement, please pay the difference. Requesting additional services may result in our request for additional retainer.

Payments Applied	

SUBTOTAL **\$2065.00**

TOTAL **\$2065.00**

Balance Due \$2065.00

Please note: Our fees are subject to change annually.

Statement of Limited Liability and Financial Responsibility

E-Hounds, Inc. shall not be liable under any circumstances for any special, consequential, or exemplary damages arising from the use or misuse of data or equipment after it has been returned to the client and/or owner(s). E-Hounds shall not be liable for the continued storage of recovered data for more than 30 days unless otherwise specified in writing by the client. Any problems with recovered data must be reported to E-Hounds within 5 business days of receipt. Property and/or equipment held for legal matters is held for 1 Year at the client's request or upon case closure. Additional storage fees may apply to extended storage/maintenance. ABSOLUTELY NO REFUNDS. This invoice is made under the fee agreement signed on behalf of the above listed client. As stated in the Fee Agreement for E-Hound's services, Final billing must be satisfied before the final release of equipment or findings/reporting. All billing is DUE ON RECEIPT, unless stated otherwise above. All unpaid balances over 30 days will be subject to a 1.5% fee per month. Any unpaid balances over 60 days will be subject to equipment liquidation, collection process and reasonable attorney's fees incurred in collection. Services by E-Hounds is rendered on behalf of the agency, agent, or party contracting for the services, and payment for such services is the sole liability and responsibility of that agency, agent, or party, and is not transferrable or assignable.

E-Hounds also accepts:



Venmo: @ehounds

Zelle: support@ehounds.com



venmo



PayPal

EXHIBIT 16



Oasis International Group, Ltd.
 PO Box 309
 Ugland House
 Grand Cayman
 KY1-1104
 Cayman Islands

Invoice No.	4229699
Date	31-Jul-24
Client No.	679481
Contact	Finn OHegarty
Page	1

INVOICE

Legal Fees (see below)

To our charges for professional services rendered by this firm for the period ending on 31 July 2024.	401.25
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Corporate Services Fees (see below)	100.00
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Total Fees	501.25
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TOTAL BALANCE DUE	US\$501.25
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Thank you for instructing Maples and Calder (Cayman) LLP. Please send us payment within 30 days of receiving this invoice and confirm payment details by email to accountshelp@maples.com. All of the services provided by this firm were performed outside of the United States of America. The provision of services by Maples and Calder (Cayman) LLP to you are governed by our standard terms of engagement available at www.maples.com.

USD Wire transfer instructions (must be sent as a SWIFT MT103 international wire transfer AND not as a MT202 or Fedwire):

Beneficiary Bank: Butterfield Bank (Cayman) Ltd, PO Box 705, 12 Albert Panton Street, Grand Cayman KY1-1107
 SWIFT BIC: BNTBKYYKXXX | Beneficiary: Maples and Calder (Cayman) LLP | Beneficiary Account: 0110103434400
 Intermediary Bank: BNY Mellon, One Wall Street, New York, NY 10286 | SWIFT BIC: IRVTUS3NXXX

Remitter and Beneficiary account number, name and address details must be included within wire transfer instructions.

Remitter's full physical address must be included to avoid funds being returned.

Please instruct your bank to remit the amount in full. Local and overseas bank charges are borne by the remitter.

Or

A USD draft drawn on a United States bank which should be couriered to our address quoting our reference **679481 - 4229699**

Cybercrime and Fraud Warning & Disclaimer: We will not email you to tell you that our bank account details have changed. Please check our bank account details with us in person or by telephone if you are in any doubt. We shall not accept any responsibility or liability for funds that are sent by anyone to an incorrect bank account.

Invoice No.	4229699
Date	31-Jul-24
Client No.	679481
Contact	Finn OHegarty
Page	2

Fee Detail

30-Jul-24	OHearty, Finn	Attention to beneficial ownership register. E-mail correspondence.	401.25
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Total			US\$ 401.25
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Fee Earner Summary

	Hrs	Amount
OHearty, Finn (FWO)	0.25	401.25
Totals	0.25	US\$ 401.25

Corporate Services Fees

Update Beneficial Ownership Register	100.00
Totals	US\$100.00

Disbursement Details

Total Disbursements	US\$0.00
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EXHIBIT 17

**UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION**

COMMODITY FUTURES TRADING
COMMISSION,

Case No. 8:19-CV-886-T-33SPF

Plaintiff,

v.

OASIS INTERNATIONAL GROUP,
LIMITED; OASIS MANAGEMENT, LLC;
SATELLITE HOLDINGS COMPANY;
MICHAEL J DACORTA; JOSEPH S.
ANILE, II.; RAYMOND P MONTIE III;
FRANCISCO "FRANK" L. DURAN; and
JOHN J. HAAS,

Defendants;

and

FUNDADMINISTRATION, INC.;
BOWLING GREEN CAPITAL
MANAGEMENT LLC; LAGOON
INVESTMENTS, INC.; ROAR OF THE
LION FITNESS, LLC; 444 GULF OF
MEXICO DRIVE, LLC; 4064 FOUNDERS
CLUB DRIVE, LLC; 6922 LACANTERA
CIRCLE, LLC; 13318 LOST KEY PLACE,
LLC; and 4 OAKS LLC,

Relief Defendants.

_____ /

ORDER

This cause comes before the Court for consideration of the Receiver's Twenty-Second Interim Motion for Order Awarding Fees, Costs and Reimbursement of Costs to Receiver and His Professionals (Doc. ____). The Commodity Futures Trading Commission does not oppose the granting of the relief sought.

Having considered the motion, and being otherwise fully advised, it is **ORDERED AND ADJUDGED** that the Receiver's Twenty-Second Interim Motion for Order Awarding Fees, Costs and Reimbursement of Costs to Receiver and His Professionals (Doc. ____) is **GRANTED**. The Court awards the following sums and directs that payment be made from the Receivership assets:

Burton W. Wiand, Receiver	\$28,481.73
Guerra & Partners, P.A.	\$14,137.38
Johnson Newlon & DeCort	\$3,766.50
Jared J. Perez P.A.	\$3,744.00
Englander Fischer	\$15,229.26
Elam & Burke	\$561.85
Phillips Lyte LLP	\$3,159.50
PDR CPAs	\$5,593.13
E-Hounds, Inc.	\$6,405.00
Maples Group	\$501.25

DONE AND ORDERED at Tampa, Florida, this ____ day of _____,
2024.

VIRGINIA M. HERNANDEZ-COVINGTON
UNITED STATES DISTRICT COURT JUDGE